DEVELOPMENT AND IMPLEMENTATION OF

ONTOLOGY-BASED SYSTEMS FOR

MAMMALIAN GENE EXPRESSION

PROFILING



WESTERN CAPE

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transcription factor

gene regulation



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Abstract

The use of ontologies in the mapping of gene expression events provides an effective and comparable method to determine the expression profile of an entire genome across a large collection of experiments derived from different expression sources. In this dissertation I describe the development of the developmental human and mouse eVOC ontologies and demonstrate the ontologies by identifying genes showing a bias for developmental brain expression in human and mouse, identifying transcription factor complexes, and exploring the mouse orthologs of human cancer/testis genes.

Model organisms represent an important resource for understanding the fundamental aspects of mammalian biology. Mapping of biological phenomena between model organisms is complex and if it is to be meaningful, a simplified representation can be a powerful means for comparison.

The implementation of the ontologies has been illustrated here in two ways. Firstly, the ontologies have been used to illustrate methods to determine clusters of genes showing tissue-restricted expression in humans. The identification of tissue-restricted genes within an organism serves as an indication of the finetuning in the regulation of gene expression in a given tissue. Secondly, due to the differences in human and mouse gene expression on a temporal and spatial level, the ontologies were used to identify mouse orthologs of human cancer/testis genes showing cancer/testis characteristics. With the use of model systems such as mouse in the development of gene-targeted drugs in the treatment of disease, it is

important to establish that the expression characteristics and profiles of a drug target in the model system is representative of the characteristics of the target in the system for which it is intended.



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Declaration

I declare that "Development and implementation of ontology-based systems for mammalian gene expression profiling" is my own work, that it has not been submitted for degree or examination at any other university, and that all the resources I have used or quoted, and all work which was the result of joint effort, have been indicated and acknowledged by complete references.

Allruger

Adéle Kruger



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Abbreviations

- CAGE Cap Analysis of Gene Expression
- CGAP Cancer Genome Anatomy Project
- CT cancer/testis
- DAG Directed Acyclic Graph
- EMAP Edinburgh Mouse Atlas Project
- EST Expressed Sequence Tag
- FMA Foundational Model of Anatomy
- GNP Genome Network Project
- GO Gene Ontology
- GOI-list Genes Of Interest list
- HUMAT Edinburgh Human Developmental Anatomy

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- LPS lipopolysaccharide
- MA Adult Mouse Anatomy
- MGED Microarray Gene Expression Data Society
- MGI Mouse Genome Informatics
- MPSS Massively Parallel Signature Sequencing
- NCBI National Center for Biotechnology Information
- **OBO** Open Biomedical Ontologies

OMIM - Online Mendelian Inheritance in Man

- PMA Phorbol Myristate Acetate
- SAEL SOFG Anatomy Entry List
- SAGE Serial Analysis of Gene Expression
- TSS Transcription Start Site



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Preface

In the post-genomic era, much of the focus of research has shifted from identifying each gene in the human genome, to creating a catalogue of genes listing their corresponding function, regulatory potential, expression profile and disease involvement.

Each cell in an organism contains a complete copy of its genome, thereby providing the expression potential of the organism. Since cells do not simultaneously express all genes in the genome, it is important to determine the location and timing of each gene expression event. This expression profiling can lead to the identification of genes biased in their expression for the developmental program or diseases such as cancer. The identification of genes whose expression is biased for tumorigenic tissues provides the context for the development of drugs or vaccines in the treatment of cancer. The significance of this knowledge WESTERN CAPE is also evident when comparing two species whose genomes show considerable overlap. For example, an orthologous gene may be expressed in both human and mouse but will not necessarily share the same expression profile in both species. Therefore, knowing when and where a gene is expressed is of great importance in drug discovery for disease treatment and understanding the relationship between human genes and their counterparts in the model organisms.

A popular technique used to determine the expression status of a cell is to create a cDNA library from which expressed sequence tags are derived. An expressed sequence tag (EST) is a 200-800 nucleotide sequence from a cDNA clone. An

EST is generated randomly and represents a segment of an mRNA molecule (Adams et al., 1991; Nagaraj et al., 2007). The source of ESTs, namely mRNA, enables these tags to provide a view of the expression state of a cell by identifying the mRNA being expressed in a particular cell at any given time.

Although ESTs provide insights into many biological phenomena such as gene discovery, alternative transcript identification and genome annotation (Nagaraj et al., 2007), the EST transcripts are generated by single-pass sequencing and are therefore very susceptible to errors. The advantage of using ESTs in exploring cellular gene expression lies in their low complexity and cost-effectiveness. Since the use of any technology is dictated by its financial impact, ESTs will continue to be a popular low-cost method among researchers as the current, high-impact sequencing methods become more established.

With the continuous generation of genome-scale data, it is imperative that the biological data be annotated in such a way that it is possible to adequately share and compare data from different biological sources, experiments or laboratories. Since 2000 (Stevens et al., 2000), ontologies have become an accepted method in bioinformatics with which to describe experimental tissue sources and gene expression data. Table 1 lists the 26 anatomical ontologies available from the Open Biomedical Ontology (OBO) Foundry (Smith et al., 2007) as of August 2009. The OBO Foundry provides a library of reference ontologies for the biomedical domain. Strict requirements need to be met for an ontology to be endorsed by the OBO Foundry such as providing a definition for every term within the ontology. Since the implementation of the OBO requirements, the

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Table 1

A list of ontologies available from the Open Biomedical Ontologies (OBO) Foundry. The eVOC ontology is not officially distributed via the OBO foundry, but is included here to give context.

Ontology	Namespace
Common Anatomy Reference Ontology	CARO
Subcellular anatomy ontology	SAO
Teleost anatomy and development	TAO
C. elegans gross anatomy	WBbt
Spider Ontology	SPD
Mouse adult gross anatomy	MA
Mouse gross anatomy and development	EMAP
Amphibian gross anatomy	AAO
Drosophila gross anatomy	FBbt
Fungal gross anatomy	FAO
Cellular component	GO
Xenopus anatomy and development	XAO
Plant growth and developmental stage	РО
Plant structure UNIVERSITY of a	PO
Spatial Ontology WESTERN CAP	BSPO
C. elegans development	WBls
Mosquito gross anatomy	TGMA
Drosophila development	FBdv
Human developmental anatomy, timed version	EHDA
Dictyostelium discoideum anatomy	DDANAT
Zebrafish anatomy and development	ZFA
Tick gross anatomy	TADS
Foundational Model of Anatomy (subset)	FMA
Medaka fish anatomy and development	MFO
Cell type	CL
Human developmental anatomy, abstract version	EHDAA
	-
eVOC Expression vocabulary	eVOC

eVOC ontology is no longer part of the OBO distribution as it does not provide definitions for all its terms. It is an important aim of the project to be included in the OBO distribution and further curation of the ontologies will ensure this.

An ontology is a hierarchical vocabulary used to describe a particular domain, and consists of parent and child terms defined by relationships between them. The most well-known ontology is the Gene Ontology (Ashburner et al., 2000) which describes three domains: the cellular component, molecular function and biological process of an organism. Ontologies are used by most database systems where a user is able to select a search term from a drop-down menu to select, for example the FANTOM3 CAGE Basic Viewer where the user selects the tissue for which expression information is required (http://fantom3.gsc.riken.jp/).

The problem with ontologies is the inability to adequately compare human and mouse gene expression events computationally through ontologies due to their individual structures and inherent complexities. An effective tool to enable the ontological comparison between human and mouse will enable the direct interspecies comparison of gene expression events, providing insight into the differences and similarities between the species – an integral aspect of model organism biology.

Model organisms are an important part of biological research because they allow researchers to perform experiments that would be either unethical or fatal if performed on humans. For example, it is considered unethical to genetically modify a human embryo by creating a knock-out of a particular gene purely to determine a possible function for that gene. Model organisms therefore allow us

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to study genes *in vivo*, they allow us to test experimental drugs for efficacy and lethality, and they enable us to explore gene expression events throughout the lifespan of the organism since its gestation and developmental periods are typically on a scale of days and weeks rather than months and years. The laboratory mouse is a particularly good model for studying cancer because mice have a high tumour incidence, are cheap and easy to handle, can be inbred to eliminate genetic variation effects, and many may be treated at a time to provide replicate data. However, in order for model organism experiments to be informative, it is imperative that we know and understand the similarities and differences between the models and humans. A robust system for comparing human and mouse biology and expression data is therefore critical.

This dissertation describes the development and implementation of an ontologybased system as a consistent approach to gene discovery. The processes required to successfully develop and apply a set of ontologies are to:

- 1) develop a set of ontologies;
- map data to the ontologies by using them to annotate expression data; and
- 3) query the system to answer specific questions regarding the data.

Chapter 1 describes the development of a mouse ontology that conforms to the structure of an established human ontology to provide a tool to compare biological aspects of the two species. Both the mouse and human ontologies are also further developed to include the ontological representation of the developing mouse and human, enabling the alignment of mouse and human anatomical

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structures for the annotation of expression events. In addition to developing the ontologies, this chapter also describes using the ontologies to annotate 8 852 human and 1 210 mouse cDNA libraries obtained from the Cancer Genome Anatomy Project (CGAP) as an initial dataset with which to illustrate the use of the ontologies.

The remaining two chapters describe how the ontologies developed in Chapter 1 are used in two major collaborations. Both chapters describe two aspects of each collaboration, namely a publication resulting from the collaborative efforts of all the members of the collaboration and an independent study I performed within each collaboration that is unpublished. I therefore, for each chapter, briefly describe my role in the collaboration and the work I performed that resulted in the publications, and thereafter describe in detail the unpublished analyses.

Chapter 2 describes how the ontologies developed in Chapter 1 are used to determine the expression profile of human transcription factors. The investigation of the expression profile enables the identification of transcription factor complexes that show tissue-restricted expression patterns.

The analysis presented as Chapter 3 uses the ontologies described in Chapter 1 to explore the expression profile of the mouse orthologs of human cancer/testis genes with the aim of comparing the human and mouse expression profiles of these genes.

Chapter 1

Simplified ontologies allowing comparison of developmental mammalian gene expression

1.1 Summary

The concept of creating a developmental mouse ontology that is structured in the same way as the existing human eVOC ontologies was suggested as a viable approach while establishing a collaboration as part of the FANTOM consortium - a collaborative effort by many international laboratories with the aim to map out the transcriptional landscape of mouse and human. I was responsible for developing and applying the method of ontology generation for both the mouse and human developmental ontologies. I was also responsible for collecting and annotating the mouse and human CGAP cDNA libraries that have been mapped to the ontologies, as well as the data provided by the FANTOM3 project. The ontologies that I developed, along with the FANTOM data that I mapped to it, were incorporated into the FANTOM CAGE databases (CAGE Basic Viewer and CAGE Analysis Viewer) available online (http://fantom3.gsc.riken.jp/).

The FANTOM3 project culminated in a main publication in Science (of which I was co-author (Carninci et al., 2005)) as well as many satellite papers in PLoS Genetics – including a paper which I co-authored (Bajic et al., 2006). For 'The transcriptional landscape of the mammalian genome' published in Science (Appendix I), I was responsible for the development of the ontologies which were

used to annotate the expression data used in the paper. In the PLoS Genetics paper, 'Mice and men: their promoter properties' (Appendix II), the aim was to classify transcription start sites (TSS) based on the GC content of the 5' upstream region of each gene. I used the ontology system described in this chapter to provide the expression information for the dataset used in the paper, which shows enrichment of certain tissue categories in each of the four TSS categories identified (Table 6 of Appendix II). The methods and results for both analyses are described in detail in the publications appended.

In addition to developing the ontologies, I was responsible for preparing the manuscript describing the development and application of these ontologies, which is presented here as Chapter 1. My responsibilities included the development of the manuscript concept, all data generation and analysis, as well as the preparation and submission of the manuscript.

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Dr Yoshihide Hayashizaki and Dr Piero Carninci provided the request of the developmental ontologies as well as access to the FANTOM3 data. Dr Oliver Hofmann and Dr Winston Hide provided guidance regarding ontology development and application, and oversaw the production of the manuscript.

1.2 Aim

The aim of the work presented in this chapter is to develop an ontology system that enables the comparison of human and mouse anatomy throughout development. The use of the ontologies in the annotation of human and mouse

gene expression data provides a means to accurately compare gene expression between human and mouse, thereby identifying similar and unique gene expression patterns between the two species.

1.3 Background

1.3.1 Ontologies and gene expression

Biological investigation into mammalian biology employs standardized methods of data annotation by consortia such as MGED (Microarray Gene Expression Data Society) and CGAP (Cancer Genome Anatomy Project) or collaborative groups such as the Genome Network Project group at the Genome Sciences Centre at RIKEN, Japan (http://gsc.riken.go.jp/indexE.html). Data generated by these consortia include microarray, CAGE (Cap Analysis of Gene Expression), SAGE (Serial Analysis of Gene Expression) and MPSS (Massively Parallel Signature Sequencing) as well as cDNA and EST (Expressed Sequence Tags) libraries. The diversity of data types offers the opportunity to capture several views on concurrent biological events, but without standardization between these platforms and data types information is lost, reducing the value of comparison between systems. The terminology used to describe data provides a means for the integration of different data types such as EST or CAGE.

An ontology is a commonly used method of standardization in biology. It is often defined as a formal description of entities and the relationships between them, providing a standard vocabulary for the description and representation of terms in

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a particular domain (Bard and Winter, 2001; Gkoutos et al., 2005). Given a need and obvious value in comparison of gene expression between species, anatomical systems and developmental states, we have set out to discover the potential and applicability of such an approach to compare mouse and human systems.

Many anatomical and developmental ontologies have been created, each focusing on their intended organisms. As many as 62 ontologies describing biological and medical aspects of a range of organisms can be obtained from the Open Biomedical Ontologies (OBO) website (http://www.obofoundry.org/), a system set up to provide well-structured controlled vocabularies of different domains in a single website. The Edinburgh Mouse Atlas Project (EMAP) (Baldock et al., 2003) and Adult Mouse Anatomy (MA) (Hayamizu et al., 2005) ontologies are the most commonly used ontologies to describe mouse gene expression, representing mouse development and adult mouse with 13 730 (October, 2005) and 7 702 (October, 2004) terms respectively. Mouse Genome Informatics ERN CAPE (MGI), the most comprehensive mouse resource available, uses both ontologies. Human gene expression however, can be represented as developmental and adult ontologies by the Edinburgh Human Developmental Anatomy (HUMAT) ontology (Hunter et al., 2003) consisting of 8 316 terms (October, 2005) and the mammalian Foundational Model of Anatomy (FMA) (Rosse and Mejino, 2003) consisting of more than 110 000 terms (January, 2002). Selected terms from the above ontologies have been used to create a cross-species list of terms known as the SOFG Anatomy Entry List (SAEL) (Parkinson et al., 2004). Although these ontologies more than adequately describe the anatomical structures of the developing organism, with the exception of SAEL, they are structured as Directed

Acyclic Graphs (DAG), defined as a hierarchy where each term may have more than one parent term (Hayamizu et al., 2005). The DAG structure adds to the inherent complexity of the ontologies, hampering efforts to align them between two species, making the process of a comparative study of gene expression events a challenge.

Efforts are being implemented in order to simplify ontologies for gene expression annotation. The Gene Ontology (GO) Consortium's GO slim (Martin et al., 2004) contains less than 1% of terms in the GO ontologies. GO slim is intended to provide a broad categorization of cDNA libraries or microarray data when the fine-grained resolution of the original GO ontologies are not required. Another set of simplified ontologies are those from eVOC (Kelso et al., 2003). The core eVOC ontologies consist of four orthogonal ontologies with a strict hierarchical structure to describe human anatomy, histology, development and pathology, currently consisting of 512, 180, 156 and 191 terms respectively (August, 2006). WESTERN CAPE The aim of the eVOC project is to provide a standardized, simplified representation of gene expression, unifying different types of gene expression data and increasing the power of gene expression queries. The simplified representation achieved by the eVOC ontologies is due to the implementation of multiple orthogonal ontologies with a lower level of granularity than it's counterparts.

1.3.2 Mammalian development

The laboratory mouse is being used as a model organism to study the biology of mammals (Marra et al., 1999). The expectation is that these studies will provide insight into the developmental and disease biology of humans, coloured by the finding that 99% of the 25 000 – 30 000 mouse genes may have a human ortholog (only 1% of mouse genes do not have a human ortholog) and at least 80% of mouse genes are 1:1 orthologs where the mouse sequence is the best match to the human sequence and vice versa (Waterston et al., 2002). Given the similarity between the two species, it is possible to perform functional experiments on mouse and transfer any knowledge obtained to enhance our understanding of human biology. In addition, cDNA libraries can be prepared from very early mouse developmental stages for gene expression analysis.

The study of developmental biology incorporates the identification of both the temporal and spatial expression patterns of genes expressed in the embryo and fetus (Magdaleno et al., 2006). It is important to understand developmental gene expression because many genetic disorders originate during this period (Lindsay and Copp, 2005). Similarities in behavior and expression profiles between cancer cells and embryonic stem cells (Kho et al., 2004) also fuel the need to investigate developmental biology.

Using mice as model organisms in research requires the need for comparison of resulting data and provides a means to compare mouse data to humans (Lindsay and Copp, 2005). The cross-species comparison of human and mouse gene expression data can highlight fundamental differences between the two species

such as greater olfactory and immune capabilities, impacting on areas as diverse as the effectiveness of therapeutic strategies in the treatment of cystic fibrosis or Alzheimer's to the elucidation of the components such as tail, fur and whiskers that determine species. Using ontology-annotated gene expression events to compare across species provides a structured and accurate means of identifying identical gene expression context between the species, particularly if the annotation of each species differs in granularity.

1.3.3 Cross-species gene expression comparison

Function of most human genes has been inferred from model organism studies, based on the transitive assumption that genes sharing sequence similarity also share function when conserved across species (Zhou and Gibson, 2004). The same principle can be applied to gene regulation. The first step is to find not only the orthologs, but the commonly expressed orthologs. We predict that although two genes are orthologous between human and mouse, their expression patterns differ on the temporal and spatial level, indicating that their regulation may differ between the two species.

The terminology currently used to annotate human and mouse gene expression can be ambiguous (Eilbeck et al., 2005) among species since one term may be used to describe many different structures or one structure may be defined by more than one term, which is a result of different ontologies being used to annotate different species. The way in which we circumvented this issue is to

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effectively map the ontology terms across species by using the same terminology for each species. This adaptation allows the integration of human and mouse ontologies as well as the comparison of the data it is used to annotate – a feature not possible with current ontologies. Although the EMAP, MA, HUMAT and FMA ontologies describe the anatomical structures throughout the development of the mouse and human, their complexities complicate the alignment of the anatomy between the two species. With the alignment of terms between a mouse and human ontology, the data mapped to each term becomes comparable, allowing efficient and accurate comparison of mammalian gene expression. A SAEL-related project, XSPAN (Dennis et al., 2003), is aimed at providing a web tool to enable users to find equivalent terms between ontologies of different species. Although useful, the ontologies used describe only spatial anatomy and are not temporal.

We have attempted to address the issue by developing simplified ontologies that allow the comparison of gene expression between human and mouse on a temporal and spatial level. The distribution of human and mouse anatomy terms across development match the structure of the human adult ontologies that form the core of the eVOC system.

Due to the ambiguous annotation of current gene expression data between human and mouse, and the lack of data mappings accompanying the available ontologies, the ontologies presented here have been developed in concert with semi-automatic mapping and curation of 8 852 human and 1 210 mouse cDNA libraries. We have therefore created a resource of simplified, standardized gene expression enabling

cross-species comparison of gene expression between mammalian species that is publicly available.

1.4 Materials and methods

1.4.1 Ontology development

The ontologies were constructed using the COBrA (Aitken et al., 2005) and DAG-edit (http://www.geneontology.org/GO.tools.shtml#dagedit) ontology editors. Each term has a unique accession identifier with 'EVM' as the namespace for mouse and 'EV' for human, followed by seven numbers. This is consistent with the rules defined by the GO consortium (Ashburner et al., 2000).

Using the human adult eVOC anatomical system ontology as a template, terms from the Theiler stage 26 (mouse developmental stage immediately prior to birth) section of the EMAP ontology were inserted to create the Theiler stage 26 developmental eVOC mouse ontology. Proceeding from Theiler stage 26 to Theiler stage 1, each stage was used as a template for the next stage and any term not occurring at that specific stage, using EMAP as reference, was removed. Similarly, if a term occurred in EMAP that was not present in the previous stage, it was added to the ontology. The result is a set of 26 ontologies, one for each Theiler stage of mouse development, with many terms appearing and disappearing throughout the ontologies according to changes of anatomy during mouse development. The Theiler stage 28 (adult mouse) ontology was constructed in the same way as the developmental ontologies, using the MA ontology as a reference. A previously not available Theiler stage 27 ontology was developed by comparing Theiler stage 26 and Theiler stage 28. Any terms that differed between the two stages were manually curated and included or removed in Theiler stage 27 as needed. The Theiler stage 27 ontology therefore represents all immature, postnatal anatomical structures. Theiler stage 28 ontology terms have been mapped to the adult human eVOC terms by using the human eVOC accession identifiers as database cross-references in the mouse ontology. Similarly, the EMAP accession number for each term was mapped to the developmental mouse ontologies. The result is a set of 28 ontologies that are an untangled form of the EMAP and MA ontologies, with mappings between them.

A set of human developmental ontologies were created by using the same method as was used for mouse. The reference ontologies for human development were the HUMAT ontologies, which describes the first 23 Carnegie stages of development, classified according to morphological characteristics.

The 28 mouse and 23 human ontologies were merged into two ontologies – one for mouse and one for human. Each merged ontology (named Mouse Development and Human Development) contains all terms present in the individual ontologies. A Theiler Stage ontology was created for mouse, which contains all 28 Theiler stages categorized into embryo, fetus or adult. The existing eVOC Development Stage ontology serves as the human equivalent of the mouse Theiler Stage ontology. The Mouse Development, Human

Development, Theiler Stage and the existing Development Stage ontologies form the core of the Developmental eVOC ontologies.

1.4.2 Data mapping

Mouse and human cDNA libraries were obtained from the publicly available CGAP resource (January, 2006) and mapped (semi-automated) to the entire set of eVOC ontologies. The eVOC ontologies consist of Anatomical System, Cell Type, Developmental Stage, Pathology, Associated With, Treatment, Tissue Preparation, Experimental Technique, Pooling and Microarray Platform. The 'age' annotation of the mouse CGAP libraries were manually checked against the Gene Expression Database (version 3.41; December, 2005) (Hill et al., 2004) to determine the Theiler stage of each library. Due to the lack of a resource providing the Carnegie stage annotation for cDNA libraries, the human cDNA VESTERN CAPE libraries were annotated according to the age annotation originally provided by CGAP. Genes associated with each mouse and human cDNA library were obtained from NCBI's UniGene (March, 2006) (http://www.ncbi.nlm.nih.gov/entrez/query.fcgi?db=unigene). A list of humanmouse obtained HomoloGene (build orthologs were from 53) (http://www.ncbi.nlm.nih.gov/entrez/query.fcgi?db=homologene).
1.4.3 Data mining

The genes were filtered according to the presence or absence of expression evidence and homology. A gene passed the selection criteria if it has an ortholog and if both genes in the ortholog pair have eVOC-annotated expression. According to eVOC annotation, genes were categorized into those that showed expression in normal adult brain and those expressed in normal developmental brain, many genes appearing in more than one category. Genes expressed in normal adult brain were subtracted from those with expression in normal developmental brain to establish genes whose expression in the brain occurs only during development. The expression profiles of the developmentally-biased genes annotated to female reproductive system, heart, kidney, liver, lung, male reproductive system and stem cell for post-natal and developmental expression were determined according to the eVOC annotation of the cDNA libraries, and the correlation coefficient of the ortholog-pairs were calculated.

1.5 Results and discussion

1.5.1 Ontology development

The ontologies were originally created to accommodate requests by the FANTOM3 consortium (Carninci et al., 2005) for a simple mouse ontology that could be used in alignment to the human eVOC ontologies. The FANTOM3 project was a collaborative effort by many international laboratories to analyze the mouse and human transcriptome. The aim was to generate a transcriptional

in the developmental eVOC ontologies to ensure interoperability between external ontologies and eVOC. Terms from the mouse have also been mapped to those from human to enable cross-species comparison of the data mapped.

The integration of the ontologies is described in Figure 1, where 'Mouse eVOC' refers to the individual mouse ontologies and 'Human eVOC' refers to the individual human ontologies (including the adult human ontology). The EMAP and MA ontologies represent mouse pre- and post-natal developmental anatomical structures, respectively, and therefore exhibit no commonality. The mouse developmental eVOC ontologies integrate the two ontologies by containing terms from, and mappings to, both the EMAP and MA ontologies. Of the 2 840 terms in the individual mouse ontologies, 1 893 and 237 map to EMAP and MA. The human developmental eVOC ontology is an untangled version of the HUMAT ontology and has one-to-one mappings to the mouse developmental ontology, providing a link between the terms and data mappings between the mouse and human ontologies.

The presence of species-specific anatomical structures posed a challenge when aligning the mouse and human terms. An obvious example is the presence of a tail in mouse but not in human. We decided that there would simply be no mapping between the two terms. Further challenges involved structures such as paw and hand. The two terms cannot be made identical because it is incorrect to refer to the anterior appendage of a mouse as a hand. However, due to the fact that the mouse paw and human hand share functional similarities, the two terms are not identical, but are mapped to each other based on functional equivalence.



Figure 1

Venn diagram illustrating the integration of mouse and human ontologies represented by the eVOC system. The total number of terms in each ontology is in parentheses. The numbers in each set are the number of terms in the intersection represented by that set. 'Mouse eVOC' represents the 28 individual mouse ontologies and 'Human eVOC' represents the 23 individual human and adult ontologies; therefore, the numbers in parentheses refer to the total number of terms in all the eVOC ontologies for each species. The intersection of the Mouse eVOC with the EMAP and MA ontologies represents the number of terms in Mouse eVOC that have database crossreferences to EMAP and MA. Similarly, the intersection of the Human eVOC and HUMAT sets represents the number of Human eVOC terms that map to HUMAT terms. The number within the arrows represents the number of mapped human and mouse eVOC terms. In order to provide simplified ontologies, the 28 mouse and 23 human ontologies were merged to create two ontologies – one for each species. In addition, a Theiler Stage ontology was created that represents the Theiler stages of mouse development. The human stage ontology is represented by the current eVOC Development Stage. A cross-product of two terms (one from the merged and one from the stage ontology) for a species can therefore represent any anatomical structure at any stage of development.

The relationship between the Developmental Mouse and individual ontologies is illustrated in Figure 2, where the term 'brain' is mapped to 12 terms in the individual ontologies and therefore occurs in 12 of the 28 Theiler stages. All terms in the individual ontologies that are derived from EMAP or MA for mouse, and HUMAT for human are mapped to the corresponding term by adding the term's accession from the external ontology as a database cross-reference in the eVOC ontologies. Figure 3 shows that the database cross-reference is the accession of the EMAP term, indicating that 'intestine' of the 'Theiler stage 13' ontology is equivalent to the term represented by 'EMAP:600'. This feature allows cross-communication, and thereby integration, of the EMAP, MA, HUMAT and eVOC ontologies.

The ontologies presented here are simplified versions of existing human and mouse developmental and adult ontologies, containing 1 670 and 2 840 terms respectively. Table 1 shows the number of terms and database cross-references for the individual mouse and human ontologies. The Theiler Stage 4 ontology contains 12 terms and has 9 mappings to the EMAP ontology. The mouse and



Figure 2

Screenshot of the Mouse Development ontology, visualised in COBrA. The left panel shows the hierarchy of the ontology, with 'brain' as the highlighted term. The right panel lists the 12 database cross-references mapped to 'brain', representing the accession of 'brain' in each of the 12 individual ontologies.

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Figure 3

Screenshot of the individual Theiler Stage 13 ontology, visualised in COBrA. The left panel displays the ontology with terms of anatomical structures occurring only in Theiler stage 13 of mouse development. The right panel lists the accession of the equivalent term in the external ontology as a database cross-reference.

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Table 1

Statistics of the individual developmental eVOC ontologies, representing the alignment between human and mouse stages. The first three columns display the individual mouse ontologies, the number of terms in each ontology, and the number of external references of each. The last three columns display the individual human ontologies, the number of terms, and the number of external references of each. The external references refer to the EMAP and MA ontologies for mouse, and to HUMAT for human. The alignment of the rows between the mouse and human ontologies represents the alignment of the Theiler and Carnegie stages of development based on morphological similarities. For example, the Theiler Stage 4 ontology contains 12 terms and has 9 mappings to the EMAP ontology. Mouse Theiler Stage 4 is equivalent to human Carnegie Stage 3. The Carnegie Stage 3 ontology contains 13 terms and has 11 mappings to terms from the HUMAT ontology.

Theiler	Mouse	External	Carnegie	Human	External
Stage	Terms	Reference	Stage	Terms	Reference
1	6	4		5	4
2	5	3	2	5	4
3	6	4			
4	12	9	3	13	11
5	9	6	DOLTAN CO		
6	10	VIESTE	RALLY OF U	10	8
7	11	9	KN GAF		
8	12	10	5a	10	8
			5b	11	10
			5c	9	8
9	14	14	6a	14	16
			6b	19	18
10	14	18	7	20	17
11	32	29	8	22	19
12	56	63	9	52	54
13	55	64	10	60	80
14	67	85	11	72	92
15	80	109	12	80	98

Theiler Stage	Mouse Terms	External Reference	Carnegie Stage	Human Terms	External Reference
16	93	128	13	103	131
17	103	137	14	122	149
18	116	155	15	131	165
19	134	173	16	155	178
20	157	171	17	170	184
21	193	239	18	188	223
			19	199	237
22	209	299	20	200	237
23	216	303			
24	226	316			
25	234	339		5	
26	238	348			
27	266	0			
28	266	246	adult	512	
TOTAL	2840	3288 UNIVE	RSITY of th	2049	1951

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human stages have been aligned in the table and therefore shows that mouse Theiler Stage 4 is equivalent to human Carnegie Stage 3, based on morphological similarities during development (http://www.ana.ed.ac.uk/anatomy/database/ humat/MouseComp.html). The Carnegie Stage 3 ontology contains 13 terms and has 11 mappings to the HUMAT ontology. The difference in the number of ontology terms and external references is attributed to the addition of terms to maintain the standard structure of the eVOC system. In this example, the term 'germ layers' is in the eVOC ontologies, but not in the EMAP or HUMAT ontologies. Many eVOC terms are mapped to more than one term in the external referencing ontology as an artifact of the simplification of the ontologies, resulting in a one-to-many relationship between eVOC and it's reference For example, 'myocardium' at Theiler Stage 12 in the eVOC ontology. ontologies is mapped to five EMAP identifiers. Each EMAP identifier references a cardiac muscle, but at a different location. eVOC does not distinguish between cardiac muscle of the common atrial chamber (EMAP:337) and cardiac muscle of the rostral half of the bulbus cordis (EMAP:330). Compared to their counterparts, the Developmental eVOC ontologies represent 22% of both the human HUMAT and mouse EMAP ontologies, with the only relationship between the terms being 'IS A'. Note that relationships within the eVOC ontologies only indicate an association between parent and child term and do not systematically distinguish between is a or part of relationships. As eVOC moves to adopt relationship types from the OBO Relation Ontology (Smith et al., 2005) relations will be reviewed and curated. Using a principle of data-driven development, eVOC terms are

added at an annotator's request, resulting in a dynamic vocabulary describing gene expression.

1.5.2 Data mapping

The resources providing ontologies to annotate gene expression do not always provide the data itself. In order to obtain mouse and human data, one would have to search separate databases for each species. An example of this would be searching MGI for mouse gene expression data, and ArrayExpress for human. Apart form having to access different databases to obtain data, the terminology used to describe the data is ambiguous and differs in the level of granularity, impacting on the accuracy of inter-species data comparison. The ontology terms have therefore been used to annotate 8 852 human and 1 210 mouse cDNA libraries from the Cancer Genome Anatomy Project (CGAP) (January, 2006) (http://cgap.nci.nih.gov/).

The mapping process revealed inconsistencies in the annotation of the human and mouse CGAP cDNA libraries, requiring manual intervention and emphasizing the need for a standardized annotation. All genes associated with the libraries have been extracted by association through UniGene (March, 2006). A gene was considered to be associated with a cDNA library if at least one EST was evident for the gene in a particular library. The result is a set of 21 152 human and 24 047 mouse genes from UniGene that are represented by CGAP cDNA libraries and annotated with eVOC terms, and represent the set of human and mouse genes for

which there is expression evidence. CGAP represents an ascertainment bias where there is a strong over-representation for cancer genes, and therefore future efforts for this research will include obtaining a well-represented, evenly distributed dataset of human and mouse gene expression. The list of human and mouse orthologs were extracted from HomoloGene to represent the 16 324 human-mouse orthologs. Two genes were considered to be orthologs if they shared the same HomoloGene group identifier (March, 2006).

1.5.3 Data mining

Genes may be categorized according to their eVOC annotation on a spatial or temporal level, or a combination of both. An example of this would be genes expressed in the heart at Theiler Stage 26 for mouse. For the purposes of this UNIVERSITY of the study, we searched for human-mouse orthologs that are expressed in the normal WESTERN CAPE postnatal and developmental brain of both species, where a gene is classified as normal if it's originating library was annotated as 'normal'. Research involving gene expression of the brain aims at identifying causes of psychological and neurological diseases, many of these diseases originating during development. With the use of mice as model organisms in this kind of research, it is important to identify genes which are co-expressed in human and mouse on the temporal and spatial level. The results of our analysis show that of the available 16 324 human-mouse orthologs, 14 434 can be found in CGAP libraries for both human and mouse. When looking at brain gene expression, we could segregate genes according to their spatial and temporal expression patterns. We found that of all

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the orthologs expressed in the brain, 10 980 genes were expressed in the postnatal brain of both species whereas 1 692 genes were expressed in the developing brain of both species. Of these two sets of genes, 90 genes were found to have biased expression for developmental brain (Table 2) where developmentally biased genes are those that are expressed during development and not the postnatal organism in either human, mouse or both species (see Figure 4 for illustration). It is important to note that only genes whose orthologs also have expression evidence were considered for analysis. This small number of genes found to be biased for expression during brain development in both species may be a result of data-bias due to the difficulty involved in accessing developmental libraries. Our future efforts will include expanding the data platforms to provide data that is representative of the biology. This analysis does however demonstrate the usefulness of the ontologies in performing cross-species gene expression analyses.

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The Gene Ontology (GO) categories that are highly associated with the 90 genes biased for developmental brain expression were extracted with the use of the DAVID bioinformatics resource (Dennis et al., 2003). The human representatives of the human-mouse orthologs cluster with GO terms such as 'nervous system development' and 'cell differentiation', suggesting a shared role for development of the mammalian brain, and therefore may be potential targets for the analysis in neurological diseases. Given the existence of ascertainment bias on these kinds of data, it was still surprising to see how many genes passed the stringent selection criteria. Searching the Online Mendelian Inheritance of Man (OMIM) database



Figure 4

Diagram illustrating the sets of genes analysed for developmental brain expression bias. Genes for human and mouse grouped together if they are expressed in post-natal or developmental brain, respectively. The intersection between the human and mouse developmental brain genes represent those genes showing common expression in the two species. Subtracting genes commonly expressed in human and mouse post-natal brain determines those genes that show developmental restriction in either human, mouse or both species.

Table 2

Genes showing developmental expression bias in human and mouse brain. The table lists the HomoloGene group identifier, Entrez Gene identifier and gene symbol of the 90 human-mouse orthologs found to have an expression bias towards the embryonic and fetal stages of brain development, without expression during postnatal development. Genes were only considered for analysis if they have an ortholog, and if the ortholog also has expression evidence based on eVOC annotation.

HomoloGene	Human	Human Entrez Gene	Mouse	Mouse Entrez Gene
group identifier	Entrez	Symbol	Entrez Gene	Symbol
	Gene ID		ID	
32	435	ASL	109900	Asl
268	5805	PTS	19286	Pts
413	353	APRT	11821	Aprt
1028	1606	DGKA	13139	Dgka
1290	9275	BCL7B	12054	Bcl7b
1330	857	CAV1	12389	Cavl
1368	1054	CEBPG	12611	Cebpg
1871	4760	NEUROD1	18012	Neurod1
1933	5050	PAFAH1B3	18476	Pafah1b3
2212	6182	MRPL12	56282	Mrpl12
2593	7913	DEK	110052	Dek
2880	8835	SOCS2	216233	Socs2
3476	9197	SLC33A1	11416	Slc33a1
4397	8971	HIFXERSITY	243529	Hlfx
4983	10991	SLC38A3	76257	Slc38a3
6535	11062	DUS4LERN C	71916	Dus41
7199	11054	OGFR	72075	Ogfr
7291	10683	DLL3	13389	D113
7500	5806	РТХ3	19288	Ptx3
7516	389075	RESP18	19711	Resp18
7667	1154	CISH	12700	Cish
7717	24147	FJX1	14221	Fjx1
7922	6150	MRPL23	19935	Mrpl23
9120	25851	DKFZP434B0335	70381	2210010N04Rik
9355	51637	C14orf166	68045	2700060E02Rik
9813	55627	FLJ20297	77626	4122402O22Rik
10026	55172	C14orf104	109065	1110034A24Rik
10494	58516	FAM60A	56306	Tera
10518	84273	C4orf14	56412	2610024G14Rik
10663	57171	DOLPP1	57170	Dolpp1
10695	57120	GOPC	94221	Gopc
10774	57045	TWSG1	65960	Twsgl
11653	79730	FLJ14001	70918	4921525L17Rik
11920	84303	CHCHD6	66098	Chchd6

HomoloGene group identifier	Human Entrez Gene ID	Human Entrez Gene Symbol	Mouse Entrez Gene ID	Mouse Entrez Gene Symbol
11980	84262	4262 MGC10911		1810042K04Rik
12021	84557	MAP1LC3A	66734	Map11c3a
12418	124056	NOXO1	71893	Noxol
12444	84902	FLJ14640	72140	2610507L03Rik
12993	84217	ZMYND12	332934	Zmynd12
14128	91107	TRIM47	217333	Trim47
14157	90416	CCDC32	269336	Ccdc32
14180	115294	PCMTD1	319263	Pcmtd1
14667	113510	HEL308	191578	He1308
15843	79591	C10orf76	71617	9130011E15Rik
16890	399664	RKHD1	237400	Rkhd1
17078	387914	TMEM46	219134	Tmem46
17523	115290	FBXO17	50760	Fbxo17
18123	140730	RIMS4	241770	Rims4
18833	143678	LOC143678	75641	1700029I15Rik
18903	440193	KIAA1509	68339	0610010D24Rik
19028	146167	LOC146167	234788	Gm587
20549	4324	MMP15	17388	Mmp15
21334	10912	GADD45G	23882	Gadd45g
22818	29850	TRPM5	56843	Trpm5
24848	266629	SEC14L3	380683	RP23-81P12.8
26702	93109	TMEM44	224090	Tmem44
27813	84865	FLJ14397	243510	A230058J24Rik
31656	27000 U	ZRFIERSITY	22791	Dnajc2
32293	51018	CGI-115	67223	2810430M08Rik
32331	51776	ZAK	65964	B230120H23Rik
32546	64410	KLHL25	207952	Klhl25
32633	136647	C7orf11	66308	2810021B07Rik
35002	93082	LINCR	214854	Lincr
37917	1293	COL6A3	12835	Col6a3
40668	9646	SH2BP1	22083	Sh2bp1
40859	27166	PX19	66494	2610524G07Rik
41703	118881	COMTD1	69156	Comtd1
45198	65117	FLJ11021	208606	1500011J06Rik
45867	139189	DGKK	331374	Dgkk
46116	401399	LOC401399	101359	D330027H18Rik
49899	143282	C10orf13	72514	2610306H15Rik
49970	83879	CDCA7	66953	Cdca7
55434	1289	COL5A1	12831	Col5a1
55599	669	BPGM	12183	Bpgm
55918	6882	TAF11	68776	Tafl1
56005	6328	SCN3A	20269	Scn3a
56571	26503	SLC17A5	235504	Slc17a5
56774	54751	FBLIM1	74202	Fblim l

HomoloGene group identifier	Human Entrez Gene ID	Human Entrez Gene Symbol	Mouse Entrez Gene ID	Mouse Entrez Gene Symbol
64353	126374	WTIP	101543	Wtip
65280	286128	ZFP41	22701	Zfp41
65318	23361	ZNF629	320683	Zfp629
65328	7559	ZNF12	231866	Zfp12
68420	9559	VPS26A	30930	Vps26
68934	57016	AKR1B10	14187	Akr1b8
68973	1663	DDX11	320209	Ddx11
68998	170302	ARX	11878	Arx
78698	387876	LOC387876	380653	Gm872
81871	56751	BARHL1	54422	Barhl1
82250	150678	MYEOV2	66915	Myeov2
84799	22835	ZFP30	22693	Zfp30



UNIVERSITY of the WESTERN CAPE implicated some of the 90 genes, such as *GOPC*, *ARX* and *DEK*, in diseases such as astrocytoma, lissencephaly and leukemia.

To assess the similarity in expression across major human and mouse tissues other than brain, the expression profiles of the 90 genes with bias for developmental expression were determined for developmental and adult expression in the following tissues: female reproductive system, heart, kidney, liver, lung, male reproductive system and stem cell. These tissues were chosen based on the availability of data for each tissue in the developmental and adult categories. For each ortholog-pair, we determined the correlation between their expression profiles (see Appendix III). We found that, according to the cDNA libraries, one mouse gene was found to be expressed in all the tissues in both post-natal and development (Twsg1), and three mouse genes were expressed only in the mouse brain (Resp18, Gm872, Barhl1) as opposed to all other tissues (see Appendix IV **INIVERSITY** of the for expression profile). The highest correlation score between an ortholog-pair is WESTERN CAPE 0.646 (HomoloGene identifier: 27813) having identical expression profiles during development (expressed in liver and stem cell), but differing during post-natal expression (expression in mouse heart, kidney and stem cell but not in their human counterparts). The correlations observed suggest that the expression profiles of orthologs across these major tissues are only partially conserved This finding strengthens our understanding of between human and mouse. orthologous gene expression in that although two genes are orthologs, they do not share temporal and spatial expression patterns and therefore probably do not share a majority of their regulatory modules (Odom et al., 2007).

Developmental gene expression may be subdivided into embryonic and fetal expression which in turn may be categorized further according to the Theiler and Carnegie stages for mouse and human, allowing a high-resolution investigation of gene expression profiles between the two species. This stage by-stage expression profile for human and mouse will allow investigation into common regulatory elements of co-developmentally expressed genes and give new insight into the characterization of the normal mammalian developmental program.

1.6 Conclusions

The developmental mouse ontologies were developed in collaboration with the FANTOM3 consortium to have the same structure and format as the existing human eVOC ontologies to enable the comparison of developmental expression data between human and mouse. The developmental ontologies have been constructed by integrating the Edinburgh Mouse Atlas Project, Mouse Anatomy, the developmental Human Anatomy and the human adult eVOC ontologies. The re-organization of existing ontological systems under a uniform format allows the consistent integration and querying of expression data from both human and mouse databases, creating a cross-species query platform with one-to-one mappings between terms within the human and mouse ontologies.

The ontologies have been used to map human and mouse gene expression events, and can be used to identify differential gene expression profiles between the two species. In future, the ontologies presented here will be used to investigate the

transcriptional regulation of genes according to their characteristics based on developmental stage, tissue and pathological expression profiles, providing insight into the mechanisms involved in the differential regulation of genes across mammalian development.

1.7 Availability

The mouse eVOC ontologies, their mappings and the datasets referred to in this manuscript are available under a FreeBSD-style license at the eVOC website (http://www.evocontology.org) and are appended here as Appendix V and VI.



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Chapter 2

Expression profiling reveals tissue-restricted transcription factor complexes

2.1 Summary

The study presented in this chapter formed part of a major effort by the Genome Network Project (GNP) aimed at understanding the transcriptional networks involved in the growth arrest and differentiation in mammalian cells, using THP-1 cells (Human acute monocytic leukemia cell line) as a model system. My involvement in the project was two-fold:

- Assist in analysing the response of 1 805 transcription factors from THP-1-derived macrophage cells to LPS stimulation over a range of timepoints; and
- 2. Investigate the tissue expression profiles of 1 805 transcription factors under investigation.

In (1) above, THP-1 cells were induced to differentiate into macrophages by adding phorbol myristate acetate (PMA). After 96 hours, an immune response was induced by adding lipopolysaccharide (LPS) and the effect on transcription was monitored over a time-series of 0.5h, 1h, 2h, 3h, 4h, 8h, 10h, 12h, 18h and 24h. For each time-point, expression data was generated on three platforms: Illumina microarray, CAGE tags (cap analysis of gene expression) and qRT-PCR. I was part of the group that used the expression data from the Illumina platform to

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determine which genes were up- and down-regulated during the early (0.5h, 1h, 2h, 3h), middle (4h, 8h, 10h) and late (12h, 18h, 24h) response to LPS stimulation. The results of this analysis formed the basis of the paper 'The transcriptional network that controls growth and differentiation in a human myeloid leukemia cell line' published in Nature Genetics by the GNP (Suzuki et al., 2009), wherein I am listed as co-author due to my involvement in the analysis. The publication is appended as Appendix VIIa. My analysis method and interpretation that contributed to the publication is appended as Appendix VIIb. The analysis yielded the categorisation of 193 genes into 10 categories according to their level of expression across ten time-points. The categorisation of these genes contributed to the identification of the regulatory motifs whose activity is significantly altered during PMA-induced differentiation. In addition, the data and computational tools developed by the consortium members have been collated into an online database that allows users to give a gene as input and is provided with it's expression on the three expression platforms across the timeseries (http://fantom.gsc.riken.jp/4/).

In (2) above, I used the ontologies and mappings described in Chapter 1 to determine the tissue expression profiles of the list of transcription factors under investigation by the GNP (1 805 genes). The list of genes for which an expression profile was required was provided to me by the GNP. I was responsible for the development, implementation and interpretation of the analysis, which is presented here as Chapter 2. The results of this analysis were provided to the GNP to assist in the interpretation and discussion of the results presented in the publication.

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2.2 Aim

The aim of this chapter is to use the Developmental eVOC system to illustrate the identification of tissue-restricted, co-expressing transcription factors. The identification of co-expressing genes gives insight into the regulation of genes specific to a particular cell type or disease.

2.3 Background



Transcription factors are sequence-specific DNA-binding proteins forming the regulatory machinery responsible for the differential gene expression,

development and regulation of cellular processes in an organism. Transcription factors function by binding to a promoter sequence in the upstream, untranslated region of a gene, allowing RNA polymerase II to bind and initiate transcription (Nikolov and Burley, 1997).

It is widely accepted that transcription factors function in complexes (Sandelin et al., 2007) rather than individually. The activation of transcription is greatly influenced by the composition of these transcription factor complexes where the presence or absence of even one transcription factor can alter the ability of the complex to activate transcription (Reid et al., 2009). This sensitive transcriptional switch therefore affects the regulation of gene expression on a spatial and temporal level (Lee and Young, 2000). In addition to one gene being controlled by many different combinations of transcription factors, it is also known that any given combination of transcription factors are able to activate more than one gene, providing a means to control the co-regulation of genes (Reid et al., 2009).

The efficiency of transcription factors are also variable, with some having a high DNA-binding affinity and others having low affinity, creating a mechanism whereby the cell can control the number of mRNA molecules transcribed from a gene. In addition, it is suggested that ubiquitously expressed transcription factors control a broad set of genes that are then fine-tuned by tissue-specific transcription factors (Vaquerizas et al., 2009). Regulation of gene expression by transcription factors is therefore greatly influenced by their tissue expression profiles as well as their involvement in transcription factor complexes.

Conventional expression profiling experiments focus on a few individual genes of interest. With the discovery of high-throughput technologies, it has become increasingly apparent that genes should be analysed within their genomic context. Since transcription factors function as groups or complexes, it is necessary that our investigations of gene expression events reflect this. The aim of this study is to identify tissue-restricted transcription factors. The rationale behind this is that the identification of transcription factors responsible for tissue-specific expression of a particular gene may be investigated across different pathological states, thereby giving insight into the genes responsible for the disease in question.



2.4.1 Data generation WESTERN CAPE

2.4

The members of the Genome Network Project (GNP), for which this study was conducted, compiled a list of human transcription factors for analysis, hereafter referred to as the Genes Of Interest list (GOI-list) (March, 2007). The genes in this list originally contained all 2 353 known human transcription factors based on qRT-PCR experiments. Manual curation of the GOI-list resulted in 1 805 transcription factors that conform to the following criteria:

a) has a DNA-binding domain;

- b) shows evidence of nuclear localization according to LOCATE (Sprenger et al., 2008); and
- c) is annotated as a transcriptional regulator according to the Gene Ontology database (Ashburner et al., 2000).

A transcription factor was excluded from the GOI-list if there was strong evidence supporting localisation outside of the nucleus.

To generate expression profiles for each of the genes in the GOI-list, their Entrez Gene identifiers were obtained from the National Center for Biotechnology Information 2009) (NCBI) UniGene database (March, (http://www.ncbi.nlm.nih.gov/entrez/query.fcgi?db=unigene). The Entrez Gene identifiers were used to query a database of 8 852 human cDNA libraries in the eVOC ontology system (Kruger et al., 2007). Only terms from the Anatomical System, Cell Type, Developmental Stage and Pathology ontologies were used to VERSIT annotate the genes. The resulting expression profile lists the annotations of all the WESTERN CAPE cDNA libraries in which each gene is expressed.

2.4.2 Pseudoarray generation and expression filtering

The gene expression profiles were converted into a binary pseudoarray by listing the genes in the first column and all annotations in the first row of a table. If a gene is annotated with a term, the value in the array corresponding with that gene and term is '1'. Similarly, if a gene is not annotated with a term, the value in the

array is '0', creating a binary code for presence ('1') and absence ('0') of expression of a gene across a list of tissues represented by ontology terms.

The pseudoarray was filtered for annotations resulting from cDNA libraries derived from normal tissues. A library is considered to be from normal tissue only if the annotation explicitly states 'normal'. Annotations were discarded where the originating tissue samples were pooled or if the Anatomical System term was 'unclassifiable', indicating the sample was from an unknown tissue type. In addition, the developmental stage information was removed and identical terms from different stages were merged. Terms were collated if they were located on the same branch of a hierarchy, eg. ovary and uterus were collated and renamed 'female reproductive system'.

To avoid redundant annotation, terms from the Cell Type and Anatomical System ontologies referring to the same tissue were merged. The terms 'macrophage', 'lymphocyte' and 'bone marrow' were merged with 'blood', 'lymph' and 'bone', respectively. Due to ubiquitous expression, all terms relating to 'brain' were removed, and the following terms were collated as 'other': adipose tissue, auditory apparatus, bladder, cartilage, gall bladder, gastrointestinal tract, larynx, muscle, omentum, oral cavity, pharynx, skeletal muscle, skin, spinal cord, synovium, tonsil, umbilical cord and visual apparatus. In order to explore tissuerestricted expression, genes were further filtered based on the number of terms to which they are annotated. Only genes expressed in less than 25% of tissues were used for further analysis.

2.4.3 Expression clustering

To determine genes exhibiting similar expression patterns, the correlation coefficient of each gene pair was calculated. A correlation coefficient describes the strength of a linear relationship between two variables and has a value between '-1' (negatively correlated) and '1' (positively correlated). The correlation coefficients were calculated computationally by means of the *numpy* module of the Python scripting language. Genes showing no correlation in their expression have a correlation coefficient '0' and genes whose expression are perfectly correlated have a correlation coefficient '1'. Since the aim of the study was to find co-expressing transcription factors, negatively correlated genes were not included in the analysis. The correlation results were filtered for gene pairs showing at least 75% correlation (coeff = 0.75) in their expression. For example, if a gene pair (A and B) has a 0.80 correlation coefficient, it indicates that gene A is expressed in the same tissue as gene B for 80% of the time, indicating a high WESTERN CAPE degree of co-expression.

Genes were defined as clustering together in a network if a node (gene) is connected to another node (corresponding gene pair) by an edge (correlation coefficient ≥ 0.75). The nodes and edges resulting from the expression correlation calculations were visualised using the Cytoscape network and visualisation tool (Shannon et al., 2003).

2.4.4 Functional analysis

The list of tissue-restricted genes was analysed through the use of Ingenuity Pathway Analysis (IPA) version 7.5 (http://www.ingenuity.com). The set of genes was uploaded into the application as a list of Entrez Gene identifiers. Each gene identifier was mapped to its corresponding gene object in the Ingenuity Pathways Knowledge Base. The Functional Analysis component of the application identified the biological functions and diseases that were most significant to the data set. A Fischer's exact test was used to calculate a p-value determining the probability that each biological function and disease assigned to that data set is random.

The Canonical Pathways analysis identified the pathways from the Ingenuity Pathways Analysis library of canonical pathways that were most significant to the data set (as at August 2009). The association of a canonical pathway and the data set was measured by performing a Fischer's exact test, calculating a p-value to illustrate the probability that the association between the pathway and genes in the data set is due to chance.

2.5 Results and discussion

2.5.1 Data generation and expression profiling

Of the 1 805 genes in the TF-list, 60 genes were not represented by the cDNA libraries in the eVOC ontology system. The remaining 1 745 genes were represented by 239 unique annotation tuples, where a tuple is a list of four terms (one from each ontology) representing a cDNA library. For example, the tuple representing a cDNA library obtained from the epithelial cells of a normal fetal kidney is 'kidney|epithelial cell|fetus|normal'. Due to the hierarchical nature of an ontology, libraries are often annotated with differing granularity. For example, one technician may annotate a cDNA library derived from hippocampus as 'hippocampus', whereas another technician would annotate the same cDNA library as 'brain'. To compensate for this annotation inconsistency, terms were merged to reflect the least granular term. SITY of the

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The merging and removal of terms resulted in 1 734 genes represented by 21 ontology terms. To determine which genes showed tissue-restricted expression, the genes were further filtered based on the number of tissues in which they are expressed. Table 1 lists the 145 genes that are expressed in less than 25% of the tissues represented by the 21 ontology terms. It should be noted that, as with most analyses, the results obtained here might be subjected to a data bias. Since only one expression source (namely ESTs) is used, it is possible that the expression of certain genes were not captured. Although the focus of this study is the development of a method to determine tissue-restricted expression factors, the

Table 1

A list of the 145 genes expressed in less than 25% of all tissues. The table consists of two panels, each listing the Entrez gene identifier and gene symbol for the human transcription factors showing tissue-restricted expression.

GeneID	GeneSymbol	GeneID	GeneSymbol
326	AIRE	8345	HIST1H2BH
430	ASCL2	8820	HESX1
579	BAPX1	8970	HIST1H2BJ
668	FOXL2	9970	NR1I3
1032	CDKN2D	10215	OLIG2
1053	CEBPE	10655	DMRT2
1745	DLX1	10794	ZNF272
1746	DLX2	11077	HSF2BP
1748	DLX4	11281	POU6F2
1761	DMRT1	25806	VAX2
1961	EGR4	26038	CHD5
1993	ELAVL2	26108	PYGO1
2016	EMX1	26468	LHX6
2020	EN2	27023	FOXB1
2103	ESRRB	27164	SALL3
2118	ETV4	27288	HNRNPG-T
2294	FOXF1	27439	CECR6
2295	FOXF2	30009	TBX21
2297	FOXD1	30012	TLX3
2302	FOXJ1 UNI	50805 31 1 1	IRX4
2304	FOXE1 WES	51022	GLRX2
2306	FOXD2	51402	LW-1
2623	GATA1	51450	PRRX2
2672	GFI1	54626	HES2
3007	HIST1H1D	55552	HSZFP36
3008	HIST1H1E	55659	ZNF416
3009	HIST1H1B	56938	ARNTL2
3110	HLXB9	56978	PRDM8
3198	HOXA1	57116	ZNF695
3205	HOXA9	57332	CBX8
3207	HOXA11	57343	ZNF304
3209	HOXA13	57801	HES4
3231	HOXD1	58495	OVOL2
3234	HOXD8	60529	ALX4
3642	INSM1	63978	PRDM14
3975	LHX1	79192	IRX1
4210	MEFV	79722	FLJ11795
4656	MYOG	79816	TLE6
4796	NFKBIL2	79862	ZNF669
4821	NKX2-2	80032	ZNF556

GeneID	GeneSymbol	GeneID	GeneSymbol
4861	NPAS1	84127	RUNDC2A
4901	NRL	84911	ZNF382
5013	OTX1	85409	NKD2
5076	PAX2	85446	ZFHX2
5077	PAX3	89870	TRIM15
5079	PAX5	90649	ZNF486
5081	PAX7	94039	ZNF101
5453	POU3F1	94234	FOXQ1
5454	POU3F2	116448	OLIG1
5455	POU3F3	126295	LOC126295
5462	POU5F1P1	129025	SUHW1
5992	RFX4	136051	DKFZp762I137
6474	SHOX2	138474	TAF1L
6493	SIM2	140883	SUHW2
6496	SIX3	142689	ASB12
6664	SOX11	146434	ZNF597
6689	SPIB	148268	ZNF570
6877	TAF5	148979	GLIS1
6899	TBX1	161253	FLJ38964
6913	TBX15	162979	ZNF342
7023	TFAP4	163059	ZNF433
7161	TP73	163071	ZNF114
7291	TWIST1	170302	ARX
7310	U2AF1L1	171392	ZNF675
7546	ZIC2	221527	ZBTB12
7621	ZNF70 UNI	245806	VGLL2
7673	ZNF222 WES	253738	EBF3
7675	ZNF121	283078	MKX
7710	ZNF154	285676	ZNF454
7768	ZNF225	339416	ANKRD45
8092	CART1	339488	TFAP2E
8193	DPF1	341405	ANKRD33
8320	EOMES		

addition of data sources such as CAGE, MPSS and SAGE will dramatically increase the quality of the results.

Not surprisingly, more than 80% of the restricted genes are regulators of gene expression according to their Gene Ontology annotations. In addition, a small percentage of the restricted genes are involved in immune system development (*BAPX1, TBX21* and *SPIB*), embryonic development (*EOMES, OTX1, BAPX1, FOXE1, HOXD8, SIM2, FOXF1, LHX1, VAX2, FOXF2, TRIM15, GFI1, ASCL2, FOXL2, TBX1* and *ZIC2*) and cell fate specification (*NKX2-2, TLX3* and *GFI1*). The pseudoarray illustrating the expression profiles of these genes is represented by Appendix VIII. It is interesting to note that these genes showing tissue-restricted expression are biased for expression pertaining to developmental processes – probably the most tightly regulated processes in an organism. This observation strengthens the hypothesis that ubiquitously expressed transcription factors regulate a broad set of genes whereas tissue-restricted transcription factors are responsible for the fine-tuned regulation within a cell.

2.5.2 Expression clustering

The current knowledge of transcription factor function suggests that they function as protein complexes, indicating that the functional and expression profiling of a single transcription factor is unuseful. In order to determine how transcription factors regulate gene expression, it is important to determine which transcription factors function together. The correlations of gene expression profiles were

determined in order to assess which genes co-express across a range of tissues. The co-expression of transcription factors implicates their involvement in the coregulation of their target genes, providing the basis for further functional studies.

A moderate correlation cutoff of 75% resulted in 112 genes represented by 8 gene clusters. Genes clustered together if there was at least one edge (correlation coefficient ≥ 0.75) between two genes. Not surprisingly, the results show one large gene cluster (Figure 1a) with a few smaller clusters (Figure 1b). Investigations of the annotations of the genes in Figure 1b reveal a few clusters (3, 4 and 5) that exhibit tissue-restricted expression for female reproductive system, male reproductive system and stem cell, respectively. In addition, clusters 6, 7 and 8 show tissue-biased expression. These results indicate that the genes in each cluster are co-expressed in certain tissues and therefore possibly function as a unit to activate the transcription of a gene (or sets of genes) responsible for the tissue-INIVERSIT Y of the specific characteristics of the tissue in which they are expressed. For example, it WESTERN CAPE is feasible that because the genes in cluster 5 (DLX2, BAPX1 and ZBTB12) coexpress only in the stem cell population that these transcription factors may be responsible for regulating the genes that define stemness (self-renewal, chemoresistance, pluripotency). Since we see transcription factors biased for expression in tissues that have developmental functions (female reproductive system, male reproductive system and stem cell), we can intuitively predict that the corresponding transcription factors play a role in the regulation of the development of the cell. It is even possible, given the tissues in which these genes are restricted, that they regulate the stem cell state of a cell since the male and female reproductive system has stem cell-containing tissues. The tissues



Figure 1a

Illustration of genes clustering together based on correlated co-expression. All gene clusters represent the sets of genes that cluster together based on a correlation coefficient larger than 0.75.



Figure 1b

Illustration of genes clustering together based on correlated co-expression. All gene clusters represent the sets of genes that cluster together based on a correlation coefficient larger than 0.75. Clusters 2 - 8 represents genes and tissues for which there is biased expression.

represented by the tissue-biased clusters (lung, bone, kidney, heart, lymph and blood) also have a stem cell niche with cells progressing through a defined cell lineage.

Although the above statements require experimental validation, what we see here is the identification of several complexes of transcription factors that show an expression bias towards certain tissues and therefore possibly interact with each other to combinatorially regulate a defined set of target genes. It is possible that the addition or omission of even one transcription factor in a complex may alter the regulation of a gene not only quantitatively, but also on a temporal and spatial level. It is for this reason that it is important for researchers to determine the composition of transcription factor complexes in order to understand the regulation of any gene of interest. This method of using ontologies to determine tissue-restricted transcription factor complexes can therefore be used to computationally predict transcription factors that co-regulate a set of genes.

2.5.3 Functional analysis

A functional analysis of a list of genes reveals processes with which the genes are associated, thereby giving insight into the processes governing a particular cell type or state. The functional analysis of the 145 transcription factors that exhibit a restricted expression profile suggests a functional bias towards developmental processes. Table 2a lists the top five physiological functions associated with the restricted gene set, showing a significant enrichment for the development of
Table 2a

The top five physiological system development and functions overrepresented by genes showing restricted expression.

Physiological System Development and Function	P-value		
Organ development	4.73E-15 - 1.57E-02		
Nervous System Development and Function	1.33E-10 - 2.34E-02		
Lymphoid Tissue Structure and Development	3.60E-07 - 2.12E-02		
Digestive System Development and Function	1.83E-04 - 1.83E-04		
Organismal Development	2.92E-04 - 2.92E-04		



organs and the organism as a whole. Investigation into the top five diseases associated with the data set shows that cancer is significantly over-represented (Table 2b). In addition, analysis of the canonical pathways suggests the Sonic Hedgehog Signaling pathway as the most significantly over-represented pathway by the data set (Table 3) with a p-value of 1.99×10^{-01} . Although the p-value presented here does not fall below the accepted 0.005, it does support the findings presented in 2.5.2. The p-value obtained from enrichment analyses is influenced by the size of the gene list being investigated, where a larger gene list will have a higher statistical power resulting in more significant p-values. Even so, the order of enriched terms will remain fairly stable regardless of the size of the gene list, provided the lists of different sizes are being sampled from the same data set (Huang da et al., 2009). We can therefore argue that the Hedgehog pathway is significantly over-represented even though a high p-value is obtained, since it is most likely a result of having a small gene list. The Hedgehog pathway is a key regulator of embryonic development and is highly conserved from insects to mammals. Altered Hedgehog pathway activity can lead to certain cancers such as basal cell carcinoma. There is also increasing evidence that this pathway is involved in regulating adult stem cells (Bhardwaj et al., 2001) and overrepresentation of this pathway is associated with proliferation and development (Kenney et al., 2003).

The over-representation of developmental functions, diseases and canonical pathways in the data set is strong evidence that the transcription factors showing a tissue-restricted expression bias are those factors that are responsible for the finetuning of the regulation of developmental gene expression. These tissue-restricted

Table 2b

The top five diseases and disorders associated with the genes showing restricted expression in less than 25% of all tissues.

Diseases and Disorders	P-value
Developmental Disorder	2.99E-03 - 3.88E-02
Antimicrobial Response	7.87E-03 - 7.87E-03
Cancer	7.87E-03 - 3.88E-02
Dermatological Diseases and Conditions	7.87E-03 - 3.88E-02
Endocrine System Disorders	7.87E-03 - 7.87E-03



Table 3

A list of canonical pathways over-represented by genes showing restricted expression in less than 25% of all tissues.

Ingenuity Canonical Pathways	-Log(P-value)	
Sonic Hedgehog Signaling	7.02E-01	
Estrogen Receptor Signaling	6.92E-01	
Allograft Rejection Signaling	6.16E-01	
T Helper Cell Differentiation	5.95E-01	
Autoimmune Thyroid Disease Signaling	5.95E-01	
Graft-versus-Host Disease Signaling	5.76E-01	
Dendritic Cell Maturation	5.07E-01	
ATM Signaling	4.79E-01	
TREM1 Signaling	4.58E-01	
Basal Cell Carcinoma Signaling	4.11E-01	
PXR/RXR Activation	4.06E-01	
Caveolar-mediated Endocytosis	3.71E-01	
CTLA4 Signaling in Cytotoxic T Lymphocytes	3.24E-01	
Melanocyte Development and Pigmentation Signaling	3.13E-01	
Virus Entry via Endocytic Pathways	3.10E-01	
p53 Signaling	3.02E-01	
Glioma Signaling	2.76E-01	
Type I Diabetes Mellitus Signaling	2.56E-01	
14-3-3-mediated Signaling	2.48E-01	
Glucocorticoid Receptor Signaling	2.42E-01	
CD28 Signaling in T Helper Cells	2.40E-01	
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UNIVERSITY of the WESTERN CAPE transcription factors may therefore also be implicated in the development of cancers and developmental disorders originating from a dysregulation of genes in a cell.

2.6 Conclusions

This study explored the expression profiles of a list of transcription factors known to localise in the nucleus. The aim of the study was to determine which transcription factors show tissue-restricted expression. The use of an ontologybased system enabled the identification of 145 transcription factors whose expression was limited to less than 25% of the 21 tissues represented by the dataset. Investigation of the results revealed that the tissue-restricted transcription factors are involved in developmental processes such as immune system **JNIVERSITY** of the development, embryonic development and cell fate specification. The Sonic Hedgehog Signaling pathway was the most significantly over-represented pathway in the data set, providing further evidence of a significant role of these genes in the development of an organism. In addition, the tissues in which the transcription factors showed biased expression are those tissues in which cells are continuously re-generating, indicating that these transcription factors may play a crucial role in the regulation of the progression of a cell down a defined cell lineage.

It is becoming increasingly apparent that transcription factors do not function individually, but rather as complexes. The identification of co-expressing

transcription factors will therefore be able to make an initial identification of transcription factor complexes. Clustering tissue-restricted genes based on a 75% correlation of their expression enabled the identification of 3 transcription factor complexes showing tissue-restricted (expressed in one tissue only) expression and 3 complexes showing tissue-biased (expressed in a limited number of tissues) expression patterns. The three clusters showing tissue-restricted expression represent the male and female reproductive systems as well as stem cells. We have therefore potentially identified transcription factor complexes that are involved in the regulation of the development of the cell and further investigation of the transcription factors represented by these clusters may contribute to the understanding of the regulation of normal stem cells.

The addition of expression sources to supplement the dataset used here will add quality to the results, however the method applied will not be affected. We have therefore described a robust method that applies an ontology-based system to enable the identification of transcription factor complexes that may be used to identify transcription factor complexes that function in specific tissues thereby enhancing the understanding of the regulatory potential of genes of interest.

Chapter 3

Mouse gene expression analysis of cancer/testis orthologs restricts candidates for cancer therapy.

3.1 Summary

The work presented in this chapter was conducted as part of a project aimed at characterising cancer/testis genes in human and mouse. The overall objectives of the project are fourfold:

- Characterise, and possibly re-classify, all known human cancer/testis genes;
- Identify novel human cancer/testis genes by means of expression profiling;
 UNIVERSITY of the
- 3. Identify which cancer/testis genes are most suited for developing cancer drugs or vaccines; and
- Identify mouse cancer/testis genes to use as a model system for cancer drug and vaccine development.

Objectives (1) and (2) resulted in a publication (Hofmann et al., 2008), wherein my contribution was to:

- a) use the ontologies presented in Chapter 1 to annotate a list of human cancer/testis genes and their mouse orthologs; and
- b) maintain and implement the data-generation pipeline developed by Dr Christopher Maher and Dr Oliver Hofmann.

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The mouse expression information in (a) was not used in the publication due to the observation that the expression profiles of the orthologs did not conform to expected cancer/testis criteria and further investigation was required (subsequently resulting in this chapter). The human expression information was merged with expression data derived from MPSS, gRT-PCR and CAGE expression data in order to perform a multi-platform expression analysis in the attempt to re-classify human cancer/testis genes. The pipeline in (b) is a sequence of computer scripts coded in Perl, which requires raw CAGE sequence information (Kodzius et al., 2006) as input. CAGE tags are short 10-12bp fragments derived from the 5' coding region of an mRNA and, when mapped to the genome, accurately identifies the point of transcription initiation (transcription start site - TSS). The pipeline orders the CAGE tags according to chromosome and strand, and subsequently clusters the tags to provide quantitative evidence for transcription initiation. When annotated according to the ontology-based system described in Chapter 1, this information provides tissue-based transcription initiation events. When combined with the cDNA library information from the eVOC system as well as qRT-PCR and MPSS data, a genome-wide analysis identified genes whose expression profile classifies them as cancer/testis genes, thereby identifying novel CT genes in human. This work is discussed in detail in 'Genome-wide analysis of cancer/testis gene expression' published in PNAS (Hofmann et al., 2008), which is appended as Appendix IX.

This chapter describes objective (4), where my role was to develop, implement and interpret the analysis. The results of this study will be used to make informed decisions regarding the use of mouse as model system for investigation of

cancer/testis genes, and to further understand the relationship between human and mouse cancer/testis orthologs.

3.2 Aim

3.3

The aim of the analysis presented here is to determine whether the mouse orthologs of the human cancer/testis (CT) gene set exhibits CT characteristics. Since CT genes are a target for gene-based cancer drug therapy, and the development of these drugs includes efficacy and toxicity trials in mouse, it is important to identify human target genes whose mouse counterpart show the same tissue-restricted expression.

UNIVERSITY of the Introduction WESTERN CAPE

Cancer is a disease characterised by the uncontrolled growth of cells in any of a variety of tissues such as breast, prostate, lung, liver and pancreas (Jemal et al., 2008). Cancer is an invasive disease and can migrate to different parts of the body. Although there are hundreds of cancer types, they typically fall into one of five categories (leukemia, sarcoma, carcinoma, lymphoma/myeloma, and central nervous system cancers), depending on their tissue of origin. Leukemia is cancer that originates in the bone marrow where blood is formed, resulting in the production of a large number of abnormal blood cells. The sarcoma cancers develop in the connective and supportive tissues such as bone, muscle or fat.

Carcinoma is referred to cancer originating in the skin or in the tissue lining the internal organs. The lymphoma and myeloma cancers originate in the immune system, whereas the central nervous system cancers develop in the brain and spinal cord (http://www.cancer.gov/cancertopics/what-is-cancer). In addition, cancers may be classified as either benign (non-metastasizing, non-invasive, non-aggressive) or malignant (metastasizing, invasive, aggressive) tumors, the latter being the most cause of concern.

In 2004, cancer was responsible for the deaths of 7.4 million people worldwide and it is estimated that this figure will rise to 12 million in the year 2030 (http://www.who.int/en/). The exact origin of cancer is the topic of much research, however the consensus is that tumorigenic cells have altered genomes compared to normal cells, resulting in aberrant gene expression, function and cellular growth (Bos, 1989). The two main theories for the origin of cancer are the clonal evolution model and the cancer stem cell theory (Gil et al., 2008). The clonal evolution model suggests that a cell acquires a series of mutations during the process of cell division. The cancer stem cell model states that only stem cells proliferate enough times to accumulate cancer-causing mutations and that it is these cells that gives rise to tumors. The cancer stem cell population is a subset of the tumor that possesses the self-renewal and multipotent qualities of normal stem cells.

The cancer stem cell theory suggests that if the cancer stem cell population is not removed from the tumor, the patient will experience a tumor relapse. Conventional cancer therapy includes surgery to excise the tumor followed by

chemo- or radiation-therapy to kill all replicating cells. Since cancer stem cells exhibit intolerance to chemotherapy (Gil et al., 2008) these conventional therapies are not only invasive but potentially ineffective as well. Current research focusing on cancer therapy is therefore aimed at identifying genes expressed specifically in tumors and not in normal tissues, enabling the production of drugs or vaccines to target cells that have become tumorigenic.

Cancer/testis (CT) genes are a group of genes whose expression has been observed in a variety of different tumors (Chitale et al., 2005). However, when observed in normal tissues, the expression of CT genes is limited to the immunoprivileged tissues of testis, ovary and/or placenta (Cho et al., 2006). In addition, many CT genes exhibit immunogenic properties, enabling them to elicit cellular and humoral immune responses in cancer patients (Atanackovic et al., 2006). The immunogenicity of CT genes coupled with their expression in immunoprivileged sites and in a wide range of tumors, allows these genes to be considered as drug target candidates for the immunotherapeutic treatment of cancer.

As with many pharmaceutical products, the process of creating drug targets requires the use of model systems in which to test drugs before being declared fit for clinical trials. Although the mouse is a common model system for studying biological reactions to chemical additives, it is not guaranteed that the human response will be identical. Orthologous genes may be expressed in both human and mouse, but due to different regulators their expression does not necessarily occur on the same temporal and spatial level (discussed in Chapter 1), affecting

their eventual function. For this reason it is important to identify mouse CT genes and to understand their relationship to human orthologs for the development of drug targets for cancer therapy.

3.4 Materials and methods

3.4.1 Data selection and generation

A list of 181 human cancer/testis (CT) genes was obtained from the CT Antigen Database (April, 2009) (http://www.cta.lncc.br). The mouse orthologs of the human CT genes were obtained by matching HomoloGene identifiers (as presented in Chapter 1) resulting in only 70 mouse genes. Information for the generation of gene expression profiles of the mouse orthologs was extracted from 1 210 cDNA libraries in the eVOC system (Chapter 1). A gene was annotated with the anatomical, cellular, developmental and pathological terms associated with a library if the gene was found to be expressed in that particular library. In the cases where anatomical terms were not available, terms relating to cell type were used.

Only libraries that were annotated as having normal pathology were categorised as 'normal', whereas all other libraries not explicitly annotated as such were categorised as 'unclassifiable' in terms of pathology. Libraries comprising of more that one sample were excluded from the analysis unless all the samples were obtained from the same anatomical structure under identical pathological conditions.

3.4.2 Expression profiling

The expression information generated in 3.3.1 was organised in the form of an array. An expression array consists of a list of genes in the first column of a table, with the first row consisting of all possible annotations from the expression sources. The annotations are a combination of developmental stage, pathology and anatomical structure (or cell type) for each library used. For example, an annotation for a cDNA library obtained from the normal heart of an adult mouse would be 'adult|normal|heart'. The values for the array were based on the number of cDNA libraries from the eVOC system in which a gene was expressed, summing libraries if the annotations were identical. For example, if a gene was expressed in three different libraries all derived from a normal heart of an adult mouse, the expression value for that particular gene, with 'adult|normal|heart' annotation would be 3.

The expression array was subsequently filtered to disregard developmental stage information, remove annotations where the pathology was neither cancer nor normal, and merge terms related in terms of hierarchical structure. Appendix X lists the manual filtering steps performed on the data. A total of 7 genes were not represented by the data and were subsequently removed from the analysis.

Based on the expression profiles derived, genes were classified into three categories: (*i*) testis-restricted; (*ii*) testis/brain-restricted; (*iii*) testis-selective (see

Table 1 for classification and Figure 1 for a flow-diagram describing the categorisation process).

3.5 Results and discussion

Of the 181 human CT genes, only 70 have mouse orthologs according to the HomoloGene database (April, 2009) (http://www.ncbi.nlm.nih.gov/entrez/ query.fcgi?db=homologene). Although 80 - 99% of mouse genes have human orthologs (discussed in Chapter 1), these percentages still represent between 300 – 6 000 of the estimated 30 000 genes in the mouse genome (NCBI m37, Apr 2007) (http://www.ensembl.org/Mus_musculus/Info/StatsTable), thereby easily accounting for the differences in the number of human and mouse CT genes. In addition, many of the human CT genes are primate-specific.

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The data filtering process involved removing annotations where the pathology is unclassifiable as well as disregarding developmental stage information. The filtering process is important as it discards genes whose origin is unknown and their expression can therefore not be specifically designated as 'normal' or 'cancer'. The developmental stage information is discarded because there is simply not enough data for each developmental stage to be a category on its own. Terms such as cerebellum and brain that are related in the eVOC hierarchy were merged to reflect the least granular term, resulting in 63 genes represented by 76 unique annotations consisting of 58 normal- and 18 cancer-related annotations. Unfortunately, the filtering of data resulted in 4 genes being excluded from the

Figure 1

Flow-diagram representing the categorisation of mouse genes into cancer/testis categories.



Table 1

Classification categories for cancer/testis genes. Testis- and testis/brainrestricted genes are those biased for expression in immunoprivileged tissues.

Category	Classification		
Testis-restricted	expression in cancer and testis only		
Testis/brain- restricted	expression in cancer, testis, placenta, ovary and brain-regions only		
Testis-selective	expression in cancer, testis and two other tissues		



UNIVERSITY of the WESTERN CAPE analysis since they did not have any expression evidence in the remaining cDNA libraries. Although this process results in a loss of data, it increases the confidence of the remaining genes in that they have definite expression in 'normal' and 'cancer' tissues.

The resulting expression profile showed that 4 of the 70 genes were not found to be expressed in a testis library at all (*II13ra2, Ccdc36, Otoa* and *Magea8*). There were 0 genes categorised as testis-restricted, 2 classified as testis/brain-restricted (*Syce1* and *Tssk6*) and 7 classified as testis-selective (*Morc1, Spa17, Dkkl1, Plac1, Piwil2, Ly6k* and *Ssxb2*). In addition, there were 17 genes expressed in testis, brain, ovary or placenta but not in normal or cancer tissues. Because these genes are not expressed in cancer, they are not classified as cancer/testis genes.

Figure 2 illustrates the mouse expression profile as well as the resulting categorisation of each gene. (see Appendix XI for complete expression profile). The first panel of Figure 2 (CategoryNo.) represents the CT category each gene was categorised as. The second panel represents normal testis, brain, ovary and placenta expression. The third and fourth panels represent normal and cancer expression, respectively. The fifth panel represents expression derived from normal tissues relating to the reproductive system (eg. oocyte and spermatocyte) and stem cells, and were not included in the CT categorisation process. Table 2 provides the testis-restricted, testis/brain-restricted and testis-selective genes along with their human orthologs.

The results are inevitably subject to data bias since the data set is derived from one data type from a single origin and it is therefore possible that some genes are

Figure 2

Visualisation of the gene expression profile of 63 mouse orthologs. The coloured blocks within the array refer to the number of cDNA libraries a gene is expressed in (0 = black; 5 = red). Genes are ordered from top to bottom according to their CT classification (testis/brain-restricted = red; no testis expression = black).



Table 2

Gene identifiers and symbols of mouse genes showing testis-restricted, testis/brain-restricted or testis-selective expression, along with their human orthologs.

Mouse			Human	
GeneID	GeneSymbol	MouseCTcategory	GenelD	GeneSymbol
74075	Syce1	testis/brain	93426	SYCE1
83984	Tssk6	testis/brain	83983	TSSK6
17450	Morc1	testis-selective	27136	MORC1
20686	Spa17	testis-selective	53340	SPA17
50722	Dkkl1	testis-selective	27120	DKKLI
56096	Plac1	testis-selective	10761	PLAC1
57746	Piwil2	testis-selective	55124	PIWIL2
76486	Ly6k	testis-selective	547 4 2	LY6K
387132	Ssxb2	testis-selective	6756	SSX1
			4	•

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more likely to be included in the data set than others. The way in which to minimise the effects of data bias would be to include more data types from different sources. Although it is not presented here, the addition of data sources to the ontology system is strongly suggested. We can therefore not definitively conclude that the genes listed above are never expressed in testis or cancer and testis only. We can, however, illustrate that (a) there is evidence that these genes may not be expressed in testis and therefore possibly not classify as CT genes, and (b) genes that are considered testis-restricted in humans are showing a lessrestrictive expression profile when expressed in mouse, which was the purpose of this study. We have therefore assessed the expression profiles of mouse genes whose orthologs, when expressed in humans, show a testis-restricted or testisbiased expression. Because model systems are used to determine the safety and efficacy of a trial drug, it is important that the reaction exhibited by the mouse closely reflects the reaction that a human would exhibit to the same drug. Genetargeted drug therapy therefore requires that any drug developed to target a human gene should, when tested in a mouse, exhibit the same required response. When an ortholog does not show the same expression pattern in both human and mouse, there is a high probability that the gene performs a different function in each species. It is for this reason that we have set out to determine the expression profile of the mouse orthologs of the human CT genes and we have identified only 7 mouse genes whose expression profile characterises them as potential CT genes and therefore potential candidates for the development of gene-targeted drug therapies in mouse for eventual application in humans. In order for this work to make the transition from hypothetical to actual drug therapy, drugs may

be developed to specifically target the genes highlighted in this study. The ability for a drug to identify, target and destroy a cell expressing a gene characteristic of cancer and no other normal tissue will result in a non-invasive and highly effective means of treating and eradicating cancer.

3.6 Conclusions

The answer to effective cancer therapy lies in the ability to distinguish cancer from normal cells. The cancer/testis genes have proven to be promising candidates for drug targeted therapy due to their immunoprivileged properties. Despite the obvious importance of the cancer/testis genes in cancer therapy, these genes are not well characterised and therefore poorly understood. The use of a model system such as mouse provides an effective way to advance our knowledge UNIVERSITY of the of the cancer/testis genes. The problem however, is that it has been shown that the temporal and spatial gene expression of human and mouse orthologs differs greatly, emphasising the need to identify mouse CT gene orthologs. The analysis presented here highlights that the mouse orthologs of human CT genes are not necessarily CT genes themselves, and identifies only 7 mouse genes showing CT gene characteristics and have human CT counterparts. These findings provide realistic targets for drug-targeted cancer therapy and deeper characterization because they have, as a result of expression profiling, been identified as genes that potentially perform the same function due to identical expression and will therefore exhibit the same responses to chemical stimuli.

Conclusions

I have demonstrated the need for an effective way to annotate expression sources such as cDNA libraries in order to allow the universal and computational comparison of the annotated data. The need for the comparison of data is not only limited to data derived from different laboratories, but also data derived from different species. I have addressed the issue of data comparison by developing a set of ontologies that describe human and mouse development. The ontologies are aligned not only between the two species, but also to other available ontologies, allowing the use of computational methods to compare human and mouse gene expression data across a range of sources. In addition, I have used the ontologies to annotate a set of 8 852 human and 1 210 mouse cDNA libraries as an initial dataset to showcase the ontologies.

The use of the ontologies has been demonstrated in several ways. Firstly, the ontologies have been used to compare the expression of human and mouse genes in the developing brain. It was found that of the 16 324 possible human-mouse orthologs, only 90 genes were expressed in the developing brain of both human and mouse. This finding highlights the differences in the temporal and spatial expression patterns of orthologous genes between the two species. I emphasise here that when using model organisms to study the behaviour of genes with the intention of inferring structural and functional information, it is important to establish that the genes of interest have similar spatial and temporal expression profiles in both species under investigation.

Secondly, the ontologies have been used to determine clusters of tissue-restricted transcription factors. A single gene may be expressed as several different transcripts in different tissues or under different conditions depending on the transcription factors binding to the promoter region of that gene. In addition, it has been found that transcription factors function in complexes and the composition of the transcription factor complexes differ between tissues as well as disease states. The identification of tissue-restricted transcription factors may therefore provide insight into the tissue- or disease-specific regulation of genes. The results from this analysis identified 145 human transcription factors showing a tissue-restricted expression pattern. Investigation into known functions of these genes revealed enrichment for developmental processes such as immune system development, embryonic development and cell fate specification. Clustering of these genes based on correlation of their expression profiles revealed tissuerestricted and tissue-biased transcription factor complexes that are potentially responsible for the regulation of the stem cell state or lineage differentiation of cells.

Lastly, the ontologies have been used to compare the expression profiles of a set of human cancer/testis genes in mouse. Of the 181 known human cancer/testis genes, only 70 have a mouse ortholog according to the HomoloGene database. Of these 70 mouse orthologs, only 63 have expression evidence in the system used. The human cancer/testis genes have been selected based on their biased expression for either testis and cancer, or testis, brain and cancer. The investigation of the 63 mouse orthologs show that 4 genes are not expressed in the testis at all and only 2 and 7 genes showed testis/brain-restricted and testis-

selective expression, respectively. Since the cancer/testis genes are considered extremely good candidates for the development of cancer drugs and vaccines, these findings emphasise the need to consider spatial and temporal differences in gene expression between human and model organisms when using the model organism to investigate the reaction of a set of genes to a drug or vaccine. This analysis also emphasises that mouse genes whose human orthologs are cancer/testis genes, are not necessarily cancer/testis genes themselves.

Each of the studies presented here have provided evidence that many human and mouse orthologs differ in their spatial as well as temporal expression. This would lead one to question whether the genes are truly orthologs even though their sequences have a high degree of similarity. While it is true that two orthologs once performed the same function, their expression clearly has different consequences when it is not occurring on the same temporal and spatial level in both species. Since we know regulation of expression determines the timing of WESTERN CAPE gene expression, it is obvious that the differences between human and mouse is not limited to those genes without any counterparts in the opposite species, but also include those orthologs whose transcriptional regulators differ between the two species. As discussed previously, transcription factors function in complexes and omission or substitution of even one transcription factor in a complex can change the timing of expression of a single gene. It is this quality of transcriptional regulation that allows even a 1% difference in genetic composition to determine the difference between the mouse and human phenotype.

Our need to find cures for life-threatening diseases such as cancer is a major driving force behind biological research and with the advances of modern medicine we are in a position to develop non-invasive gene-targeted drug therapy. Due to the advantages of using mouse as a model system, the development of most drugs inevitably involves injecting a mouse with a drug to test its efficacy and toxicity. Since gene-targeted drugs aim to identify a specific gene in humans, one would expect the drug to target the same gene in the mouse in which the drug is being tested. It is therefore important to determine if the gene in question is indeed expressed in the mouse in identical tissues and developmental stages as its human counterpart.

Given the importance of the regulation of gene expression timing and the comparison thereof between human and mouse, it is therefore imperative to accurately document a gene's expression profile based on tissue, disease and developmental stage and the work presented here provides a method to address this. It is noted that the analyses presented here used a single source of expression, namely cDNA libraries. While the addition of other expression sources such as microarray, SAGE and CAGE experiments may alter the findings, the methods still apply. I have therefore developed a robust method with which to investigate aspects of mammalian gene expression, which is illustrated here in several ways.

Bioinformatics is, without a doubt, a collaborative science where your data resources are dependent on publically available data as well as that of your collaborators. It is therefore inevitable that your data will be slightly biased in

many ways, which is why it is important to keep in consideration two aspects of this field. Firstly, the integrity of your analysis and subsequent results are directly correlated with the quality, quantity and granularity of your input data. Secondly, any computational expression results or predictions need to be experimentally confirmed in a laboratory.



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Afterword

Examination questions and answers

- 1. In the first sentence of the preface you bring up the term "post-genomic". Would you not like to argue that we are not in the post-genomic era, but rather right in the smack middle of the genomic era? Is it not premature to speak of the "post-genome"?
 - In this context, the term 'post-genomic' refers to the fact that we have passed the point where we have decoded the genome. Whole genomes are being sequenced on a daily basis in laboratories around the world and it is no longer the major bottleneck in genomics. Our challenge now is to interpret the genome by determining the function as well as regulation of all genes and the networks they are involved in.
- 2. What effect do you think "next-generation" technology will have on gene expression analysis and annotation in general?
 - The 'next-generation' technologies enable the sequencing of genes on a much larger scale and at a faster rate than before. While this provides more data for gene expression analysis at higher accuracy, it requires effective data management strategies. Unfortunately, the annotation is not a tightly controlled aspect of data generation and it is my opinion that with the increase in the speed at which data can be generated that this process will be neglected. In order

for us to exploit data to its full potential it should be a requirement that all data submitted to public venues be annotated according to a strict set of rules involving the use of ontologies.

3. What are annotations?

• An annotation is a 'label' associated with a particular object with the purpose of describing that object. Data annotations are therefore a set of words used by the researcher generating the data to describe it. A gene will, for example, be annotated according to the tissue from which it was sequenced, such as 'lung' or 'liver'. The more annotations associated with the gene, the more descriptive it becomes (such as annotating the gene according to the developmental stage or pathological state of the originating tissue). Because annotations are assigned by different individuals who would not necessarily annotate a tissue with the same level of detail, all annotations are effectively open to interpretation and prone to errors.

4. What is the difference between orthologs and paralogs?

 Orthologs are genes in different species whose sequences diverged during speciation. Paralogs are genes that originated in the same species as a duplication event and the sequences of the two genes subsequently diverged. Orthologs are therefore genes separated by speciation whereas paralogs are genes separated by a duplication event.

- 5. What is wrong with this statement: "These two genes are 90% homologous"?
 - Homology refers to two sequences having common ancestry and cannot be quantified. When comparing the composition of two sequences, a percentage is a degree of their SIMILARITY.
- 6. How has Open Access affected your field of research? (has it?). What should the community do differently to make this kind of data more useful? Are there some requirements on data annotation that would make this more useful? If you could change one thing that was done in the past that would have made your work more useful, what would it be?
 - I have used Open Access data in my research and it has enabled me to place my work into context with respect to what other researchers are doing. Although most data is freely-available it is not easily understandable – almost as if it is just dumped into a database because it is a requirement for publication. Adequate descriptions of Open Access data would therefore make it more valuable. One of the stumbling-blocks of my research was the lack of accurate annotation of the data that is provided in public databases, which forced me to discard most of the data anyway (for example cDNA libraries annotated as 'unclassifiable' on the anatomical, developmental and pathological level are useless). In hindsight, making an effort to resolve annotations such as 'unclassifiable' would have increased the size and value of the data

set used in all my analyses. This would have required contacting the researcher producing each cDNA library and would be extremely time-consuming. In terms of publications, I was limited to the subscriptions of my host institution and Open Access journals. I found that much of the literature required in my research was not freely-available and therefore inaccessible to me.



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FANTOM 4. http://fantom.gsc.riken.jp/4/

FANTOM3::Databases. http://fantom3.gsc.riken.jp/

RIKEN Genomic Sciences Centre. http://gsc.riken.go.jp/indexE.html

EHDA: Human versus mouse development stage comparison. http://www.ana.ed.ac.uk/anatomy/database/humat/MouseComp.html

National Cancer Institute. http://www.cancer.gov/cancertopics/what-is-cancer

Cancer Testis Antigen Database. http://www.cta.lncc.br

Ensembl. http://www.ensembl.org/Mus_musculus/Info/StatsTable

eVOC ontology. http://www.evocontology.org

DAG-edit. http://www.geneontology.org/GO.tools.shtml#dagedit

Ingenuity (R) Systems. http://www.ingenuity.com

NCBI HomoloGene.

http://www.ncbi.nlm.nih.gov/entrez/query.fcgi?db=homologene

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Appendix I Transcriptional landscape of the mammalian genome, Science.

only LKS stations in NH), are fully consistent with this assumption, particularly for the tropical stations. In the extratropics there are only four daytime-only stations so the MSU test is less meaningful, but the two independent estimates do agree within 0.03°C per decade

To illustrate the importance of the heating bias, we have computed its impact δ_{sol} on the trends at LKS stations. The LKS f factors, unhomogenized trends, and trends adjusted only for solar heating are given for the middle troposphere and lower stratosphere in Table 2. In the stratosphere, our δ_{sol} is similar to the total adjustments by LKS and others, with trends moving closer to those from MSU (13), At the tropical tropopause (of relevance to stratospheric water vapor), δ_{sol} is somewhat smaller than LKS's. In the troposphere, however, δ_{sol} is much larger than previous adjustments. Indeed, the tropical trend with this adjustment (0.14°C per decade over 1979 to 1997) would be consistent with model simulations driven by observed surface warming, which was not true previously (1). One independent indication that the solar-adjusted trends should be more accurate is their consistency across latitude belts: for the period 1979 to 1997, the spread of values fell by 70% in the lower stratosphere and 25% in the troposphere.

Though this is encouraging, our confidence in these nighttime trends is still limited given that other radiosonde errors have not been addressed. SH trends from 1958 to 1997 seem unrealistically high in the troposphere, espe cially with the δ_{sol} adjustment, although this belt has by far the worst sampling. Previous homogenization efforts typically produced small changes to mean tropospheric trends which could mean that other error trends cancel out δ_{ad} in the troposphere. In our judgment, however, such fortuitous cancellation of independent errors is unlikely compared to the possibility that most solar artifacts were pre-viously either missed or their removal negated by other, inaccurate adjustments. To be detected easily, a shift must be large and abrupt but δ_{sol} was spread out over so many stations (79% of stations during 1979 to 1997 and 90% during 1959 to 1997 experienced ΔT trends significant at 95% level), at such modest levels, and of sufficient frequency at many stations that many may have been etectable. Most important, jumps in the difference between davtime and nighttime monthly means would be detectable at only a few tropical stations because most lack sufficient nighttime data. In any case, we conclude that carefully extracted diurnal temperature variations can be a valuable troubleshooting diagnostic for climate records, and that the uncertainty in late-20th century radiosonde trends is large enough to accommodate the reported surface warming.

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The Transcriptional Landscape of the Mammalian Genome

The FANTOM Consortium⁴ and RIKEN Genome Exploration **Research Group and Genome Science Group** (Genome Network Project Core Group)*

This study describes comprehensive polling of transcription start and termination sites and analysis of previously unidentified full-length complementary DNAs derived from the mouse genome. We identify the 5 and 3 boundaries of 181,047 transcripts with extensive variation in transcripts arising from alternative promoter usage, splicing, and polyadenylation. There are 16,247 new mouse protein-coding transcripts, including 5154 encoding previously unidentified proteins. Genomic mapping of the transcriptome reveals transcriptional forests, with overlapping transcription on both strands,

- separated by deserts in which few transcripts are observed. The data provide a comprehensive platform for the comparative analysis of mammalian transcriptional regulation in differentiation and development.

The production of RNA from genomic DNA is directed by sequences that determine the start and end of transcripts and splicing into mature RNAs. We refer to the pattern of tran-scription control signals, and the transcripts they generate, as the transcriptional landscape To describe the transcriptional landscape of the mammalian genome, we combined full-length cDNA isolation (1) and 5'- and 3'-end sequencing of cloned cDNAs, with new capanalysis gene expression (CAGE) and gene identification signature (GIS) and gene sig-nature cloning (GSC) ditag technologies for the identification of RNA and mRNA sequences corresponding to transcription initi-

ion and termination sites (2, 3). A detailed description of the data sets generated, mapping strategies, and depth of coverage of the mouse transcriptome is provided in supporting online material (SOM) text 1 (Tables 1 and 2). We have identified paired initiation and termi-nation sites, the boundaries of independent transcripts, for 181,047 independent tran-scripts in the transcriptome (Table 3). In total, we found 1.325' start sites for each 3' end and 1.833' ends for each 5' end (table S1). Based on these data, the number of transcripts is at least one order of magnitude larger than the estimated 22,000 "genes" in the mouse genome (4) (SOM text 1), and the

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large majority of transcriptional units have alternative promoters and polyadenylation sites. The use of genome tiling arrays (5-7) in humans has also implied that the number of transcripts encoded by the genome is at least 10 times as great as the number of "genes." To extend the mouse data, two HepG2 CAGE libraries, one constructed with random primers and the other with oligo-dT primers, were combined to produce 1,000,000 CAGE tags. Mapping of these tags to the human genome identified the likely promoters and transcriptional starting site (TSS) of many of the gene models identified by tiling array, also called transfrags (5), and clearly indicates that the same level of transcription d diversity occurs in humans as in mice (table S2).

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The mapping of ends of transcripts can be used to identify the genomic span of the pri-mary transcript. Figure 1A shows length distributions of the predicted genomic regions spanned by mouse cDNAs showing a bimodal distribution and compares them with one peak for unspliced and another for spliced RNAs. At the upper end of the distribution are candidate mega transcripts (transcripts originating from genomic regions in the order of millions of base pairs). For example, we located six pairs of genome sig-nature cloning (GSC) ditags to RIKEN clone ID 9330159J16 and corresponding RIKEN expressed sequence tags (ESTs). This clone encodes for a previously unidentified large

transcript that is similar to a protein tyrosine phosphatase, receptor type D (accession no. BC086654), the genomic structure of which has not been previously reported (8). The predicted mRNA is 2475 base pairs in length but spans a genomic region of 2.2 megabases (Mb).

We previously coined the term transcrip-tional units (TUs), which groups mRNAs that share at least one nucleotide and have the same genomic location and orientation (9). However, TU fusions can join unrelated and differently annotated transcripts (SOM text 2). Therefore, we define a transcriptional framework (TK) as grouping transcripts that share common expressed regions as well



Fig. 1. Genome-transcriptome relation. (A) Genome span covered by full-length cDNA and GIS/GSC ditags shows similar distribution with two main peaks. Ditags mapping follows the same distribution profile at various mapping thresholds, with a minimum around 2 to 2.5 Mb. Mapping events above this genomic span are nonspecific. Count displays the number of events in the size interval. (B) Asymptotic unit collapse. Due to extensive overlap of the genome, transcripts overlap to the extent that they collapse to a few GFs. Simulating addition of ditags shows the collapsing rate of the known annotated genes into 9976 elements only. Primary transcripts only, GFs produced by the 3332 Ensembl-only annotated transcripts; total, the total number of GFs.

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as splicing events, TSS, or termination events (SOM text 1).

TKs can be clustered together into transcript forests (TFs), genomic regions that are transcribed on either strand without gaps. TFs encompass 62.5% of the genome (table S1) and are separated by regions devoid of transcription, or transcription deserts. With the inclusion of GSC tags in addition to full-length cDNA and paired EST sequences, the estimated total number of transcript forests is 18,461,which will collapse further with increasing depth of coverage (Fig. 1B).



Fig. 2. Transcription originating in 3'UTRs. (A) For each analyzed exon, the fraction of tags mapped to 10 equally large subsections of the exon was calculated. (Left) CAGE tags mapping to the first exon are prevalently located in the first part of the exon. (Middle) CAGE tags mapping to internal exons are uniformly distributed. (Right) Last exons show a distinct overrepresentation of CAGE tags mapping close to the 3' end. (B) Distance to the closest downstream gene for the set of highly expressed TUs that have extreme tag density in the 3' of the terminal exons. Transcript pairs were grouped into tail-to-tail (3' exon and downstream TU on same strand) or tail-to-tail (3' exon and downstream TU on synthest transcriptional activity, the distance to the next TU is significantly smaller than expected when the gene pair is in a tail-to-tail configuration ($P \leq 0.001107$, Wilcoxon test), suggesting regulatory mechanisms based on natural antisense influencing the downstream gene (26).

Table 1. Data set resources.	WESTERN CA			
	Total	Number of libraries	Safely mapped	
RIKEN full-length cDNAs	102,801	237	100,313	
Public (non-RIKEN) mRNAs	56,009		52,119	
CAGE tags (mouse)	11,567,973	145	7,151,511	
CAGE tags (human)	5,992,395	24	3,106,472	
GIS ditags	385,797	4	118,594	
GSC ditags	2,079,652	4	968,201	
RIKEN S'ESTS	722,642	266	607,462	
RIKEN 3'ESTs	1,578,610	265	907,007	
5'/3'EST pairs of RIKEN cDNA	448,956	264	277,702	

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The approach used to isolate full-length cDNAs, based on library subtraction and previously unidentified $5/3^{\circ}$ end selection before full-insert sequencing, was weighted toward identification of representative transcripts. Nevertheless. 78,393 different splicing variants were identified, such that 65% of TUs contain multiple splice variants (Table 2), an increase from our previous estimate (41%) (9). This is still expected to be an underestimate, and new approaches will be necessary for a full evaluation of exon diversity (10).

Transcript diversity also arises through alternative termination. Little is known about sequence motifs that control alternative polyadenylation. We identified 27 motif families with six or more nucleotides that were statistically overrepresented within 120 base pairs of the polyadenylation site of individual transcripts in our data set. These motifs represent candidate modulators of polyadenylation site for eight unconventional alternative polyadenylation signals (*1*) (table S3). In addition, we found a widespread motif family with sequence TIGTTT, which was associated with both the canonical (AAUAAA and AUUAAA) and unconventional signals (*1*, *11*). Gene names of 56,722 transcripts that were

Gene names of 56,722 transcripts that were protein coding were assigned according to annotation rules (9, 12). Their encoded protein sequences were combined with the publicly available proteins supported by cDNA sequences (8). This generated a nonredundant set of 51,135 proteins with experimental evidence [isoform protein set (IPS)], 36,166 of which are complete (complete IPS). By comparison, the mammalian gene collection (http:// mge.nci.nih.gov) has cloned, as of July 2005.

only ~16,700 transcripts (11,514 nonredundant). In the FANTOM3 data set, 16,274 protein sequences are newly described. Their splice variants were grouped together into 13,313 TKs. For 9002 of these, a previously known sequence maps to the same TK (locus), but 4311 clusters (5154 different proteins) map to new TKs (SOM text 3). There are a total of 32,129 protein-coding

There are a total of 32,129 protein-coding TKs on the genome, of which 19,197 have only a single protein splice form, although 2525 of those do have an alternative noncoding splice variant. The SUPERFAMILY analysis of structural classification of protein database (SCOP) domain architectures (13) was carried out for each sequence. Of the 12,932 TKs that show variation in splicing, 8365 showed variation in SCOP domain prediction. Of the 12,932 variable TKs, 2392 produce proteins with different observed contents of InterPro entries. More than two alternatives were observed in 439 of the 2392 InterPro-variable TKs. Thus, in the majority of variable loci, splicing controls some aspect of domain content or organization. To seek evidence for such an impact in specific sets of regulatory proteins, we compared a representative protein set

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Fig. 3. Noncoding RNA promoters are highly conserved. (A) Human-mouse onservation of coding and noncoding RNAs compared with random genome sequence. (B and C) Promoters conservation of noncoding and coding mRNA evaluated (B) by identity and (C) by alignment. (D) Overlap of promoters of ncRNAs. (E and F) Promoters of coding mRNAs contain a larger fraction of low complexity and repeats than noncoding promoters. LINE, long interspersed nuclear elements, LTR, long terminal repeats, SINEs, short interspersed nuclear elements.



(RPS) and a variant protein set (VPS) of phosphatases and kinases that have been comprehensively annotated (14) by looking at domain composition counts (table S4). These phosphoregulators could be functionally modulated through alteration in their intracellular location. Among the 21 receptor tyrosine phosphatase loci, we identified 23 variant transcripts from 14 loci with predicted changes to the subcellular localization and function of the encoded peptides. Of these, we identified two noncatalytic classes: secreted (10) and tethered (3). Furthermore, we identified two catalytic classes that lack the extracellular domains: catalytic only (5) and tethered catalytic (5). Similarly, among the 77 receptor kinase loci, we identified 41 variant transcripts from 33 loci which encode secreted (16), tethered (10), catalytic only (7), or other tethered catalytic (8) peptides. We then analyzed the membrane organization splicing

variants class within the full set of TUs (table S5), which revealed 1287 TUs that exhibit alternative initiation, splicing, and termination, likely to yield variant isoforms of membrane proteins that differ in their cellular

location. Of the 102,281 FANTOM3 cDNAs, 34,030 lack any protein-coding sequence (CDS) and are annotated as non-protein coding RNA (ncRNA) (6, 15) (table S1), Many putative ncRNAs were singletons in the full-length cDNA set. Among the FANTOM3 cDNA set there was additional support from ESTS, CAGE tags, or other cDNA clones overlapping both the starting and termination sites for 41,025 cDNAs, of which only 3652 were ncRNAs. This supported ncRNA set includes many known ncRNAs (SOM text 4), and many are dynamically expressed (SOM text 5). Following these same criteria, 3012 from 8961 cDNAs previously annotated as truncated CDS were supported as genuine transcripts and are believed to be ncRNA variants of proteineoding cDNAs.

Many ncRNAs appear to start from initiation sites in 3' untranslated regions (3'UTRs) of protein-coding loci (16). The normalized distribution of CAGE tags along annotated exons of known transcripts with more than 300 mapped tags each is shown in Fig. 2A. As expected, the highest tag density on average occurs at the 5' end, but there is also a substantial increase of tags in the last one-fifth of the 3'UTR. Strong evidence of 3' end initiation was correlated with a short intergenic distance when in tail-to-tail orientation with a neighboring gene (Fig. 2B), suggesting a possible role in an intergenic regulatory interaction.

The function of ncRNAs is a matter of debate (17). Some ncRNAs are highly conserved even in distant species: 1117 out of 2886

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Table 2. Transcript grouping and classification. The extent of splice variation was calculated by excluding T-cell receptor and immunoglobulin genes from the transcripts. The remaining 144,351 transcripts were grouped in 43,539 TUs, of which 18,527 (42.8%) consist of single-exon transcripts, B110 (18.6%) contain a single multiexon transcript, and the remaining 16,802 TUs (38.6%) contain at least two spliced transcripts. Among these TUs, 5862 (34.9%) show no evidence of splice variation, whereas 10,940 (65.1%) contain multiple splice forms.

	Total	Average per TU cluster	Average per TK cluster
Total number of transcripts	158,807	7.59	7.30
RIKEN full-length	102,801		
Public (non-RIKEN) mRNAs	56,006		
GFs	25,027	1.20	1.15
Framework clusters	31,992	1.53	1.47
TUs	44,147	2.11	2.03
With proteins	20,929	1.00	0.96
Without proteins	23,218	1.11	1.07
тк	45,142	2.16	2.07
With proteins	21,757	1.04	1.00
Without proteins	23,385	1.12	1.07
Splicing patterns	78,393	3.75	3.60

Table 3. Determination of transcripts start/end accuracy. Two pieces of evidence (cDNA, tags, ditags, EST, and 5'-3' EST pairs) are required when TSS/terminations lie inside larger transcripts, and one piece of evidence is required when they extend or identify new transcripts. Reliable indicates that both ends are associated with reliable tag clusters.

	Total	Reliable
Total 5'/3'-end	1,507,122	1,336,397
5'/3'-end pair cluster	313,821	181,047

overlap chicken sequences, of which 780 do not overlap known CDS and 438 do not over-lap known mRNAs on either strand, whereas 68 out of 2886 have BLAST-like alignment tool (BLAT) alignments to the Fugu ge-nome, of which 40 do not overlap known CDS on either strand. These ncRNAs are at least as conserved as a reference set of known ncRNAs (Fig. 3A), contrary to a pre-vious study (17). However, ncRNAs are slightly less conserved on average than 5 or 3'UTRs. In contrast, the promoter regions of ncRNAs are generally more conserved than the promoters of the protein-coding mRNA, not only between human and mouse but also down in the evolutionary scale to chicken (Fig. 3, B to F), and they contain binding sites for known transcription factors (18). We conclude that the large majority of ncRNAs that we analyzed display positional conservation across species. In considering func-tion, one might conclude that the act of transcription from the particular location is either important or a consequence of genomic structure or sequence (for example, enhancers such as that of the globin locus can act as promoters), the transcript may function through some kind of sequencespecific interaction with the DNA sequence from which it is derived, or many noncoding

RNAs have other targets but are evolving rapidly (19, 20).

New databases have been created for cDNA annotation, expression, and promoter analysis (http://fantom3.gsc.riken.jp/db/ and SOM text 6). The databases integrate common gene and tissue ontologies like eVOC mouse developmental ontologies (21), cross mapped to Edinburgh Mouse Atlas Project (EMAP) ontology terms (22). These eVOC terms allow analysis standardization of RNA sam-ples used for cDNA and CAGE libraries in both mouse and human and were included into the DNA Database of Japan (DDBJ) data submission (23).

Analysis of the output of FANTOM2 sug gested that there were many more tran-scripts still to be discovered (24). Here, we have confirmed that the majority of the mammalian genome is transcribed, commammalian genome is transcribed, com-monly from both strands. Such transcriptional complexity implies caveats in interpretation of microarray experiments (25) and genome manipulation in mice, because these will commonly interrupt or interrogate more than mice the strange of the strange of the strange of the strange transformer of the strange of the strange of the strange of the strange mice strange of the strange of the strange of the strange of the strange mice strange of the strange of the strange of the strange of the strange mice strange of the strange mice strange of the strange one TK. Although the current overview gives us an indication of the complexity of the mammalian transcriptional landscape and a new set of tools to begin to understand transcriptional control (for example a very large set of promoters that can be ascribed to distinct classes (16), we also gain in-sight into the scale of the task that remains. The ditag data indicate the existence of very long transcripts whose isolation and sequencing will require new cloning and sequencing strategies. Although we have isolated and sequenced many putative ncRNAs, the FANTOM3 collection only contains 40% of those already known. Finally, the focus has been on polyadenylated mRNAs that are processed and exported to the cytoplasm. Recently, Gingeras and colleagues (5) have

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shown that the set of nonpolyadenylated nuclear RNAs may be very large, and that many such transcripts arise from so-called inter-genic regions (7). The future can only reveal additional complexity in the mammalian transcriptome.

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Supporting Online Material www.sciencemag.org/cgi/content/full/309/5740/1559/

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Appendix IIMice and men: their promoter properties. PLoSGenet.

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Mice and Men: Their Promoter Properties

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Using the two largest collections of *Mus musculus* and *Homo sapiens* transcription start sites (TSSs) determined based on CAGE tags, ditags, full-length cDNAs, and other transcript data, we describe the compositional landscape surrounding TSSs with the aim of gaining better insight into the properties of mammalian promoters. We classified TSSs into four types based on compositional properties of regions immediately surrounding them. These properties highlighted distinctive features in the extended core promoters that helped us delineate boundaries of the transcription initiation domain space for both species. The TSS types were analyzed for associations with initiating dinucleotides, CpG islands, TATA boxes, and an extensive collection of statistically significant *cis*-elements in mouse and human. We found that different TSS types show preferences for different sets of initiating dinucleotides and *cis*elements. Through Gene Ontology and eVOC categories and tissue expression libraries we linked TSS characteristics to expression. Moreover, we show a link of TSS characteristics to very specific genomic organization in an example of immune-response-related genes (GO:0006955). Our results shed light on the global properties of the two transcriptional mechanisms in the two species, as well as a framework for better understanding of the transcriptional mechanisms in the two species, as well as a framework for development of new and more efficient promoter- and gene-finding tools.

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Introduction

The computational identification and functional analysis of mammalian promoters has, to date, been constrained by the relatively small datasets of experimentally confirmed transcription start sites (TSSs). For example, promoters within dbTSS were recently updated with the mapping of 195,446 FANTOM2 mouse full-length cDNA sequences to 6,875 RefSeq mouse genes [1,2]. Functional analyses of these mammalian promoters have been restricted to shared transcription factor binding sites (TFBSs) between human and mouse datasets [2]. Using the same collection of promoters contained in dbTSS, Aerts et al. embarked on a characterization of promoters by extending their study to Drosophila melanogaster and Fugu rubripes [3]. Further characterization of mammalian promoters is dependent on the availability of experimentally verified TSSs that would complement and extend existing datasets represented by the FANTOM collection, dbTSS, the H-Invitational database [1], and

RefSeq. The latest effort of the FANTOM3 consortium [5] has provided the scientific community with the largest collection of transcriptome data for *Mus mirculus* (mouse), and has complemented this with CAGE tags of *Homo supieus* (human). Based on these data we provide a comprehensive comparative analysis of mouse and human promoters that results in a number of new insights that help us to better understand the transcriptional scenario in these two species. GC properties are well-known global factors that influence

promoter characteristics and gene expression [3,6-9]. In addition, GC characteristics influence important DNA properties such as the "bendability" and curvature of the DNA helix and consequently influence the interplay of DNA and chromatin, which impacts transcription. We set out to

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Abbreviations: CGI, CpG island; GO, Gene Ontology; Inr, initiator; ORI, overrepresentation index; PE, promoter element; TF, transcription factor; TFBS, transcription factor binding site; TSS, transcription start site

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Synopsis

Tens of thousands of mammalian genes are expressed in various cells at different times, controlled mainly at the promoter level through the interaction of transcription factors with *cis*-elements. The authors analyzed properties of a large collection of experimental mouse (*Mus musculus*) and human (*Homo sopiens*) transcription start sites (TSSs). They defined four types of TSSs based on the compositional properties of surrounding regions and showed that (a) the regions surrounding TSSs are much richer in properties than previously thought, (b) the four TSSs types are associated with distinct groups of *cis*-elements and initiating dinucleotides, (c) the regions upstream of TSSs are distinctly different from the down-stream ones in terms of the associated *cis*-elements, and (d) mouse and human TSS properties relative to CpG islands (CGIs) and TATA box elements suggest species-specific adaptation. The authors linked TSS characteristics to gene expression through categories defined by the Gene Ontology and eVOC classifications and tissue expression libraries. They provided examples of the preference of immune response genes for TSS types and specific genomic organization. Their results shed light on the fine compositional properties of TSSs in mammals and could lead to better design of promoter- and gene-finding tools, better annotation of promoters. These areas represent some of the focal topics of bioinformatics and genomics research that are of interest to a wide range of life scientist.

characterize the regions immediately surrounding 1SSs based on such compositional properties. Our determination of tentative TSS locations has been based on the use of CAGE tags [10] and ditags [11] enriched with additional independent pieces of evidence of transcript existence including 5' expressed sequence tags, long 5'-SAGE, and the 5' ends of fully sequenced cDNAs from full-length libraries.

In this study, we report several distinctive features in the extended core promoters that helped us delineate the boundaries of the transcription initiation domain space for both mouse and human, as well as delineate species-specific characteristics within that space. We describe the association of TSS types with the initiating dinucleotide, CGIs, TATA boxes, and an extensive collection of statistically significant Promoter Properties

 Table 1. Four TSS Types Defined Based on the GC Content

 Upstream and Downstream of the TSS

TSS Type	Upstream GC Content	Downstream GC Content
A	GC-rich	GC-rich
В	GC-rich	AT-rich
с	AT-rich	GC-rich
D	ATrich	AT-rich

GC-rich means G=C=50% in the considered region AT-rich (i.e., GC-poor) means G=C=50% in the considered region. In our case, the upstream region is [=100,=1], and the downstream region is [=1, =100] relative to the TS5 [DOI:10.1371/jumnal.open.002064.1001]

cis-elements in mouse and human, and correlate TSS properties with expression data through comparison with Gene Ontology (GO) [12] and eVOC [13] categories, tissue expression libraries, and specific genome organization.

Results/Discussion

GC Content and TSS Types

We considered TSS properties based on the GC characteristics of the segments immediately upstream and downstream of experimentally estimated TSSs. We split TSSs into four distinct classes based on the GC content upstream and downstream of the TSS, as shown in Table 1 (see Materials and Methods). These four tentative TSS types have been used as a tool to investigate different promoter features in mouse and human. Two TSS types do not differ in GC richness between the upstream and downstream regions. They are GCrich (GC-GC, type A) or AT-rich (AT-AT, type D) both upstream and downstream. The other two are GC-rich upstream and AT-rich downstream (GC-AT, type B) and, vice versa, AT-rich upstream and GC-rich downstream (AT-GC, type C). The distributions of TSS positions in the case of mouse and human are depicted in Figure 1. A strong polarization of the TSS distribution exists, with TSS types A and D being most prevalent (Figure IA). The number of TS8s in each of the TSS types remains almost unchanged if the length of the apstream and downstream regions changes

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Figure 1. Transcription Initiation Domains for Mouse and Human

Distribution of mouse (red) TSSs overlapped by human (blue) TSSs based on (A) C + G content, (B) A + G content, and (C) T + G content. Nucleotide content is determined for upstream [100, 1] and downstream [+1, +100] regions relative to the TSS. The distribution of TSS locations is more or less random when viewed in terms of A + G content (B) or T + G content (C). Strong polarization of distributions is evident only in the G + C case (A). DOI: 10.1371/journal.pgen.0020054.g001

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Figure 2. Distribution of Mononucleotides in Mouse Promoters in the Region Surrounding the TSS

The nucleotides adenice, cytosine, guantine, and thymine are represented by blue, green, red, and light blue, respectively. The TSS types that are GCpoor upstream (C and D) show very characteristic enrichment in adenine and thymine nucleotides around [-35, -20], suggesting a potential dominant influence of TATA box and similar AT-rich elements in transcription initiation in these types. In type B and ATSS, this influence does not seem to be dominant, but the presence of such elements is suggested by a significant reduction of the GC content in the [35, 20] region. In principle, one could attempt to link the types of AT-rich upstream elements with initiating dinucleotides characteristic of different TSS types. DOI: 10.1371/journal.ppen.0020054.g002

(Figure S1); it also only gradually changes with a change of threshold for GC richness (Figure S1). These findings suggest robustness of our TSS classification.

Are Two TSS Types (GC-Rich and AT-Rich) Sufficient to Consider?

Promoters are usually classified as either GC-rich or ATrich, without separating such properties into upstream and downstream characteristics relative to the TSS [3]. In our study we observed that many of the TSSs that are not evidently GC-rich (both upstream and downstream of the TSS) have changing GC content when going from upstream to downstream regions (Figure 2). The types of patterns were AT-GC, AT-AT, and GC -AT, containing 1.911, 1.528, and 1.140 instances, respectively, in our mouse TSS dataset. We found it reasonable to assign the TSSs with a change of GC content around the TSS (AT-GC and GC-AT) to different classes because they represent about 2/3 of all non-GC-GC types. We use this profiling of TSS characteristics as a methodological convenience. However, the biological justification for this relies on the fact that many cis-elements have a preference for GC-rich or AT-rich domains, as found in studies of promoter groups [14,15]. Thus, considering separately the GC-rich (AT-rich) upstream and downstream segments around TSSs provides an opportunity to analyze different groups of binding sites that may confer different transcription initiation scenarios.

An essential support for the biological relevance of our introduced 158 classification relies on the fact that some cukarvotic genomes have dominant TSS characteristics of the classes we defined. For example, based on the work of Aerts et

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al. [3], TSS types B and C appear prevalent in F. rubripes and

type D in D melanogaster, while type A is characteristic of the human genome. There are other ways to classify promoters

using certain functional rather than compositional proper-

ties. Kadonaga [16] used the presence of functional core

promoter elements (PEs) such as TATA boxes, initiators, and

downstream promoter elements (DPEs) to classify promoters

into several types. A different approach was used by Kim et al. [17]: the properties of preinitiation complex binding to promoter and the observed transcript expression state were

We found through several sources of evidence that

xpanding a crude classification of GC-rich and AT-rich

TSSs by two additional subclasses makes biological sense and presents certain fine details more explicitly than is possible if all TSSs are lumped into only two (GC-rich and AF-rich)

classes. Very obvious examples of such details, in addition to

largely different compositions of the putative co-elements that reside in the upstream and downstream regions, are (a)

specialized, but different, initiating dinucleotides overrepre-

sented in a statistically significant manner in TSSs of

different types, (b) clear differences in the surrounding

environment of the initiating dinucleotides between the four

TSS types, and (c) different preferences of some functional

gene groups for particular TSS types. These features cannot

By considering the GC content upstream and downstream

separately, we allowed for one more degree of freedom in

GC Content of TSS Surroundings Reflects Types of

used to define four promoter groups.

be observed if the groups are lumped.

Putative cis-Elements

Promoter Properties



Figure 3. Distribution of Densities of Selected PEs in Promoters of the Four TSS Types in Mouse

Figure 3. Distribution of Densities of Selected PEs in Promoters of the Four TSS Types in Mouse The density of PEs is calculated from the region covering [- 100, +100] relative to the TSS. Density is determined for bins of length 50 bp and shifted by 10 bp. In total, there are 17 bins. The vertical axis shows the percentage of TSSs of the considered type that contain the PE. (A) Distribution of selected PEs that prefer GC-rich (left) and AT-rich (right) domains in type 8 (above) and type C (below) TSS groups. Bin number 9 is centered around the TSS. It can be seen that groups of PEs change significantly in their concentrations in transition from upstream to downstream regions and characterize two distinct TSS types (B and C). (B) Distribution of selected PEs across all four TSS types. Blue, green, red, and light blue correspond to distributions characterized by type A, B, C, and D TSSs. The first five PEs are those that prefer GC-rich regions, and the last seven PEs prefer AT-rich regions (the plus or minus sign in front of the TFBS symbol denotes the strand where the TFBS is found). DOI: 10.1371/journal.pgen.0020054.g003

observing global TSS properties. Here we denote a PE as a TFBS and the strand where it is found. Many PEs have preferences for either GC-rich or GC-poor regions [14,15]. For example, the well-known TATA box element, being ATrich, will be found more frequently in AT-rich regions, while the Sp1-binding sites, being GC-rich, will be found more frequently in GC-rich regions. Thus, the four TSS types that we consider could be correlated in a global manner with the potential PEs that may control the respective genes. Support for the influence of potential PEs on specific TSS types is obtained from the distributions of PE densities (Figure 3). Density distributions of selected PEs that prefer GC-rich (ATrich) domains in type B and type C TSSs are depicted in Figure 3A. We observe that PE groups change their concentrations significantly in transition from upstream to downstream regions. Moreover, in Figure 3B we present distributions for selected PEs across all four TSS types. The first five PEs in Figure 3B (+ZF5, -AP-2, Churchill, -EGR, and --PCF2) are those that prefer GC-rich regions (the plus and minus signs in front of the TFBS symbols denotes the strand where the TFBS is found). It is interesting to observe that these PEs occur in high concentrations in the type A group (GC-GC), occur in considerably lower concentrations in type D (AT-AT), and follow the change of GC content in types B and C. We observe the converse for the remaining types B and C. We observe the converse the second seven PEs, which prefer AT-rich regions. These properties of a data data faur TSS types selectively associate with different groups of PEs.

Upstream and Downstream Regions Are Different: Enrichment by Specific PEs

We analyzed the preference of upstream and downstream regions in the four TSS types for significantly enriched (at least 3-fold) PEs in one region as opposed to the other region The results are presented in Figure 4. To our surprise, we found that for all TSS types the number of enriched PEs in The results are presented in Figure the upstream region is much higher than in the downstream region. In three types (A, C, and D) the number of PEs in the downstream region is minimal compared to the upstream region. The only exception is type B, for which there are a significant number of enriched PEs in the downstream region. The data suggest for type A TSSs a high influence of PEs that reside upstream and prefer GC-rich domains, while for type C TSSs such influence is likely through PEs that are located upstream of the TSS but prefer AT-rich domains. Contrary to these patterns, promoters with type B-ISSs seem to utilize a mix of both GC-rich-preferring and AT-richpreferring PEs. A conclusion cannot be made for type D TSSs because of the very small number of highly enriched elements overall. Moreover, applying the Chi-square test for the equality of distributions in the upstream and downstream regions we get $p = 1.34 \times 10^{-67}$, which strongly rejects the null

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hypothesis that these distributions are the same. All these findings suggest that upstream and downstream regions should be considered separately (as we do). The results emphasize enrichment of different PE groups associated with upstream and downstream regions in the promoters of the four TSS types

Four TSS Types Associate with Different Sets of PEs

Different compositional properties of the four TSS types suggest that the TSSs may be controlled by specialized collections of transcription factors (TFs). Thus, we attempted to find the potential TFs that could play dominant roles in the four TSS types by identifying (a) the specificity of the topranked PEs (relative to overrepresentation index [ORI]: see Materials and Methods) in different TSS types, (b) unique and common motifs in the GC-rich/AT-rich npstream/downstream regions for different TSS types, and (c) the most significant PEs/TFs upstream/downstream of TSSs of types A. B, C, and D.

To carry out these analyses we initially compared the incidence of predicted DNA-binding sites of known TFs in the different promoter segments in mouse in the four TSS types against those in random mouse DNA. For the top 150 predicted motifs (representing approximately 10% of all elements found in these comparisons) determined based on ORI [15], we calculated Bonferroni corrected p-values for enrichment in the considered promoter segments. In the selection of these top 10% of motifs we required that they be present in at least 10% of the promoters in the target groups and that they have an ORI value not less than 1.5. In these comparisons we found that the corrected p-value was below the threshold of 0.05 for the great majority of cases. These comparisons indicate that most of the motifs for the considered TSS types are highly specific relative to random DNA (Fable \$1); Next we aimed to see if promoter segments with the same

GC richness share the same set of PEs. We compared the upstream regions of groups A versus B and C versus D, and the downstream regions of groups A versus C and groups B versus D. It is interesting to note that the upstream (GC-rich) regions of type A and B TSSs do share, as expected, a subset of predicted motifs, but each type is characterized also by a specialized collection of putative binding sites that do not appear in the top 150 ranked sites of the other type (for example. ETS appears in promoters of type B TSSs, but not in promoters of type A TSSs) (Table S2).

Even those TFs that are found to be common in the upstream parts of both type A and type B TSSs appear in significantly different proportions of promoters of these types, as summarized in Figure S2. For example, Ets (Table S1) appears in AT-rich downstream segments (types B and D). However, in type B TSSs it appears in 17.08% of promoters.

but only on the minus strand, while in type D it appears in 13.18% of promoters, but only on the plus strand.

Moreover, if we consider unique motifs that appear in different groups, they are commonly present in large proportions of promoters of those target groups. For example, in transcripts initiated from type D TSSs, we find only two unique PEs in the downstream region. One is DBP, a transcriptional activator in hepatic cells [18] and member of the C/EBP family, which appears in 26.77% of promoters with type D TSSs and only on the minus strand. The other element, Nex, is enteric neuron homeobox and acts as an activator [19] that is required for proper positional specification, differentiative cell fate, and maintenance of proper function of enteric neurons [20,21]. It is present in 11.75% of promoters with type D TSSs and only on the plus strand.

Since any two of the four TSS types could differ in their GC content in the upstream, downstream, or both regions, and consequently barbor different sets of significant motifs, we conclude that, overall. TSS types contain sets of significant signature motifs (denoted by a plus sign next to the ORI value in Table S1 and a plus sign in Table S2) that potentially may contribute to orientation, and are likely to interact with distinct set of TFs. This concurs with the results of the preceding two subsections and suggests overall different transcriptional programs present in the transcripts of these TSS types. Lists of the most significant PEs that appear in the TSS groups are provided in Table S3.

The Initiating Dinucleotide and Its Environment

We analyzed in mouse and human datasets the initiating dinucleotide, that is, the one that occupies positions [-1, -1] relative to the TSS. We found that a number of different initiating dinucleotides are statistically significant across various TSS types and that they show certain regularities related to the GC content of upstream and downstream



Figure 4. Distribution of Selected Groups of PEs That Are Highly Enriched (at Least 3-fold) Upstream or Downstream of the TSS The upstream region considered covers [-100, -1], while the downstream region covers [1, 1+00] relative to the TSS. In all TSS types, the upstream region, Line 1, 1+00] relative to the TSS. In all TSS types, the downstream region. DOI: 10.1371/journal.pgen.0020054.g004

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regions surrounding the TSS. Table 2 shows for mouse and human data all statistically significant cases based on the pvalue obtained by the right-sided Fisher's exact test and corrected for multiplicity testing by the Bonferroni method. The association of initiating dinucleotide to TSS properties is very specific. It is interesting to note that the initiating dinucleotide TA is significantly enriched in TSS types that are AT-rich upstream, downstream, or both (B, C, and D), while dinucleotides that start with guanine (GA or GG) are significantly enriched in TSS types that are AT-rich specifically downstream (B and D). Type A TSSs are significantly enriched for dinucleotides that start with evtosine (CC, CG, and CT). However, the canonical initiating dinucleotide CA appears statistically significant only for TSS types that change GC richness (B and C). Finally, the TSS type C group contains AG and TG dinucleotides at a statistically significant level. while these do not appear significant in any other TSS type.

This compositional property of the initiating dinucleotide being linked in a statistically significant manner to the GC properties of the upstream and downstream regions would not be possible to discern if the TSS groups were lumped. We see that these properties characterize significant numbers of TSSs in our mouse dataset, namely, 10,547 (30,80%), 889 (61,74%), 1.372 (70.61%), and 534 (31,95%) of TSSs of type A, B, C, and D, respectively, and thus they do not appear to be artifacts of the proposed TSS classification that we have introduced. The conclusion is that the initiating dinucleotides show specific preferences at statistically significant levels to different TSS environments and that a significant portion of TSSs in our datasets are characterized by these initiating dinucleotides. Moreover, almost all of them are different from the canonical CA dinucleotide.

This last observation leads us to hypothesize that different TSS types may be controlled by different initiator (Inr) elements. Figure 2 depicts the quite different composition of the regions immediately surrounding tentative TSSs. The Inr elements—if they appear biologically relevant for these groups—should overlap TSSs and may be qualitatively different for different TSS types. Different initiating dinucleotides of highly statistically significant enrichment support such a hypothesis, and, at the same time, the variability of the observed initiating dinucleotides could explain the nonspecific consensus of the octamer Inr element [22]. We have generated sequence logos of the TSS surroundings [-5, +5] in VERS both mouse and human, and present them in Figure 5A. We observe that the nucleotide distributions for type A (GC-GC) TSSs are about the same in mouse and human. However, for TSS types B, C, and D, there is evident difference in these distributions in the region surrounding the TSS, which does not contradict our hypothesis of potentially different Inr elements for different TSS types. Figure 5B shows logos of regions [-35, +20] for the four TSS types in mouse and human. Again, we observe significant similarity between the species in the composition of the region for type A TSSs. while the other TSS types show significantly more variability. This may suggest species-specific organization of the core promoters for these minority TSS types (B, C, and D).

Relation of TSS Types to TATA Box Elements and CpG Islands

We analyzed the four TSS types in mouse and in human (Tables 3 and 1) for the presence of TATA box elements and

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Table 2. S	Fable 2. Starting Dinucleotide [1, ·1] for Various TSS Types in Mouse and Human Datasets									
Organism	Starting Dinucleotide	TSS Type	Number of Cases	Number of TSSs with Starting Dinucleotide	Total Number of TSSs in the Same TSS Group	Total Number of TSSs	Multiplicity Correction Factor	p-Value	Bonferroni Corrected p-Value	
Mouse	AG	с	172	1,943	2,524	39,156	16	1.41 · 10 ^{- 5}	2.25 10	
	CA	8	458	1,440	10,000	39,156	16	3.25 × 10 *	5.20 × 10 ⁻⁷	
	CA	с	558	1,943	10,000	39,156	16	6.09 · 10 ⁴	9.75×10^{-3}	
	сс	A	1,299	34,245	1,410	39,156	16	7.17 × 10 °	1.15 × 10 ²	
	CG	Α	8,669	34,245	9,076	39,156	16	1.06 10 18	1.69 / 10 184	
	CT	Α	579	34,245	635	39,156	16	1.80×10^{-3}	2.88 × 10 ²	
	GA	8	16	1,440	171	39,156	16	6.09 4 10	9.75 · 10 3	
	GA	D	15	1,528	171	39,156	16	2.99×10^{-3}	4.79 × 10 ²	
	GG	B	264	1,440	2,952	39,156	16	1.32 × 10 ⁴²	2.12 - 10 41	
	GG	D	350	1,528	2,952	39,156	16	8.28 · 10 ⁸³	1.33 × 10 ⁻⁸¹	
	TA	в	151	1,440	2,703	39,156	16	1.86 × 10 ⁻⁷	2.97 4 10 *	
	TA	c	187	1,943	2,703	39,156	16	2.30 × 10 °	3.68 × 10 5	
	TA	D	169	1,528	2,703	39,156	16	7.82 · 10 ¹⁰	1.25 · 10 ⁸	
	TG	c	455	1,943	7,381	39,156	16	1.55×10^{-7}	2.48 × 10 *	
Human	AA	D	12	385	88	10,255	16	1.03 · 10 ¹	1.65 · 10	
	CG	A	2,777	9,269	2,878	10,255	16	2.37×10^{-46}	3.79 × 10 45	
	GG	D	85	385	578	10.255	16	4.28 × 10 ²⁹	6.85 × 10 ⁻²⁸	
	TA	B	25	244	575	10,255	16	2.55 × 10 ⁻³	4.07 × 10 ⁻²	
	TA	c	35	357	575	10,255	16	8.68 · 10 1	1.39 × 10 ²	

We show only statistically significant cases DOI: 10.1371/journal.pgen.0020054.t002

association with CGIs. Globally, there are similarities in these properties of TSS types between these two species, but there are also significant differences. This mouse-human comparison must be treated with some caution, since the mouse and human datasets are based upon analysis of distinct tissues, and the human set is probably less comprehensive. In some measure, the distinctions may also relate to depth of coverage in the two species. However, since we considered a statistically large number of well-defined TSS locations in mouse (39,156) and in human (10,255), this makes comparison between the two species feasible.

Based on Bonferroni corrected p-values we find that the mouse and human datasets differ significantly in a number of promoter features (Tables 3 and 4). Mouse promoters are significantly enriched in (a) the number of promoters not associated with CGIs in TSS types A and B, and overall; (b) the number of TATA-less promoters in group A; (c) the overall number of promoters that have TATA boxes but are not associated with CGIs; and (d) the number of TATA-less promoters not associated with CGIs in TSS groups Λ and B. and overall. Conversely, human promoters are significantly enriched in (a) the number of promoters associated with CGIs in TSS types A and B, and overall: (b) the number of TATAbox-containing promoters in TSS type A; (c) the number of TATA-box-containing promoters associated with CGIs in TSS types A, B, and C, and overall; and (d) the number of TATAless promoters associated with CGIs in TSS types A and B, and overall. These data suggest that there are species-specific solutions for transcriptional initiation in mouse and human for the analyzed TSS types.

There are a number of core PEs other than TATA boxes and Inr elements, such as the downstream promoter element (DPE) [23-26], the TFIIB response element (BRE) [27], the motif ten element (MTE) [28], and the downstream core

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element (DCE) [29,30]. It would be of interest to investigate their presence around mammalian TSSs. Unfortunately, such an analysis represents a study on its own and requires reliable matrix models of these elements in mammals that are not yet available.

Linking TSS Properties and Gene Expression

We were interested to find out if the TSS types show any orrelation with broad expression categories. We used ssociation of transcripts with different GO [12] and eVOC [13] categories, as well as FANTOM3 tissue expression libraries, and analyzed their TSS distribution across the four types in mouse. While it is not possible to make definite conclusions because of incomplete GO, eVOC, tissue library. and transcript data, we were able to find a number of classes that associate with specific TSS types in a statistically significant manner (Tables 5, 6, 84, and 85). Moreover, we searched for ortholog transcript groups in mouse and human whose promoters preserve enrichment in specific TSS types in both species (Table S4). Under the conditions of our study we found that 100% of GO categories whose mapped transcripts emanate from type B TSSs preserve their enrichment: this is true for 64% of GO categories associated with type C TSSs and for 80% of GO categories associated with type D TSSs. These results suggest that between mouse and human the TSS character within the GO categories is largely conserved. Distributions of all mouse TSSs across the four TSS types for GO categories and FANTOM3 tissue libraries are provided in Table S5.

We further analyzed several specific cases. For many GO categories we found that transcripts associated with them prefer specific GC-rich/GC-poor transcription initiation frameworks (Table 5). For example, the immune response group (GO:0006955) (Figure 6) appears with 1.58-, 1.85-, and

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Figure 5. Sequence Logos

(a) Sequence logos for Inr in human (left) and mouse (right) obtained using [-5, -5] segments relative to TSS locations. There is an evident bias in the nucleotide composition surrounding the TSS that effectively determines different Inr elements. (B) Sequence logos for segments [-35, -20] relative to TSS locations. Strong similarity exists between human (left) and mouse (right) in TSS type A, while that similarity is considerably reduced for the other TSS types. DOI: 10.1371/journal.pgen.0020054.g005



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Category	TSS Type							
	Туре А	Туре В	Туре С	Type D	Overall			
Number of promoters	34,245	1,440	1,943	1,528	39,156			
CGI	27,026 (78.92) [1]	253 (17.57) [1]	363 (18.68) [1]	9 (0.59) [1]	27,651 (70.62) [1]			
No CGI	7,219 (21.08) (2.74 × 10 -11)	1,187 (82.43) (4.87 - 10 1	1,580 (81.32) [9.58 + 10 2]	1,519 (99.41) [8.82 - 10 -]	11,505 (29.38) (6.26 + 10 53			
TATA	2,539 (7.41) [1]	188 (13.06) [1]	567 (29.18) [1]	434 (28.40) [1]	3,728 (9.52) [1]			
TATA-less	31,706 (92.59) (1.63 > 10 3)	1,252 (86.94) [1.43 → 10 ⁻³]	1,376 (70.82) [1]	1,094 (71.60) [1]	35,428 (90.48) [2.02 / 10]			
CGI · TATA	1,613 (4.71) [1]	33 (2.29) [1]	58 (2.99) [1]	1 (0.07) [1]	1,705 (4.35) [1]			
CGI TATA less	25,413 (74.21) [1]	220 (15.28) [1]	305 (15.70) [1]	8 (0.52) [1]	25,946 (66.26) [1]			
No CGI · TATA	926 (2.70) [2.19 $ imes$ 10 $^{-1}$]	155 (10.76) [1]	509 (26.20) [1]	433 (28.34) [1]	2,023 (5.17) į2.09 < 10 ⁴]			
No CGI TATA-less	6,293 (18.38) [3.72 ~ 10 41]	1,032 (71.67) (1.12 - 10 1)	1.071 (55.12) [1]	1.086 (71.07) [1]	9,482 (24.22) [2.11 > 10 2]			

We present for each category (CGI, no CGI, etc.) the number of cases for each TSS type, the percent (in parentheses) of the total population in that TSS type, and the Bonferroni corrected p-value (in brackets) calculated from a nght-sided Fisher's exact test based on the hypergeometric distribution. DOI: 10.1271/journal.pope.00200541003

3.35-fold more transcripts having TSSs of type B, C, and D, respectively, than one would expect based on the proportion of transcripts in these groups in our reference mouse data. The enrichment in type C and D TSSs is statistically significant (Bonferroni-corrected right-sided Fisher's exact test, $p = 1.33 \times 10^{-18}$ and $p = 2.60 \times 10^{-1}$, respectively). Based on this, we conclude that the transcript group GO:0006955 is characterized by increased participation of transcripts from TSS types that are AT-rich upstream or downstream. We analyzed in more detail the genomic organization of loci corresponding to genes from the most overrepresented TSS type (type C) for this GO. We found that TSSs of type C map to 36 nonredundant genes, of which two are in bidirectional promoters (2/36), which means these are underrepresented for type C TSSs relative to the genome average. There are 23 genes (61%) that are appearing in gene family clusters, that is, these genes are highly overrepresented for type C 158s relative to the genome average. Finally, genes with type C TSSs have small genomic span: 34 out of 36 are less than 25 kb long, which is again more than one would expect based on the genome average. Most genes in the category GO:0006955 are short (the majority are actually less than 10 kb), are clustered with other members of the same families, and are not bidirectionally transcribed. This analysis illustrates a specific

genomic organization of genes with TSSs of type C in this GO group. Thus, TSS properties may influence genomic organization.

In Table 5, one can see that GC-rich TSSs relate to genes responsible for various binding and protein transport activities. These functions usually occur in different regions of the cell and are reflected in the diverse compartments that are enriched for type A TSSs. AT-rich TSSs (types C and D), on the other hand, are enriched in processes relating to defense responses to the environment, TSSs of the membrane attack complex (GO:0005579), defense response (GO:0006952), and immune response (GO:0006955) are concluded in type D TSSs, while the last two of these (defense and immune response) and extocine activity (GO:0005125) are enriched in type C TSSs. Globin group (GO:0001524) and hemoglobin complex (GO:0005833) are enriched in type B TSSs. These findings suggest a preference of different

functional transcript groups for specific TSS types. Similarly, for transcript groups based on eVOC terms, we find that they prefer GC-rich or GC-poor transcription initiation frameworks, depending on the eVOC category. For example, thymis-expressed transcripts (EVM:2270063 and EVM:2280063) (Table 6) seem to prefer either type A or D TSSs. The same is the case for transcripts classified according

Table 4. Basic Statistics on Relation of TATA Box Motifs, CGIs, and Four TSS Types for H517 Transcripts

Category	TSS Type						
	Туре А	Туре В	Туре С	Туре D	Overail		
Number of promoters	9,269	244	357	385	10,255		
CGI	7,887 (85.09) [2.74 × 10 41]	74 (30.33) [4.87 • 10 ⁵]	86 (24.09) [9.58 ¥ 10 ²]	8 (2.08) [8.82 × 10 ⁻²]	8,055 (78.55) [6.26 × 10 59]		
No CGI	1,382 (14.91) [1]	170 (69.67) [1]	271 (75.91) [1]	377 (97.92) [1]	2,200 (21.45) [1]		
TATA	791 (8.53) (1.63 × 10 ⁻³)	45 (18.44) [1.43 × 10 ⁻¹]	106 (29.69) [1]	101 (26.23) [1]	1,043 (10.17) {2.02 × 10 1]		
TATA-less	8,478 (91.47) [1]	199 (81.56) [1]	251 (70.31) [1]	284 (73.77) [1]	9,212 (89.83) [1]		
CGI · TATA	574 (6.19) [7.00 × 10 ⁻⁸]	16 (6.56) [7.01 × 10 ³]	22 (6.16) $[2.99 > 10^{-2}]$	0 (0.00) [1]	612 (5.97) [1.05 × 10 ¹⁰]		
CGI TATA less	7.313 (78.90) [2.62 / 10 20]	58 (23.77) [7.80 · 10 3]	64 (17.93) [1]	8 (2.08) [5.64 - 10 -]	7,443 (72.58) [4.31 × 10 34]		
No CGI · TATA	217 (2.34) [1]	29 (11.89) [1]	84 (23.53) [1]	101 (26.23) [1]	431 (4.20) [1]		
No CGI TATA-less	1,165 (12.57) [1]	141 (57.79) [1]	187 (52.38) [1]	276 (71.69) [1]	1,769 (17.25) [1]		

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We present for each category (CGL no CGL, etc.) the number of cases for each TSS type, the percent (in parentheses) of the total population in that TSS type, and the Bonferroni corrected p-value (in brackets) calculated from a right-sided Fisher's exact test based on the hypergeometric distribution. DOI: 10.1371/journal.pope.0020054.1004

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Table 5. Enric	hment of TSS	Types in Sele	cted GO Categorie	es in Mouse

GO Category	GO ID	Term	Bonferroni Corrected p-Values for the TSS Types			
			A	8	c	D
Cellular component	GO:0005833	Hemoglobin complex	1	1.74 × 10 °	1	1
	GO:0005579	Membrane attack complex	1	1	1	1.24 10
	GO:0005576	Extracellular region	1	1	479 · 10 ·	2.09 · 10 ''
	GO:0005794	Golgi apparatus	2.84 × 10 ⁻¹²	1	1	1
	GO:0005634	Nucleus	6.15 10 12	1	1	1
	GO:0005737	Cytoplasm	3.25 × 10 ⁴	1	1	1
	GO:0005739	Mitochondrion	1.23 + 10 9	1	1	1
	GO:0005829	Cytosol	2.28 < 10 ⁻²	1	1	1
Molecular function	GO:0001524	Globin	1	1.74 × 10 °	1	1
	GO:0005125	Cytokine activity	1	1	1.98 / 10 '	1
	GO:0003677	DNA binding	1.63 / 10	1	1	1
	GO:0003723	RNA binding	3.38 × 10 ⁻²	1	1	1
	GO:0003925	Small monomeric GTPase activity	1.39 · 10 ¹	1	1	1
	GO:0005524	ATP binding	4.48 × 10 7	1	1	1
	GO:0005525	GTP binding	1.62 10	1	1	1
	GO:0008565	Protein transporter activity	2.11×10^{-7}	1	1	1
	GO:0016301	Kinase activity	6.82 · 10	1	1	1
	GO:0016740	Transferase activity	3.19 🖂 10 🌁	1	1	1
Biological process	GO:0006935	Chemotaxis	1	1	1.32 - 10	1.36 10
	GO:0006952	Defense response	1	1	3.12 × 10 *	5.11 × 10 ⁻²
	GO:0006955	Immune response	1	1	1.33 < 10 18	2.60 - 10 1
	GO:0006886	Intracellular protein transport	1.77 × 10 ⁻¹²	1	1	1
	GO:0007049	Cell cycle	3.66 10)	1	1
	GO:0007264	Small GTPase-mediated signal transduction	2.76 / 10 *	1	1	1
	GO:0015031	Protein transport	3.36 · 10 *	1	1	1

The table shows some statistically significant examples of biased distribution of transcripts from different GO categories in specific TSS groups from all mouse data. DOI: 10.1371/journal.pgen.0020054.t005

to cardiovascular function (EVM:2280037 and EVM:2250045) (Table 6).	and observed fundamental similarities in the transcription initiation active domains in the two species. Looking
Conclusions We have introduced a different way to characterize TSSs.	separately at the GC content upstream and downstream of TSSs provides a useful paradigm to view certain phenomena in a clearer and more meaningful manner. We found that two
which connects TSS properties to the GC content of the immediately upstream and downstream regions. This implic-	of the TSS types, types C and D, possess positionally very well defined A1-rich regions [+35, +20] relative to the TSS.
idy links the TSS type with PEs that are residing in the TSS neighborhood. We were able to delineate transcription initiation active domains in the new delineate transcription.	suggesting the significant role of AT-rich sequences such as TATA boxes in the control of TSSs of these types. Our
undation acrise domains in the mouse and numan genomes	analysis too tink first that various initiating difficteorities show

EVOC ID or Tissue Library	Terms	Bonferroni Co	prected <i>p</i> -Value for the	e TSS Types	
	WEST	ERN (CAPE	c	D
EVM:2280168	Lung, male, adult	2.22 · 10 2	1	1	1
EVM:2120010	Whole body, mixture, embryo	3.05×10^{-2}	1	1	1
EVM:2270063	Thymus, mixture, embryo	1	1	ł	7.51 × 10 ⁻¹
EVM:2280037	Aorta and vein, male, adult	1	1	1	4.98 × 10 ¹¹
EVM:2280087	Cortex, mixture, embryo	1	4.56 10	١	1
EVM:2280063	Thymus, mixture	9.28 × 10 ⁴	1	1	1
EVM:2250045	Heart, mixture, embryo	3.43 10 3	1	1	1
11	Blastocyst	1.50 × 10 ⁴	1	1	1
14	Osteoclast-like cell	4.99×10^{-2}	1	1	1
18	LPS-treated bone marrow, macrophage	4.85×10^{-3}	1	1	1
24	ES cell	8.20 · 10 ¹	1	1	1
C7	Liver, tumor, adult	1	1	3.13 · 10 2	2.51 × 10 10

Some examples of statistically significant enrichment of different TSSs types in eVOC categories and tissue libraries from all mouse data. DOI: 10.1371/journal.pgen.0020054.006

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Overrepresented GO ID, GO 0006955



GO ID Description hiological process immune re GO:0006955

Figure 6. Distribution of TSSs for Transcripts Related to Immune Response through GO:0006955

There are 1.58, 4.85, and 3.35-fold more transcripts having TSS types 8, C, and D than one would expect based on the proportion of transcripts in these groups in our reference mouse data. Enrichment is statistically significant for types C and D based on Bonferroni corrected p-values obtained by the right-sided Fisher's exact test (Table 5). DOI: 10.1371/journal.pgen.0020054.g006

very specific preferences for the TSS types we considered, are present in statistically significant proportions of the TSSs in our datasets, and are almost all different from the consensus dimcleotide. Very specific sets of initiating dinucleotides are associated with different TSS types, and surrounding GC content is well correlated with the types of these dinucleotides. This suggests the potential presence of different Inr elements that may be characteristic for each of the TSS types and associated with different nucleotide characteristics of the surrounding domain.

We have shown that different TSS types associate with different PEs, that regions upstream and downstream of different TSS types are characterized by different collections of PEs, and that the putative PE content (for the top 10% of PEs) of the TSS surroundings generally differs for the TSS types. All these findings suggest likely control of the respective transcripts by different collections of significant PEs residing upstream or downstream of the TSS. Our results on TSS properties relative to CGIs, TATA boxes, and Im elements in mouse and human suggest species-specific adaptation. Finally, we have shown a number of examples of transcript groups obtained on the basis of different ontologies or tissue libraries that have statistically significant enrichment in at least one of the TSS types. This has provided a link between TSS characteristics and expression data.

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Promoter Properties

We believe that the results of this analysis will help in better understanding the general transcription regulation properties of mammalian promoters, and prove useful for further development and enhancement of promoter and gene prediction tools.

Materials and Methods

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eVOC, GO, and tissue expression libraries. In order to assess the ological significance of our TSS classification system, we assigned eVOL GO, and tissue expression libraries. In order to assess the biological significance of our TSS classification system, we assigned TSSs according to different GO and eVOC categories, as well as tissue libraries in FANTOM3 collection dutp/flantom.gsc.uken.go.jpf download.html, GO-ENTOM mapping data was downloaded from the RIKEN Web site (hp/flantom.gsc.riken.jpf-ANTOMEannotation/ lantomdb-Sidamindatatstag). The eVOC system consists of a set of orthogonal controlled vocabularies that unity gene expression data by mapping between the genome sequence and expression phenotype information. The eVOC human anatomov nortolog [13] and the newly developed mouse adult and developmental ontologies (http://www. evocontology.org) have been mapped to the FANTOM3 library descriptions, providing a hierarchical representation of tissues, cell types, and developmental stage information. This allows for a standard/deel analysis of gene expression and promoter profiles independent of the original annotation vocabulary used in the original dataset. For the generation of the results presented in Table SL we used

original dataset. For the generation of the results presented in Table ST, we used ortholog gene groups between mouse and human as defined at 1p# ftp.ncb.nih.gov/pub/HomoloCene. Table SS for mouse data contains statistics of all GO and tissue expression libraries from EANTOMS, complemented by the Bonlerroni corrected *p*-adac uright-sided Fisher's exact test based on hypergeometric distribution for the null hypothesis that the proportion of TSSs of a specific type in the considered GOtissue library is the same as what one can expect based on the distribution of these TSSs in mouse.

Supporting Information

Dataset SL Supplementary Nonpromoter Data

Found at DOI: 10.1371/journal.pgcn.0020054.sd001 (2.5 MB ZIP).

Figure S1. Number of TS8s of the Four Types in Human and Mouse Genomes under the Change of Parameters

Genomes under the Change of Parameters Blue, green, red, and light blue correspond to TSSs of type A, B, C, and D, respectively. From graphs in the first row we observe that when the length of the region considered changes, the numbers of TSSs of the different types remain almost unchanged. We changed the length of upstream and downstream regions from $\{x, y\}$ and $\{z, z\}$ excount row we observe that the numbers of TSSs within the four types gradually change with the change of threshold for GC content. We changed this threshold from 10% to 60%.

Found at DOI: 10.1371/journal.pgcn.0020051.sg001 (21 KB PDF).

Figure 52, Distributions of 1Fs Found to Be Common among the Top 150 PEs in Comparisons of Different TSS Types (A) Comparison of types A and B upstream regions, (B) Comparison of types B and D downstream regions, (C) Comparison of types A and C downstream regions, (D) Comparison of types C and D upstream regions. Found at DOI: 10.1371/journal.pgcn.0020051.sg002+10-KB-PDF)

Found at DOI: 10.1371/journal.pgen.00200515g002 (10 KB PDF) Table S1, List of 1 op 150 PFs That Appear with a Frequency of 10% or Greater in Upstream and Downstream Regions of Different 1SS Categories Comparison is carried out against a background or random-inoise sequences, Ranking is based on OR value. The higher the ORI, the higher the rank. We present results to the four TSS types (A, B, C, and D, For each PE we give the strand where in its found (+ 0 + - 1), name of TFBS, ORI value, percentage of promoters in the target set that contain the PE, procentage of sequences in the background set that contain the PE, probability of linding the PE in the target set given as one prediction per mcleotide, probability of linding the PE in the background set (given as one prediction per mcleotide), and Bonterroin corrected *p*-value. A plus sign added after the ORI value indic ares that the PE, sentched in a statistically significant manner at the level 0.05. Almost al top-ranked elements appear to be statistically significantly enriched in the target set; South at DOI: 10.1371/journal.pgen.0020051s0001 (329 KB PDF).

Found at DOI: 10.1371/journal.pgen.0020051.st001 (329 KB PDF).

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Promoter Properties

Table S2. Common and Specific TFBSs in the Four TSS Types

PEs are compared relative to the same GC richness and same location This are computed relative of an assume very numers and the anometer important or downstream) in different. TSS types. The signs plus or minus indicate whether the PE was found to be significantly enriched in the considered region for the considered 1SS type. For example, the first column (vellow), which shows comparison between the AIthe first commin (venue), which shows comparison between the Arts-rich downstream domains in 18S types B and D, contains a common element denoted as "- Ets." This means that Ets was found significantly enriched for the B type, but its enrichment was not significant for D type. When an element is unique for one or another group, then it is associated only with one plus or minus sign.

Found at DOI: 10.1371/journal.pgen.0020051.st002 (53 KB PDF).

Table S3. List of Significant PEs Unique and Common for Different ISS Types in the Upstream and Downstream Segments

The vellow highlighted TFs are unique for the considered groups when compared with the same upstream or downstream segment of another TSS type with the same GC richness.

Found at DOI: 10.1371/journal.pgcn.0020054.st003 (47 KB PDF).

Table S4. GO Categories That Preserve Enrichment in Specific TSS Types between Human and Mouse

Type occurve immain and another sectors whose generated transcripts belong to the same homology group as defined on the NCBI Web site (ftp://ftp. ncbi.nih.gov/pub/HomoloGene). We only considered GO categories that were supported by at least 01 USSs and where the target TSS type was supported by at least three TSSs.

Found at DOI: 10.1371/journal.pgcn.0020054.st004_06-KB_PDF)

Table S5, All GO and Tissue-Specific Libraries with Distribution of TSSs across the Four TSS Types in Mouse

TSSs across the Four ISS Types in Monse TSSs across the Four ISS Types in Monse The table presents the total number of TSSs associated with the category (GO or expression library), the number of TSSs of individual TSS type, the percentage of TSSs in that TSS type, enrichment of TSSs in the TSS type relative to what can be expected based on the distribution of all TSSs in mouse across all four TSS types, and Bonleyroni corrected *p*-values calculated based on right-sided Fish-er secare tests for the null hypothesis that the proportion of TSS type, found in the Large group is the same as that of the general mouse distribution. For example, there are 253 transcripts associated with GO0006955 Of these, 52 transcripts have a TSS of type C. For the number of transcripts in this GO category, one would expect only H ranscripts with TSSs of type C. Thus, in this GO category, we have would expect based on the distribution of all transcripts across the four TSS types. If in any of the Co/eVOC categories or tissue libraries, at least one of the TSS groups of transcripts have enrichment that is 1.5-fold or greater than the expected value, we consider such TSS types the of the TSS groups of transcripts have enrichment that is 1.5-fold or greater than the expected value, we consider such TSS type overrepresented. Found at DOI: 10.1373/journal.pgen.0020054.st005 (2.9 MB PDF).

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Appendix IIICorrelation coefficients of genes showing biasedexpression for the developmental brain in human and mouse

The correlation coefficients of the 90 genes showing bias for developmental expression in the human and mouse brain. The table lists the HomoloGene group identifier, Human Entrez Gene identifier, Human Entrez gene symbol, Mouse Entrez Gene identifier, Mouse Entrez gene symbol and the correlation coefficient between the expression profiles of the genes in each species.

Homolo- Gene ID	Human	Human	Mouse	Mouse Symbol	Correlation
	Gene		Oche		coemercia
7516	389075	RESP18	19711	Resp18	in mouse, only expressed in brain
78698	387876	LOC387876	380653	Gm872	in mouse, only expressed in brain
81871	56751	BARHL1	54422	Barhl1	in mouse, only expressed in brain
10774	57045	TWSG1	65960	Twsg1	in mouse, expressed in all tissues
27813	84865	FLJ14397	243510	A230058J24 Rik	0.646
16890	399664	RKHDI IVE	237400	Rkhd1	0.548
2880	8835	SOCS2	216233	Socs2	0.531
1933	5050	PAFAH1B3	18476	Pafah1b3	0.531
55434	1289	COL5A1	12831	Col5a1	0.519
7291	10683	DLL3	13389	D113	0.471
84799	22835	ZFP30	22693	Zfp30	0.471
7667	1154	CISH	12700	Cish	0.458
32546	64410	KLHL25	207952	Klhl25	0.447
17078	387914	TMEM46	219134	Tmem46	0.447
32293	51018	CGI-115	67223	2810430M08 Rik	0.440
1871	4760	NEUROD1	18012	Neurod1	0.439
56774	54751	FBLIM1	74202	Fblim1	0.417
68973	1663	DDX11	320209	Ddx11	0.408

Homolo- Gene ID	Human Gene	Human Symbol	Mouse Gene	Mouse Symbol	Correlation coefficient
37917	1293	COL6A3	12835	Col6a3	0.408
55918	6882	TAF11	68776	Tafl I	0.378
10695	57120	GOPC	94221	Gopc	0.316
14128	91107	TRIM47	217333	Trim47	0.300
68998	170302	ARX	11878	Arx	0.300
12418	124056	NOXO1	71893	Noxo1	0.289
55599	669	BPGM	12183	Bpgm	0.284
45198	65117	FLJ11021	208606	1500011J06 Rik	0.284
18123	140730	RIMS4	241770	Rims4	0.277
65328	7559	ZNF12	231866	Zfp12	0.273
68934	57016	AKR1B10	14187	Akr1b8	0.258
65280	286128	ZFP41	22701	Zfp41	0.258
22818	29850	TRPM5	56843	Trpm5	0.258
10663	57171	DOLPP1	57170	Dolpp1	0.251
45867	139189	DGKK	331374	Dgkk	0.240
17523	115290	FBX017LVE	50760 Y (Fbxo17	0.207
4397	8971	HIFXESTE	243529 CA	H1fx	0.207
2212	6182	MRPL12	56282	Mrpl12	0.194
11980	84262	MGC10911	66506	1810042K04 Rik	0.167
26702	93109	TMEM44	224090	Tmem44	0.149
56571	26503	SLC17A5	235504	Slc17a5	0.141
7717	24147	FJX1	14221	Fjx1	0.122
18903	440193	KIAA1509	68339	0610010D24 Rik	0.101
1028	1606	DGKA	13139	Dgka	0.101
4983	10991	SLC38A3	76257	Slc38a3	0.055
9813	55627	FLJ20297	77626	4122402O22 Rik	0.055
1368	1054	CEBPG	12611	Cebpg	0.055

Homolo- Gene ID	Human Gene	Human Symbol	Mouse Gene	Mouse Symbol	Correlation coefficient
64353	126374	WTIP	101543	Wtip	0.026
12993	84217	ZMYND12	332934	Zmynd12	0.000
7199	11054	OGFR	72075	Ogfr	0.000
46116	401399	LOC401399	101359	D330027H18 Rik	0.000
7500	5806	PTX3	19288	Ptx3	0.000
413	353	APRT	11821	Aprt	-0.026
49899	143282	C10orf13	72514	2610306H15 Rik	-0.026
12021	84557	MAP1LC3A	66734	Map11c3a	-0.043
11920	84303	CHCHD6	66098	Chchd6	-0.050
32633	136647	C7orf11	66308	281002 1B07 Rik	-0.050
7922	6150	MRPL23	19935	Mrp123	-0.050
1290	9275	BCL7B	12054	Bcl7b	-0.050
9355	51637	C14orf166	68045	2700060E02 Rik	-0.077
40668	9646	SH2BP1	22083	Sh2bp1	-0.101
40859	27166	PX19 WESTI	66494 CA	261052 4G07 Rik	-0.113
10494	58516	FAM60A	56306	Tera	-0.113
6535	11062	DUS4L	71916	Dus41	-0.122
65318	23361	ZNF629	320683	Zfp629	-0.125
14180	115294	PCMTD1	319263	Pcmtd1	-0.145
32	435	ASL	109900	Asl	-0.145
68420	9559	VPS26A	30930	Vps26	-0.167
32331	51776	ZAK	65964	B230120H23 Rik	-0.175
11653	79730	FLJ14001	70918	4921525L17 Rik	-0.194
49970	83879	CDCA7	66953	Cdca7	-0.207
1330	857	CAV1	12389	Cav1	-0.213
14157	90416	CCDC32	269336	Ccdc32	-0.213

Homolo- Gene ID	Human Gene	Human Symbol	Mouse Gene	Mouse Symbol	Correlation coefficient
56005	6328	SCN3A	20269	Scn3a	-0.240
10026	55172	C14orf104	109065	1110034A24 Rik	-0.273
31656	27000	ZRF1	22791	Dnajc2	-0.273
41703	118881	COMTD1	69156	Comtd1	-0.289
14667	113510	HEL308	191578	He1308	-0.300
268	5805	PTS	19286	Pts	-0.330
2593	7913	DEK	110052	Dek	-0.330
20549	4324	MMP15	17388	Mmp15	-0.354
18833	143678	LOC143678	75641	1700029115 Rik	-0.354
9120	25851	DKFZP434B0 335	70381	2210010N04 Rik	-0.372
15843	79591	C10orf76	71617	9130011E15 Rik	-0.372
3476	9197	SLC33A1	11416	Slc33a1	-0.389
21334	10912	GADD45G	23882	Gadd45g	-0.389
19028	146167	LOC146167	234788	Gm587	-0.408
10518	84273	C4orf14 IVE WESTE	56412 Y (RN CA	2610024G14 Rik	-0.411
35002	93082	LINCR	214854	Lincr	-0.411
12444	84902	FLJ14640	72140	2610507L03 Rik	-0.452
82250	150678	MYEOV2	66915	Myeov2	-0.646
24848	266629	SEC14L3	380683	RP23-81P12.8	-0.646

Appendix IV Expression profile of genes showing biased expression for the developmental brain in human and mouse

The expression profiles of the 90 genes showing bias for developmental expression across major human and mouse tissues in the form of a binary pseudoarray. The tissues represented are female reproductive system, heart, kidney, liver, lung, male reproductive system and stem cell for both post-natal and developmental expression. The table lists the HomoloGene group identifier, Entrez Gene identifier and Entrez gene symbol for human and mouse, as well as the species each row represents. Values in the table are 1 if the genes (in rows) are expressed in the given tissues (in columns) and 0 if the genes are not found to be expressed in the tissues (PN – post-natal; D – development; FRS – female reproductive system; MRS – male reproductive system).

10moloGene ID	GeneID	Gene Symbol	pecies	N: FRS	N: heart =	N: kidney	N: liver	N: lung	N: MRS	N: stem cell): FRS): heart): kidney): liver): lung): MRS): stem cell
413	353	APRT	Human	1	0	1			1		1	1		1			
32	435	ASL	Human	ΨN	1	ERS	1	oft	he	0	1	1	0	1	1	0	1
55599	669	BPGM	Human	1	0	1	1	1	1	0	1	1	1	1	1	1	1
1330	857	CAV1	Human	W.E	91	HK.	0	1	Ť	0	1	1	0	1	1	1	1
1368	1054	CEBPG	Human	1	0	0	1	1	1	0	1	1	0	1	1	1	1
7667	1154	CISH	Human	1	0	1	0	1	1	0	0	0	0	1	1	0	0
55434	1289	COL5A1	Human	1	0	1	0	1	1	0	1	1	0	1	1	1	1
37917	1293	COL6A3	Human	1	0	1	1	1	1	0	1	1	1	1	1	1	1
1028	1606	DGKA	Human	1	0	0	1	1	1	0	0	1	0	1	1	0	1
68973	1663	DDX11	Human	1	0	1	1	1	1	0	1	1	1	1	1	1	1
20549	4324	MMP15	Human	1	1	0	0	0	1	0	1	1	0	0	1	1	1
1871	4760	NEUROD1	Human	0	0	0	0	0	1	0	0	0	0	0	0	0	0
1933	5050	PAFAH1B3	Human	1	0	0	1	1	1	0	1	1	1	1	1	1	1

HomoloGene ID	GeneID	Gene Symbol	Species	PN: FRS	PN: heart	PN: kidney	PN: liver	PN: lung	PN: MRS	PN: stem cell	D: FRS	D: heart	D: kidney	D: liver	D: lung	D: MRS	D: stem cell
268	5805	PTS	Human	1	0	0	1	1	1	0	1	1	0	1	1	1	1
7500	5806	PTX3	Human	1	1	0	0	1	1	0	1	1	0	0	0	0	1
7922	6150	MRPL23	Human	1	0	0	1	1	1	0	1	1	0	1	1	1	1
2212	6182	MRPL12	Human	1	0	0	1	1	1	0	1	1	0	1	1	1	1
56005	6328	SCN3A	Human	0	0	1	0	0	1	0	0	0	0	1	1	1	1
55918	6882	TAF11	Human	1	0	1	0	1	1	0	0	1	0	1	1	1	1
65328	7559	ZNF12	Human	1	0	0	1	1	1	0	1	1	1	1	1	1	1
2593	7913	DEK	Human	1	1	0	1	1	1	0	1	1	1	0	1	1	1
2880	8835	SOCS2	Human	1	0	1	1	1	1	0	1	1	0	1	1	1	1
4397	8971	H1FX	Human	1	0	0	0	1	1	0	1	1	0	1	1	1	1
3476	9197	SLC33A1	Human	difference of the second	0	0	1	1	1	0	1	1	1	1	1	1	1
1290	9275	BCL7B	Human	1	0	1	1	1	1	0	0	1	0	1	1	1	1
68420	9559	VPS26A	Human	IIN	0	RS	ITT	7lof f	h_0	0	1	1	1	1	1	1	1
40668	9646	SH2BP1	Human	1	1	0	1	1	1	0	0	1	1	1	1	1	1
7291	10683	DLL3	Human	WE	0 T	0 R	0	0 P	T.	0	1	1	0	0	0	1	1
21334	10912	GADD45G	Human	1	0	0	1	1	1	0	1	1	0	0	1	1	1
4983	10991	SLC38A3	Human	1	0	0	1	0	0	0	0	0	0	1	1	0	0
7199	11054	OGFR	Human	1	0	0	1	1	1	0	0	0	0	0	1	1	1
6535	11062	DUS4L	Human	1	0	0	1	1	1	0	1	1	0	1	1	0	1
84799	22835	ZFP30	Human	1	0	0	0	1	1	0	1	1	1	1	1	1	1
65318	23361	ZNF629	Human	1	0	0	0	1	1	0	1	0	0	1	1	1	1
7717	24147	FJX1	Human	0	0	0	0	1	1	0	0	0	0	1	1	0	1
9120	25851	DKFZP434B0335	Human	1	0	0	0	1	1	0	0	0	0	0	0	1	1
56571	26503	SLC17A5	Human	1	0	0	1	1	1	0	1	1	0	1	1	1	1
31656	27000	ZRF1	Human	1	0	1	1	1	1	0	1	1	0	1	1	1	1

HomoloGene ID	GeneID	Gene Symbol	Species	PN: FRS	PN: heart	PN: kidney	PN: liver	PN: lung	PN: MRS	PN: stem cell	D: FRS	D: heart	D: kidney	D: liver	D: lung	D: MRS	D: stem cell
40859	27166	PX19	Human	1	0	1	1	1	1	0	1	1	1	1	1	1	1
22818	29850	TRPM5	Human	0	0	0	0	0	0	0	1	1	1	1	1	1	0
32293	51018	CGI-115	Human	1	0	0	1	1	0	0	1	1	1	1	1	1	1
9355	51637	C14orf166	Human	1	1	1	1	1	1	0	1	1	1	1	1	1	1
32331	51776	ZAK	Human		1	1	1	1	1	0	1	1	1	1	1	1	1
56774	54751	FBLIM1	Human	1	1	1	0	11	1	0	1	1	1	1	1	1	1
10026	55172	C14orf104	Human	1	0	1	1	1	1	0	1	1	0	1	1	1	1
9813	55627	FLJ20297	Human	1	0	1	0	1	1	0	1	1	1	1	1	1	1
81871	56751	BARHL1	Human	0	0	0	0	0	0	0	1	1	1	1	1	1	0
68934	57016	AKR1B10	Human	1	0	1	1	1	1	0	1	1	1	1	1	1	1
10774	57045	TWSG1	Human	1	0	0	0	1	1	0	1	1	0	1	1	1	1
10695	57120	GOPC	Human	1	0	1	0	1	1	0	1	1	0	1	1	1	1
10663	57171	DOLPP1	Human	IIN	0	0	0	71off	0	0	1	1	0	1	1	1	1
10494	58516	FAM60A	Human	1	0	1	1	19.	1	0	1	1	1	1	1	1	1
32546	64410	KLHL25	Human	WF	0	0 R	0	0	T	0	1	1	0	0	1	1	1
45198	65117	FLJ11021	Human	1	0	1	1	1	1	0	1	1	0	1	1	1	1
15843	79591	C10orf76	Human	1	1	1	1	1	1	0	1	1	1	1	1	1	1
11653	79730	FLJ14001	Human	1	0	1	0	0	1	0	1	1	1	1	1	1	1
49970	83879	CDCA7	Human	1	0	0	0	1	1	0	1	1	0	1	1	1	1
12993	84217	ZMYND12	Human	0	0	0	0	0	1	0	1	1	1	1	1	1	0
11980	84262	MGC10911	Human	1	0	0	0	1	1	0	1	0	0	1	1	1	1
10518	84273	C4orf14	Human	1	0	0	1	0	1	0	1	1	1	1	1	1	1
11920	84303	CHCHD6	Human	1	0	1	0	1	1	0	1	1	0	1	1	1	1
12021	84557	MAP1LC3A	Human	1	0	1	0	1	1	0	1	1	0	0	1	0	1
27813	84865	FLJ14397	Human	1	0	0	1	0	1	0	0	0	0	1	0	0	1

HomoloGene ID	GeneID	Gene Symbol	Species	PN: FRS	PN: heart	PN: kidney	PN: liver	PN: lung	PN: MRS	PN: stem cell	D: FRS	D: heart	D: kidney	D: liver	D: lung	D: MRS	D: stem cell
12444	84902	FLJ14640	Human	1	0	1	0	1	1	0	1	1	1	1	1	1	1
14157	90416	CCDC32	Human	1	0	1	1	1	1	0	1	1	0	1	1	1	1
14128	91107	TRIM47	Human	1	0	1	1	1	1	0	1	1	0	1	1	1	0
35002	93082	LINCR	Human	0	0	0	0	1	1	0	1	1	1	1	1	1	0
26702	93109	TMEM44	Human	1	0	0	0	1		0	1	1	0	1	1	1	1
14667	113510	HEL308	Human	1	0	0	0	1	1	0	1	1	1	1	1	1	1
17523	115290	FBX017	Human	1	0	1	1	0	1	0	0	1	0	1	1	1	1
14180	115294	PCMTD1	Human	1	0	1	1	1	1	0	1	1	0	1	1	1	1
41703	118881	COMTD1	Human	1	0	0	0	1	1	1	0	0	0	0	1	1	0
12418	124056	NOXO1	Human	1	0	0	0	0	1	0	1	1	0	0	1	1	1
64353	126374	WTIP	Human	, 1	0	0	4		-1	0	1	1	1	1	1	1	1
32633	136647	C7orf11	Human	1	0	0	1	1	1	0	1	1	0	1	1	1	1
45867	139189	DGKK	Human	TIN	0	OC	0	0	0	0	1	1	1	1	1	1	1
18123	140730	RIMS4	Human	1 -	-0	0	0	19 "	1	0	0	1	0	0	1	1	1
49899	143282	C10orf13	Human	0	0	007	0	0 p	1	0	1	1	0	1	0	0	1
18833	143678	LOC143678	Human	0	0	0	0	0	0	0	1	1	1	1	1	1	0
19028	146167	LOC146167	Human	0	0	0	0	0	1	0	1	1	1	1	1	1	0
82250	150678	MYEOV2	Human	1	0	0	0	1	1	0	1	0	0	1	1	1	1
68998	170302	ARX	Human	1	0	0	0	1	1	0	1	0	0	0	0	0	0
24848	266629	SEC14L3	Human	0	0	0	0	0	0	0	1	1	1	1	1	1	0
65280	286128	ZFP41	Human	1	0	1	0	1	1	0	0	0	0	0	0	0	1
78698	387876	LOC387876	Human	0	0	0	0	0	0	0	1	1	1	1	1	1	0
17078	387914	TMEM46	Human	0	0	0	1	1	0	0	1	1	1	1	1	1	1
7516	389075	RESP18	Human	0	0	0	0	0	0	0	1	1	1	1	1	1	0
16890	399664	RKHD1	Human	1	0	0	0	1	1	0	1	1	1	1	1	1	1

HomoloGene ID	GeneID	Gene Symbol	Species	PN: FRS	PN: heart	PN: kidney	PN: liver	PN: lung	PN: MRS	PN: stem cell	D: FRS	D: heart	D: kidney	D: liver	D: lung	D: MRS	D: stem cell
46116	401399	LOC401399	Human	0	0	0	0	0	0	0	1	1	1	1	1	1	1
18903	440193	KIAA1509	Human	1	0	1	0	1	1	0	1	1	1	1	1	1	1
3476	11416	Slc33a1	Mouse	1	1	1	1	1	1	1	0	0	0	0	0	1	1
413	11821	Aprt	Mouse	1	0	1	1	1	1	0	0	1	1	1	0	0	1
68998	11878	Arx	Mouse	0	0	0	0	0	n de la comunicación de la comun	0	1	1	0	0	0	1	0
1290	12054	Bcl7b	Mouse	1	1	1	1	1	1	0	1	1	1	1	0	0	0
55599	12183	Bpgm	Mouse	1	1	1	1	1 -	1	0	1	1	1	1	0	1	0
1330	12389	Cavl	Mouse	1	1	1	1	1	1	1	1	1	1	1	1	0	0
1368	12611	Cebpg	Mouse	1	1	1	1	1	1	0	0	1	1	1	0	1	1
7667	12700	Cish	Mouse	1	1	1	1	1	1	0	0	1	0	1	0	0	0
55434	12831	Col5a1	Mouse	1	1	1	0	1	1	0	0	1	0	1	0	1	1
37917	12835	Col6a3	Mouse	1	0	1	0	0	1	0	1	1	0	1	0	1	0
1028	13139	Dgka	Mouse	IIN	0	0	0	70 f f	h_{0}	1	0	0	0	0	0	0	0
7291	13389	D113	Mouse	0	0	0	0	0	1	0	0	0	0	0	0	0	1
68934	14187	Akr1b8	Mouse	0	0	0	NC	AP	T	0	0	0	0	0	0	1	0
7717	14221	Fjx1	Mouse	1	0	0	0	1	0	0	0	0	0	0	0	0	0
20549	17388	Mmp15	Mouse	1	1	1	1	1	1	1	1	1	1	1	1	0	0
1871	18012	Neurod1	Mouse	0	1	1	1	0	1	0	0	0	0	0	0	0	0
1933	18476	Pafah1b3	Mouse	1	1	1	1	1	1	0	1	1	1	1	1	1	1
268	19286	Pts	Mouse	1	1	1	1	0	1	1	1	1	1	1	0	0	1
7500	19288	Ptx3	Mouse	0	1	0	0	0	0	0	0	1	0	1	0	1	0
7516	19711	Resp18	Mouse	0	0	0	0	0	0	0	0	0	0	0	0	0	0
7922	19935	Mrpl23	Mouse	1	1	1	1	1	1	0	0	0	1	1	1	0	1
56005	20269	Scn3a	Mouse	0	0	0	0	1	0	0	0	0	0	0	0	0	0
40668	22083	Sh2bp1	Mouse	1	1	1	1	0	1	1	0	0	0	0	0	1	1

HomoloGene ID	GeneID	Gene Symbol	Species	PN: FRS	PN: heart	PN: kidney	PN: liver	PN: lung	PN: MRS	PN: stem cell	D: FRS	D: heart	D: kidney	D: liver	D: lung	D: MRS	D: stem cell
84799	22693	Zfp30	Mouse	0	0	0	0	0	0	0	1	1	1	1	1	0	0
65280	22701	Zfp41	Mouse	0	0	1	0	0	1	0	0	0	1	1	0	1	1
31656	22791	Dnajc2	Mouse	1	1	1	1	0	1	1	1	1	1	1	0	0	1
21334	23882	Gadd45g	Mouse	1	1	1	0	0	0	1	1	1	1	1	1	1	1
68420	30930	Vps26	Mouse	1	1	1	1	1	1	1	1	1	1	1	1	0	0
17523	50760	Fbxo17	Mouse	0	0	1	0	0	0	0	0	0	0	0	0	0	0
81871	54422	Barhll	Mouse	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2212	56282	Mrpl12	Mouse	1	1	1	1	1	1	0	1	1	1	1	0	1	1
10494	56306	Tera	Mouse	1	1	1	1	1	1	1	1	1	1	0	1	1	1
10518	56412	2610024G14Rik	Mouse	0	1	1	. 1	0	1	1	0	0	0	0	0	1	0
22818	56843	Trpm5	Mouse	0	0	0	0	1	1	0	0	0	0	1	1	1	0
10663	57170	Dolpp1	Mouse	1	1	1	0	1	1	1	0	1	0	1	1	1	1
10774	65960	Twsg1	Mouse	IIN	IVI	RS	1TY	7lof t	h_{0}	1	1	1	1	1	1	1	1
32331	65964	B230120H23Rik	Mouse	1	1	1	0	1	1	1	1	0	0	1	0	1	1
11920	66098	Chchd6	Mouse	WE	T2.	PR	NC	A P	E	1	0	1	0	1	0	0	1
32633	66308	2810021B07Rik	Mouse	1	1	1	1	0	1	1	1	0	0	1	0	1	1
40859	66494	2610524G07Rik	Mouse	1	1	1	1	1	1	1	1	1	1	1	0	1	1
11980	66506	1810042K04Rik	Mouse	1	0	1	1	0	1	0	0	0	0	1	0	0	1
12021	66734	Map1lc3a	Mouse	1	1	1	1	0	1	1	0	1	0	0	0	1	1
82250	66915	Myeov2	Mouse	1	1	1	1	0	1	1	0	1	1	0	0	0	1
49970	66953	Cdca7	Mouse	1	1	1	1	0	1	1	1	1	1	1	1	1	1
32293	67223	2810430M08Rik	Mouse	1	0	0	0	1	1	1	1	1	1	1	1	1	1
9355	68045	2700060E02Rik	Mouse	1	1	1	1	1	1	1	1	1	0	1	1	1	1
18903	68339	0610010D24Rik	Mouse	1	0	1	0	0	1	1	0	1	0	1	0	0	0
55918	68776	Tafl1	Mouse	1	1	1	1	1	1	0	0	1	0	0	0	1	1

HomoloGene ID	GeneID	Gene Symbol	Species	PN: FRS	PN: heart	PN: kidney	PN: liver	PN: lung	PN: MRS	PN: stem cell	D: FRS	D: heart	D: kidney	D: liver	D: lung	D: MRS	D: stem cell
41703	69156	Comtd1	Mouse	1	1	1	1	0	1	0	0	0	0	1	0	0	1
9120	70381	2210010N04Rik	Mouse	1	1	1	1	1	1	1	1	1	1	1	1	0	1
11653	70918	4921525L17Rik	Mouse	0	0	0	0	0	1	1	0	0	0	0	0	0	0
15843	71617	9130011E15Rik	Mouse	1	1	1	0	0	0	1	0	0	0	0	0	0	1
12418	71893	Noxol	Mouse	1	0	1	1	0	1	0	1	0	0	1	1	1	0
6535	71916	Dus41	Mouse	0	0	0	0	0	0	1	0	0	0	0	0	0	1
7199	72075	Ogfr	Mouse	1	1	1	1	0	1	0	0	1	1	1	0	1	1
12444	72140	2610507L03Rik	Mouse	0	1	1	1	0	1	1	1	0	0	0	0	1	1
49899	72514	2610306H15Rik	Mouse	1	0	0	0	0	0	0	0	1	0	0	0	1	0
56774	74202	Fbliml	Mouse	1	1	1	1	1	1	0	1	1	1	1	1	0	1
18833	75641	1700029115Rik	Mouse	0	0	0	<u>u</u> u	0	-1	0	0	0	0	0	0	0	0
4983	76257	Slc38a3	Mouse	0	0	1	1	0	0	1	0	0	0	0	0	0	0
9813	77626	4122402O22Rik	Mouse	TIN	0	0	TTV	0	1	1	1	1	1	0	1	1	1
10695	94221	Gopc	Mouse	4-1	0	0	0	0	1	1	1	1	0	0	0	1	1
46116	101359	D330027H18Rik	Mouse	ATE	0	0	0	0 D	0	0	0	1	0	0	0	0	0
64353	101543	Wtip	Mouse	1	1	0	0	1	0	0	0	1	0	0	1	0	0
10026	109065	1110034A24Rik	Mouse	0	1	1	1	0	1	1	1	1	1	1	0	1	1
32	109900	Asl	Mouse	1	1	1	1	1	1	1	1	1	1	1	0	1	1
2593	110052	Dek	Mouse	1	1	1	1	0	1	1	0	1	1	1	0	0	1
14667	191578	He1308	Mouse	0	0	1	0	0	0	1	0	0	0	1	1	0	0
32546	207952	Klhl25	Mouse	1	0	1	0	0	1	1	1	1	1	0	1	0	1
45198	208606	1500011J06Rik	Mouse	1	0	1	1	1	1	1	1	1	1	1	0	1	1
35002	214854	Lincr	Mouse	1	0	1	0	1	0	1	0	0	0	0	0	0	0
2880	216233	Socs2	Mouse	1	1	1	1	1	1	0	1	1	1	1	1	1	1
14128	217333	Trim47	Mouse	1	1	1	1	1	1	1	0	1	0	1	0	1	0

Appendix V The individual mouse developmental ontologies

TS01

first polar body one-cell stage second polar body unclassifiable zona pellucida

TS02

second polar body two-cell stage unclassifiable zona pellucida

TS03

4-8 cell stage compacted morula second polar body unclassifiable zona pellucida

TS04

blastocoelic cavity	
embryo	
compacted 1	norula
inner cell m	ass
germ layers	
trophectode	rm
mu	ral trophectoderm
pol	ar trophectoderm
second polar body	UNIVERSITY of the
unclassifiable	
zona pellucida	WESTERN CAPE

TS05

blastocoelic cavity embryo inner cell mass germ layers trophectoderm mural trophectoderm polar trophectoderm unclassifiable

TS06

```
blastocoelic cavity
embryo
epiblast
germ layers
primitive endoderm
trophectoderm
mural trophectoderm
polar trophectoderm
unclassifiable
```

TS07

embryo epiblast germ layers endoderm trophectoderm mural trophectoderm polar trophectoderm ectoplacental cone unclassifiable yolk sac cavity

TS08

embryo epiblast germ layers ectoderm endoderm trophectoderm mural trophectoderm polar trophectoderm ectoplacental cone

unclassifiable yolk sac cavity

TS09

embryo germ layers ectoderm endoderm mesoderm trophectoderm

mural trophectoderm polar trophectoderm RSITY of the ectoplacental cone CAPE

ĽK

primitive streak proamniotic cavity unclassifiable yolk sac cavity

TS10

allantois embryo germ layers ectoderm endoderm mesoderm trophectoderm mural trophectoderm polar trophectoderm ectoplacental cone primitive streak

unclassifiable yolk sac

TS11

allantois amnion anatomical site

hematological system blood island nervous system central nervous system {CNS} floor plate future brain future midbrain future prosencephalon future rhombencephalon future spinal cord neural tube neural crest notochord peripheral nervous system {PNS} auditory apparatus {ear} internal ear visual apparatus {eye} primitive streak unclassifiable yolk sac

TS13

alimentary system diverticulum intestine {gut} mesentery anatomical site head trunk whole body branchial arch cardiovascular system UNIVERSITY of the artery carotid artery TERN CAPE dorsal aorta heart common atrial chamber mesocardium myocardium primitive ventricle sinus venosus vein endocrine system thyroid primordium germ layers ectoderm endoderm mesenchyme hematological system blood nervous system central nervous system {CNS} floor plate future brain future midbrain future prosencephalon future rhombencephalon future spinal cord

117

neural tube neural crest notochord peripheral nervous system {PNS} auditory apparatus {ear} internal ear olfactory apparatus visual apparatus {eye} primitive streak unclassifiable urogenital system nephric cord presumptive nephric duct

TS14

alimentary system diverticulum intestine {gut} mesentery anatomical site anterior limb bud head tail bud trunk whole body branchial arch cardiovascular system artery carotid artery dorsal aorta heart common atrial chamber mesocardium mesocardium myocardium primitive ventricle RN CAPE sinus venosus vein endocrine system pituitary gland thyroid primordium germ layers ectoderm endoderm mesenchyme hematological system blood nervous system central nervous system {CNS} floor plate future brain future forebrain future diencephalon future midbrain future rhombencephalon prosencephalon ventricular system fourth ventricle third ventricle

future spinal cord neural tube neural crest notochord peripheral nervous system {PNS} auditory apparatus {ear} internal ear olfactory apparatus visual apparatus {eye} primitive streak respiratory system nose unclassifiable urogenital system nephric cord nephric duct pronephros

TS15

alimentary system diverticulum gall bladder primordium intestine {gut} mesentery dorsal meso-oesophagus oral cavity pharynx anatomical site anterior limb bud head posterior limb ridge tail UNIVERSITY of the trunk whole body WESTERN CAPE branchial arch cardiovascular system artery carotid artery dorsal aorta heart atrium common atrial chamber mesocardium myocardium primitive ventricle sinus venosus vein endocrine system pituitary gland thyroid primordium germ layers ectoderm endoderm mesenchyme hematological system blood musculoskeletal system pre-cartilage condensation

nervous system central nervous system {CNS} floor plate future brain future forebrain diencephalon telencephalon future midbrain future rhombencephalon ventricular system fourth ventricle third ventricle future spinal cord neural tube neural crest notochord peripheral nervous system {PNS} auditory apparatus {ear} internal ear otocyst ganglion olfactory apparatus visual apparatus {eye} intrarctinal space optic stalk respiratory system lung nose tracheal diverticulum unclassifiable urogenital system mesonephros UNIVERSITY of the nephric cord nephric duct WESTERN CAPE

TS17

alimentary system diverticulum intestine large intestine anal region small intestine liver and biliary system cystic duct gall bladder primordium hepatic duct liver mesentery oesophagus oral cavity pharynx stomach anatomical site anterior limb bud head posterior limb bud tail trunk

whole body branchial arch cardiovascular system artery carotid artery dorsal aorta heart atrium common atrial chamber mesocardium myocardium primitive ventricle sinus venosus valve vein dermal system dermis epidermis endocrine system pituitary gland thyroid primordium germ layers ectoderm endoderm mesenchyme hematological system blood lymphoreticular system musculoskeletal system cartilage condensation pre-cartilage condensation nervous system central nervous system {CNS} SITY of the brainw FSTERN CAPE diencephalon telencephalon hindbrain trigeminal V midbrain ventricular system fourth ventricle lateral ventricle third ventricle floor plate future spinal cord neural tube notochord peripheral nervous system {PNS} auditory apparatus {ear} external ear internal ear otocyst middle ear ganglion olfactory apparatus peripheral nerve visual apparatus {eye}

intraretinal space optic stalk respiratory system bronchus lung nose trachea unclassifiable urogenital system reproductive system gonadal component urinary system mesonephros nephric cord nephric duct alimentary system diverticulum intestine large intestine anal region small intestine duodenum liver and biliary system common bile duct cystic duct gall bladder hepatic duct liver mesentery oesophagus UNIVERSITY of the oral cavity pancreas primordium STERN CAPE pharynx stomach anatomical site anterior limb bud head posterior limb bud tail trunk whole body branchial arch cardiovascular system artery carotid artery dorsal aorta heart atrium common atrial chamber mesocardium myocardium pericardium primitive ventricle sinus venosus valve

TS18

122


123

unclassifiable urogenital system reproductive system gonad primordium urinary system mesonephros metanephros nephric duct ureteric bud **TS19** alimentary system diverticulum intestine large intestine anal pit small intestine duodenum liver and biliary system common bile duct cystic duct gall bladder hepatic duct liver mesentery oesophagus oral cavity mandibular process mandible primordium maxillary process maxilla primordium tongue _ pancreas primordium VERSITY of the pharynx WESTERN CAPE stomach anatomical site anterior limb bud head posterior limb bud tail trunk whole body branchial arch cardiovascular system artery carotid artery dorsal aorta heart atrium mesocardium myocardium pericardium sinus venosus valve ventricle vein vena cava inferior vena cava

dermal system dermis epidermis endocrine system pituitary gland thyroid germ layers ectoderm endoderm mesenchyme hematological system blood lymphoreticular system musculoskeletal system cartilage condensation pre-cartilage condensation nervous system central nervous system {CNS} brain forebrain diencephalon telencephalon hindbrain hypoglossal XII metencephalon cerebellum primordium facial VII trigeminal V myelencephalon vagal X midbrain ventricular system fourth ventricle of the lateral ventricle WEST third ventricle APE floor plate future spinal cord neural tube notochord peripheral nervous system {PNS} auditory apparatus {ear} external ear future tympanum internal ear membranous labyrinth saccule utricle osseous labyrinth semicircular canal middle ear ganglion sympathetic ganglion olfactory apparatus peripheral nerve visual apparatus {eye} cornea lens vesicle optic stalk

125

retina respiratory system bronchus lung nose trachea unclassifiable urogenital system reproductive system genital tubercle gonad primordium urinary system mesonephros metanephros nephric duct ureteric bud **TS20** alimentary system diverticulum intestine large intestine anal pit small intestine duodenum liver and biliary system common bile duct cystic duct gall bladder hepatic duct liver 🚽 mesentery UNIVERSITY of the oesophagus oral cavity mandibular process RN CAPE mandible primordium maxillary process maxilla premaxilla tongue pancreas pharynx nasopharynx stomach anatomical site anterior limb head posterior limb tail trunk whole body cardiovascular system artery carotid artery dorsal aorta heart atrium mesocardium

myocardium pericardium sinus venosus valve ventricle vein vena cava inferior vena cava dermal system appendages vibrissa skin dermis epidermis endocrine system pituitary gland thymus primordium thyroid germ layers mesenchyme hematological system blood lymphoreticular system musculoskeletal system bone cartilage cartilage condensation pre-cartilage condensation nervous system central nervous system {CNS} brain forebrain NIV diencephalon of the epithalamus WESTERN hypothalamus thalamus telencephalon cerebral cortex corpus striatum hindbrain medulla oblongata hypoglossal XII vagal X metencephalon cerebellum primordium pons facial VII trigeminal V vestibulocochlear VIII midbrain oculomotor III ventricular system fourth ventricle lateral ventricle third ventricle floor plate notochord spinal cord

```
peripheral nervous system {PNS}
                        auditory apparatus {ear}
                                 external ear
                                         auricle
                                         external acoustic meatus
                                 future tympanum
                                 internal ear
                                         membranous labyrinth
                                                  saccule
                                                  utricle
                                         osseous labyrinth
                                                  cochlea
                                                  semicircular canal
                                 middle ear
                        ganglion
                                 sympathetic ganglion
                         olfactory apparatus
                        peripheral nerve
                         visual apparatus {eye}
                                 cornea
                                 lens vesicle
                                 optic chiasma
                                 optic stalk
                                 retina
        respiratory system
                bronchus
                lung
                nose
                trachea
        unclassifiable
        urogenital system
                genital tubercle ERSITY of the
                         gonad
                urinary system WESTERN CAPE
                         mesonephros
                         metanephros
                         nephric duct
                         primitive ureter
TS21
        alimentary system
                intestine
                         large intestine
                                 anal pit
                                 colorectal
                                          rectum
                         small intestine
                                 duodenum
                liver and biliary system
                         common bile duct
                         cystic duct
                         gall bladder
                         hepatic duct
                         liver
                mesentery
                oesophagus
                omentum
```

128

lesser omentum oral cavity jaw mandible maxilla premaxilla tooth molar salivary gland sublingual gland primordium submandibular gland primordium tongue pancreas pharynx nasopharynx stomach anatomical site anterior limb head posterior limb tail trunk whole body cardiovascular system artery carotid artery dorsal aorta heart atrium endocardium {endocardial tissue mesocardium myocardium pericardium IVERSITY of the valve ventricle ESTERN CAPE vein vena cava inferior vena cava superior vena cava dermal system appendages vibrissa skin dermis epidermis endocrine system pituitary gland thymus primordium thyroid germ layers mesenchyme hematological system blood lymphoreticular system musculoskeletal system bone cartilage cartilage condensation

joint ligament muscle skeletal muscle {striated muscle} pre-cartilage condensation tendon nervous system central nervous system {CNS} brain forebrain diencephalon epithalamus hypothalamus thalamus telencephalon cerebral cortex olfactory I corpus striatum olfactory lobe hindbrain medulla oblongata hypoglossal XII vagal X metencephalon cerebellum pons facial VII trigeminal V vestibulocochlear VIII meninges arachnoid dura mater NIV pia mater Y of the midbrain oculomotor IIIAPE E.S. 1 ventricular system cerebral aqueduct fourth ventricle lateral ventricle third ventricle floor plate spinal cord peripheral nervous system {PNS} auditory apparatus {ear} auditory ossicle external ear auricle external acoustic meatus future tympanum internal ear membranous labyrinth saccule utricle osseous labyrinth cochlea semicircular canal middle ear ganglion

joint ligament muscle skeletal muscle {striated muscle} pre-cartilage condensation tendon nervous system central nervous system {CNS} brain forebrain diencephalon epithalamus hypothalamus thalamus telencephalon caudate nucleus cerebral cortex olfactory I corpus striatum lentiform nucleus olfactory lobe hindbrain medulla oblongata hypoglossal XII vagal X metencephalon cerebellum pons abducent VI facial VII trigeminal V vestibulocochlear VIII U meninges RSITY of the arachnoid dura mater CAPE WEST pia mater midbrain oculomotor III tegmentum trochlear IV ventricular system cerebral aqueduct fourth ventricle lateral ventricle third ventricle floor plate spinal cord peripheral nervous system {PNS} auditory apparatus {ear} auditory ossicle external ear auricle external acoustic meatus future tympanum internal ear membranous labyrinth saccule utricle

osseous labyrinth cochlea semicircular canal middle ear ganglion sympathetic ganglion olfactory apparatus peripheral nerve visual apparatus {eye} choroid cornea evelid lens optic chiasma optic stalk retina vitreous humor respiratory system bronchus diaphragm larynx lung nose trachea unclassifiable urogenital system reproductive system female reproductive system mammary gland Mullerian tubercle ovarv paramesonephric duct {Mullerian duct} genital tubercle Y of the male reproductive system mesonephric duct {wolffian duct} testis primitive seminiferous tubule urinary system bladder degenerating mesonephros metanephros nephron glomerulus nephric duct ureter **TS23** alimentary system intestine large intestine anus colorectal rectum small intestine duodenum jejunum liver and biliary system common bile duct

pineal primordium pituitary gland thymus primordium thyroid germ layers mesenchyme hematological system blood lymphoreticular system lymph sac spleen primordium musculoskeletal system bone cartilage cartilage condensation joint ligament muscle skeletal muscle {striated muscle} pre-cartilage condensation tendon nervous system central nervous system {CNS} brain forebrain diencephalon epithalamus hypothalamus thalamus telencephalon caudate nucleus cerebral cortex UNIVERSI 1 Y 0 olfactory I corpus striatum WESTERN lentiform nucleus olfactory lobe hindbrain medulla oblongata floor plate hypoglossal XII vagal X metencephalon cerebellum pons abducent VI facial VII trigeminal V vestibulocochlear VIII meninges arachnoid dura mater pia mater midbrain oculomotor III tegmentum trochlear IV ventricular system cerebral aqueduct

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137

nephron glomerulus ureter urethra

TS24



mesocardium myocardium pericardium valve ventricle vein vena cava inferior vena cava superior vena cava dermal system appendages hair hair follicle vibrissa skin dermis epidermis endocrine system adrenal gland adrenal cortex adrenal medulla pineal gland pituitary gland thymus thyroid germ layers mesenchyme hematological system blood lymphoreticular system lymph sac spleen musculoskeletal systemUNIVERSITY of the bone WESTERN CAPE cartilage cartilage condensation joint ligament muscle skeletal muscle {striated muscle} pre-cartilage condensation tendon nervous system central nervous system {CNS} brain forebrain diencephalon epithalamus hypothalamus thalamus telencephalon caudate nucleus cerebral cortex olfactory I corpus striatum lentiform nucleus olfactory lobe temporal lobe



140



premaxilla tooth molar salivary gland parotid gland sublingual gland submandibular gland tongue pancreas pharynx nasopharynx stomach anatomical site anterior limb head posterior limb tail trunk whole body cardiovascular system artery aorta carotid artery heart atrium endocardium {endocardial tissue} mesocardium myocardium pericardium valve ventricle vein vena cava **IVERSITY** of the inferior vena cava superior vena cava CAPE dermal system appendages hair hair follicle vibrissa skin dermis epidermis endocrine system adrenal gland adrenal cortex adrenal medulla pineal gland pituitary gland thymus thyroid germ layers mesenchyme hematological system blood lymphoreticular system lymph sac spleen

musculoskeletal system bone cartilage cartilage condensation joint ligament muscle skeletal muscle {striated muscle} smooth muscle pre-cartilage condensation tendon nervous system central nervous system {CNS} brain forebrain diencephalon epithalamus hypothalamus thalamus hippocampus telencephalon caudate nucleus cerebral cortex olfactory I corpus striatum lentiform nucleus olfactory lobe temporal lobe hindbrain medulla oblongata floor plate hypoglossal XII UNIVERSI vagal X metencephalon WEST cerebellum E pons abducent VI facial VII trigeminal V vestibulocochlear VIII meninges arachnoid dura mater pia mater midbrain oculomotor III tegmentum trochlear IV ventricular system cerebral aqueduct fourth ventricle lateral ventricle third ventricle spinal cord peripheral nervous system {PNS} auditory apparatus {ear} auditory ossicle external ear



144

metanephros nephron glomerulus renal convoluted tubule ureter urethra

TS27

alimentary system intestine large intestine anus colorectal cecum colon rectum small intestine duodenum ileum jejunum liver and biliary system bile duct cystic duct gall bladder hepatic duct liver mesentery oesophagus omentum greater omentum lesser omentum oral cavity jaw VERSITY of the gum WmandibleERN CAPE maxilla premaxilla tooth molar salivary gland parotid gland sublingual gland submandibular gland tongue pancreas pharynx hypopharynx nasopharynx oropharynx stomach anatomical site anterior limb head posterior limb tail trunk whole body cardiovascular system

artery aorta carotid artery capillary heart atrium cardiac valve endocardium myocardium pericardium ventricle vein vena cava inferior vena cava superior vena cava dermal system appendages hair hair follicle sebaceous gland sweat gland vibrissa skin dermis epidermis endocrine system adrenal gland adrenal cortex adrenal medulla parathyroid pineal gland pituitary gland **JNIVERSITY** of the thymus thyroid WESTERN CAPE hematological system blood bone marrow lymphoreticular system lymph node spleen tonsil lingual tonsil palatine tonsil musculoskeletal system bone cartilage joint ligament synovium muscle skeletal muscle {striated muscle} smooth muscle tendon nervous system central nervous system {CNS} brain forebrain diencephalon



sympathetic ganglion olfactory apparatus peripheral nerve visual apparatus {eye} choroid ciliary body conjunctiva cornea eyelid iris lacrimal gland lens optic chiasma optic stalk retina fovea centralis macula lutea sclera vitreous humor respiratory system bronchus diaphragm larynx lung alveolus nose pleura {pleural cavity} sinus {hindbrain, sinus} trachea unclassifiable urogenital system reproductive system female reproductive system Y of the amnion ERN CAPE W mammary gland ovary oviduct placenta uterus cervix endometrium myometrium vagina vulva male reproductive system epididymis penis foreskin glans prostate testis seminiferous tubule vas deferens seminal vesicle urinary system bladder kidney

nephron renal corpuscle glomerulus renal tubule loop of Henle renal collecting duct renal distal convoluted tubule renal proximal convoluted tubule ureter urethra **TS28** alimentary system intestine large intestine anus colorectal cecum colon rectum small intestine duodenum ileum jejunum liver and biliary system bile duct cystic duct gall bladder hepatic duct liver mesentery oesophagus UNIVERSITY of the omentum greater omentum ERN CAPE lesser omentum oral cavity jaw gum mandible maxilla premaxilla tooth molar salivary gland parotid gland sublingual gland submandibular gland tongue pancreas pharynx hypopharynx nasopharynx oropharynx stomach anatomical site anterior limb head posterior limb

149

tail trunk whole body cardiovascular system artery aorta carotid artery capillary heart atrium cardiac valve endocardium myocardium pericardium ventricle vein vena cava inferior vena cava superior vena cava dermal system appendages hair hair follicle sebaceous gland sweat gland vibrissa skin dermis epidermis endocrine system adrenal gland adrenal cortex adrenal medulla ERSITY of the parathyroid WESTERN CAPE pineal gland pituitary gland thymus thyroid hematological system blood bone marrow lymphoreticular system lymph node spleen tonsil lingual tonsil palatine tonsil musculoskeletal system bone cartilage joint ligament synovium muscle skeletal muscle {striated muscle} smooth muscle tendon nervous system



middle ear tympanum {tympanic membrane} ganglion spinal ganglion sympathetic ganglion olfactory apparatus peripheral nerve visual apparatus {eye} choroid ciliary body conjunctiva cornea eyelid iris lacrimal gland lens optic chiasma optic stalk retina fovea centralis macula lutea sclera vitreous humor respiratory system bronchus diaphragm larynx lung alveolus nose pleura {pleural cavity} sinus **UNIVERSITY** of the trachea unclassifiable WESTERN CAPE urogenital system reproductive system female reproductive system amnion breast mammary gland ovary oviduct placenta uterus cervix endometrium myometrium vagina vulva male reproductive system epididymis penis foreskin glans prostate testis seminiferous tubule vas deferens

Appendix VI The merged mouse developmental ontologies

Mouse developmental ontology 4-8 cell stage alimentary system diverticulum intestine large intestine anal pit anal region anus colorectal cecum colon rectum small intestine duodenum ileum jejunum liver and biliary system bile duct common bile duct cystic duct gall bladder gall bladder primordium hepatic duct liver mesentery dorsal meso-oesophagus oesophagus omentum greater omentum **RSITY** of the lesser omentum WESTERN CAPE oral cavity jaw gum mandible maxilla premaxilla tooth molar mandibular process mandible primordium maxillary process maxilla primordium salivary gland parotid gland sublingual gland sublingual gland primordium submandibular gland submandibular gland primordium tongue pancreas pancreas primordium pharynx hypopharynx nasopharynx

oropharynx stomach allantois anatomical site anterior limb anterior limb bud head posterior limb posterior limb bud posterior limb ridge tail tail bud trunk whole body blastocoelic cavity branchial arch cardiovascular system artery aorta carotid artery dorsal aorta capillary heart atrium common atrial chamber cardiac valve endocardium mesocardium myocardium pericardium primitive ventricle sinus venosus ERSITY of the valve ventricle ESTERN CAPE vein vena cava inferior vena cava superior vena cava chorion dermal system appendages hair hair follicle sebaceous gland sweat gland vibrissa skin dermis epidermis embryo compacted morula epiblast inner cell mass endocrine system adrenal gland adrenal cortex adrenal medulla parathyroid

pineal gland pineal primordium pituitary gland thymus thymus primordium thyroid thyroid primordium first polar body germ layers ectoderm endoderm mesenchyme mesoderm primitive endoderm trophectoderm mural trophectoderm polar trophectoderm ectoplacental cone hematological system blood blood island bone marrow lymphoreticular system lymph node lymph sac spleen spleen primordium tonsil lingual tonsil palatine tonsil musculoskeletal system bone UNIVERSITY of the cartilage cartilage condensation TERN CAPE joint ligament synovium muscle skeletal muscle smooth muscle pre-cartilage condensation tendon nervous system central nervous system brain forebrain diencephalon epithalamus hypothalamus thalamus hippocampus telencephalon caudate nucleus cerebral cortex olfactory I corpus striatum lentiform nucleus olfactory lobe



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otocyst middle ear tympanum tympanum primordium ganglion spinal ganglion sympathetic ganglion olfactory apparatus peripheral nerve visual apparatus choroid ciliary body conjunctiva cornea eyelid intraretinal space iris lacrimal gland lens lens vesicle optic chiasma optic stalk retina fovea centralis macula lutea sclera vitreous humor notochordal plate one-cell stage primitive streak proamniotic cavity respiratory system UNIVERSITY of the bronchus diaphragm WESTERN CAPE larynx lung alveolus nose pleura sinus trachea tracheal diverticulum second polar body two-cell stage unclassifiable urogenital system presumptive nephric duct pronephros reproductive system female reproductive system amnion breast mammary gland Mullerian tubercle ovary oviduct paramesonephric duct placenta



Theiler Stage 07 {TS 07; TS07} Theiler Stage 08 {TS 08; TS08} Theiler Stage 09 {TS 09; TS09} Theiler Stage 10 {TS 10; TS10} Theiler Stage 11 {TS 11; TS11} Theiler Stage 12 {TS 12; TS12} Theiler Stage 13 {TS 13; TS13} Theiler Stage 14 {TS 14; TS14} Theiler Stage 15 {TS 15; TS15} Theiler Stage 16 {TS 16; TS16} Theiler Stage 17 {TS 17; TS17} Theiler Stage 18 {TS 18; TS18} Theiler Stage 19 {TS 19; TS19} Theiler Stage 20 {TS 20; TS20} Theiler Stage 21 {TS 21; TS21} Theiler Stage 22 {TS 22; TS22}

fetus

Theiler Stage 23 {TS 23; TS23} Theiler Stage 24 {TS 24; TS24} Theiler Stage 25 {TS 25; TS25} Theiler Stage 26 {TS 26; TS26} Theiler Stage Unclassifiable {TS UN; TSUN}



WESTERN CAPE

Appendix VIIa The transcriptional network that controls growth arrest and differentiation in a human myeloid leukemia cell line. *Nat Genet.*



ARTICLES

The transcriptional network that controls growth arrest and differentiation in a human myeloid leukemia cell line

The FANTOM Consortium and the Riken Omics Science Center¹

Using deep sequencing (deepCAGE), the FANTOM4 study measured the genome-wide dynamics of transcription-start-site usage in the human monocytic cell line THP-1 throughout a time course of growth arrest and differentiation. Modeling the expression dynamics in terms of predicted cis-regulatory sites, we identified the key transcription regulators, their time-dependent activities and target genes. Systematic siRNA knockdown of 52 transcription factors confirmed the roles of individual factors in the regulatory network. Our results indicate that cellular states are constrained by complex networks involving both positive and negative regulatory interactions among substantial numbers of transcription factors and that no single transcription factor is both necessary and sufficient to drive the differentiation process.

Development, organogenesis and homeostasis in multicellular systems involve the proliferation of precursor cells, followed by growth arrest and the acquisition of a differentiated cellular phenotype. Upon stimulation with phorbol myristate acetate (PMA), human THP-1 myelomonocytic leukemia cells cease proliferation, become adherent and differentiate into a mature monocyte- and macrophage-like phenotype^{1,2}. This study aimed to understand the transcriptional network underlying growth arrest and differentiation in mammalian cells using THP-1 cells as a model system.



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Nature

Most existing methods for regulatory network reconstruction collect genes into coexpressed clusters and associate these clusters with regulatory motifs or pathways (for example, see refs. 3–5). Alternatively, one can model the expression patterns of all genes

Altendatively, one can move the expression particle of the generative structure explicitly in terms of predicted regulatory sites in promoters and the post-translational activities of their cognate transcription factors (TFs)^{6–9}. Although this approach is challenging in complex eukaryotic genomes owing to large noncoding regions, ChIP-chip data⁹ indicates that the highest density of regulatory sites is found near transcription start sites (TSSs) and regulatory regions originally thought to be distal may often be alternative promoters^{10,11}. Precise identification of TSS locations is thus likely to be a crucial factor for accurate modeling of transcription regulatory dynamics in mammals.

In this study, we extend our previous observations of genome-wide TSS usage by Cap Analysis of Gene Expression (CAGE)¹² and using deep sequencing to identify promoters active during a time course of differentiation and quantify their expression dynamics. DeepCAGE data are used in combination with cDNA microarrays, other genomescale approaches, novel computational methods and large-scale siRNA validation to provide a comprehensive analysis of growth arrest and differentiation in the THP-1 cell model. **RESULTS** Outline of the analysis strategy

Fig. 2 online). Figure 1 summarizes our Motif Activity Response Analysis (MARA) strategy. Promoters were defined as local clusters of coexpressed TSSs and promoter regions as their immediate flanking sequences (Fig. 1a,b). To reconstruct transcription regulatory dynamics we refined earlier computational methods⁶⁻⁸ by incorporating comparative genomic information and each TF's positional preferences relative to the TSS in the prediction of regulatory sites. Binding sites for a comprehensive and unbiased collection of mammalian regulatory motifs were predicted in all proximal promoter regions (Fig. 1c) and the observed promoter expression profiles (Fig. 1d) were combined with the predicted site-counts (Fig. 1e) to infer time-dependent activity profiles of regulatory motifs (Fig. 1d) were individual regulatory interactions (edges) between motifs and promoters by comparing the promoter expression and motif activity profiles (Fig. 1g). Rigorous Bayesian probabilistic methods were developed for all steps of the computational analysis. Finally, a core network was

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Figure 1 Motif Activity Response Analysis (MARA). (a) CAGE tags are mapped to the human genome and their expression is normalized; vertical lines represent TSS positions, and their height is proportional to the normalized expression. (b) Mapped tags are clustered into promoters on the basis of their relative expression, and neighboring promoters are joined into promoter regions. (c) A window of >300 to +100 flanking each promoter region is extracted, multiply aligned and the McVeo algorithm is used to predicit binding states for known motifs. (d-4) Observed expression of all promoters (d) and predicted site-counts (e) are used to infer motif activities (f). (g) The statistical significance of the regulatory edge from motif to promoter is calculated based on correlation of the promoter expression and motif activity profiles.

constructed by selecting the motifs that explained the greatest prop tion of the expression variance, obtaining all predicted regulatory edges between TFs corresponding to these motifs and selecting those regø ulatory edges that had independent experimental support. Using this approach, we reconstructed the transcriptional regulatory dynamics associated with cellular differentiation in human THP-1 cells, and

VER mapped to the human genome. Promoters were defined as clusters of nearby TSSs that showed identical expression profiles (within mea-surement noise) and were substantially expressed in at least one time point (Fig. 1a,b). Using these criteria we identified 29,857 promoters expressed in THP-1 cells containing 381,145 unique TSS positions (which is a subset of the nearly 2 million TSSs detected at least once in THP-1). These promoters were contained within 14,607 promoter regions (separated by at least 400 bp; Methods and **Supplementary Fig. 3** online). The deepCAGE data was validated using genome tilingarray ChIP for markers of active transcription. Of the promoters identified, 79% and 78% were associated with H3K9Ac and RNA polymerase II, respectively (both markers of active transcription^{13,14}), compared to 18% and 27% for inactive promoters (Supplementary Note online).

Among the identified promoters 84% (24,984) were within 1 kb of Autong the identified promoters 84% (24,984) were within 1 kb of the starts of known transcripts and 81% (24,327) could be associated with 9,452 Entrez genes. Approximately half of the remaining pro-moters were more than 1 kb away from the loci of known genes (Supplementary Fig. 4 online). These newly identified promoters are conserved across mammals, suggesting that they are true transcription starts of currently unknown transcripts (Supplementary Fig. 5 online). The association of 24,327 promoters with 9,452 Entrez genes extends provious evidence of alternative promoter usage¹¹—in this case even within a single cell type (Supplementary Table 1 online)—and demonstrates that promoter regions frequently contain multiple promoters with distinguishable expression profiles (Supple-mentary Table 2 online). In addition, for genes with known multiple promoters deepCAGE frequently identified only one promoter to be active in the THP-1 samples (Supplementary Fig. 6 online). Hence, deepCAGE samples a distinct aspect of transcriptional activity that can and does vary independently of mRNA abundances as measured by hybridization to representative microarray probes.

Promoter expression

Using the normalized tags per million (tpm) counts assigned to the promoters, we tested reproducibility among the three biological replicates and compared the outcome to the Illumina array from the same samples (Supplementary Fig. 7 online). DeepCAGE

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validated a subset of predicted regulatory interactions DeepCAGE quantification of dynamic TSS usage CAGE tags generated from mRNA harvested at each time point were


Figure 2 Statistical significance and consistency across replicates of the inferred motificativity profiles. Each dot corresponds to a motif. The significance of each motif in explaining the observed expression variation is quantified by the z value of its activity profile (horizontal axis, see Methods). The consistency of the inferred activity profile of each motif is quantified by the fraction of the variance (FOV) in the activity profile across all six replicates (three biological replicates for both CAGE and Illumina), which is reproduced in each replicate (vertical axis, see Methods).

expression measurements were comparatively noisy (Supplementary Fig. 7a). Nevertheless, the median Pearson correlation between the replicate-averaged expression profiles of CAGE and microarray was around 0.72 (Supplementary Fig. 7b), which is comparable to that observed with other deep transcriptome sequencing datasets¹⁵. As predicted, the correlation is lower for genes with multiple promoter regions (Supplementary Fig. 7b and discussed further in Supplentary Note).

Comprehensive regulatory site prediction

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Known binding sites from the JASPAR and TRANSFAC databases^{16,17} were used to construct a set of 201 regulatory motifs (position-specific weight matrices, WMs), which represent the DNA binding specificities of 342 human TFs. We predicted transcription factor binding sites (TFBSs) for all motifs within the proximal promoter regions (-300 to +100 bps) of all CAGE-defined promoters. Extending the proximal promoter regions beyond the -300 to +100 window decreased the quality of the fitted model described below (data not shown). In contrast to previous approaches that used simple WM scanning⁶, we incorporated information from orthologous sequences in six other mammals and used a Bayesian regulatory-site prediction algorithm that uses explicit models for the evolution of regulatory sites^{18,19} (Fig. 1c and Methods). Notably, different motifs had distinct and highly specific positional preferences with respect to TSS (Supple-mentary Fig. 8 online), extending a previous genome-scale analysis²⁰. Positional preferences were incorporated in the TFBS prediction by assigning each site a probability that it is under selection and correctly positioned. This analysis generated approximately 245,000 predicted TFBSs for the 201 motifs genome-wide. For each promoter-motif combination, the TFBS prediction was summarized by a count N_{pm} which represents the estimated total number of functional TFBSs for motif *m* in promoter *p*. The TFBS predictions were compared with published high-throughput protein–DNA interaction datasets (ChIPchip) and predicted target genes were significantly (P values ranged from 0.02 for ETS1 to 6.60E-263 for GABPA) enriched among genes for which binding was observed (Supplementary Table 3 online).

Inferring key TFs and their time-dependent activities

The details of our Motif Activity Response Analysis (MARA) are described in Methods. Briefly, for each motif m and each time point t,

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there is an (unknown) motif activity Ame which represents the timedependent nuclear activity of positive and negative regulatory factors that bind to the sites of the motif (for example, the E2F activity will depend on nuclear E2F1-8, and DP1-2 levels, as well as RB1 phosphorylation status). As in previous work^{6-8,21}, motif activities

were inferred by assuming that the expression e_{pt} of promoter p at time t is a linear function of the activities A_{mt} of those motifs that have predicted sites in p. Additionally, the effect of motif m on the have predicted sites in *p*. Authonally, the effect of molif *m* of the expression of promoter *p* is assumed to be proportional to the predicted number of functional sites N_{pm} . Assuming that the deviations of the predicted expression levels $e_{pn}^{bm} = \text{constant} + \sum_m N_{pm} A_{mt}$ from the observed levels e_{pt} are Gaussian distributed, and using a Gaussian prior on the activities, we determine fitted activities A_{mt}^* that have maximal posterior probability (Methods). The inferred motif activities were validated using a number of

internal tests. First, our Bayesian procedure quantifies both the significance of each motif in explaining the observed expression variation as well as the reproducibility of its activity across replicates (Fig. 2 and Supplementary Table 4 online). The activity profiles of the top motifs are extremely reproducible across replicates and different measurement technologies (Figs. 2 and 3a and Supplementary Fig. 9 online). It should be stressed that, although motif activities inferred by fitting the expression profiles of all promoters, the model cannot be expected to predict expression profiles of individual genes from the predicted TFBS in proximal promoters alone. The effects of chromatin structure, distal regulatory sites, nonlinear interactions between regulatory sites, and the contribution of the large numbers of human TFs for which no motif is known, are not considered. Furthermore, especially for genes that are dynamically regulated, mature mRNA abundance can be dynamically regulated dependently of transcription initiation and promoter activity through selective mRNA elongation, processing and degradation. Our aim is not to predict expression profiles of individual genes but rather to predict the key regulators and their time-dependent activities, which can be inferred from integration of global expression information in a system undergoing dynamic change. We validated the significance of the inferred activity profiles by comparing the fraction of the 'expression signal' (expression variance minus replicate noise) that is explained by the model, compared to randomized versions, and under a tenfold cross-validation test (Supplementary Fig. 10 online). The explained expression signal is highly significant and this significance is maintained under tenfold cross-validation (Methods). In addition, the highly peaked positional profiles of TFBSs (Supplementary Fig. 8) suggest that knowing the exact TSS is important for accurate TFBS prediction. Indeed, the predicted TFBSs from CAGE promoters explain substantially more of the expression signal in microarrays than predicted TFBSs of the associated RefSeq promoters (Supplementary Fig. 10). We observe that the model better predicts the expression profiles of those promoters that are more strongly expressed, more reproducible across replicates, and have higher expression variance (Supplementary Fig. 11 online). Similarly, samples at the start and end of the differentiation time course are better predicted than those at intermediate time points (Supplementary Fig. 12 online), possibly because individual cells differentiate at different rates and leave the cell populations less homogeneous at intermediate time points.

Motif activities that were independently inferred from all 11,995 expressed microarray probes were combined with the inferred motif activities from all CAGE and microarray replicates into a final set of time-dependent motif activities (Methods). From these, we selected 30 'core' motifs that contribute most to explaining the expression

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Figure 3 Inferred time-dependent activities of the key regulatory motifs. (a) The time-dependent activity profile of the E2F1-5 regulatory motifs inferred from CAGE (left) and microarray (right) data. The three biological replicates are shown in red, blue and green. (b) The 30 most significant motifs with consistent activity profiles across all replicates (CAGE and microarray) were clustered into nine sets of motifs with similar dynamics. Each panel shows the activity of the members of the cluster (colored curves), the names of motifs contributing and the cluster average activity profile (black).

variation (red dots in Fig. 2) and segregated their activity profiles using a Bayesian procedure into nine clusters (Fig. 3b and Methods), including three clusters of upregulated motifs, three clusters of down-regulated motifs and three clusters containing single motifs with profiles involving different transient dynamics. The genome-wide set of target promoters for each of the motifs was determined as described in Methods. The significance of each regulatory edge from a motif to a putative target promoter (containing a predicted TFBS) was quantified by the z value of the correlation between the motif's activity profile and the promoter's expression profile (Fig. 1e).

Core transcriptional regulatory network

The final aim in reconstructing transcriptional regulatory networks is to infer not only the key regulators and their target gene sets, but also the way in which the actions of these key regulators are coordinated. For this purpose, we collected all 199 predicted regulatory edges (z value ≥ 1.5) between the 30 core motifs. Recognizing that the prediction of individual regulatory edges is still prone to error, we constructed a core regulatory network (Fig. 4) of 55 highly trusted edges by filtering the predicted edges according to experimental validation, either within our data or in existing literature (Supplementary Table 5 online). In addition, for each core motif we extracted the set of predicted target genes (z value ≥ 1.5) and checked for enrichment of gene ontology terms. A selection of significantly enriched terms is shown as oval nodes in Figure 4 (full set of GO enrichments are available as Supplementary Table 6 online).

Whereas our method infers the key regulators *ab initio*, the majority of factors within this core network are known to be important in the monocyte-macrophage lineage, hereby validating the method. In addition the predicted targets of these motifs

are enriched for biological processes known to be involved in differentiation of the monocytic lineage.

The gene ontology enrichments can broadly be divided into four groups. Downregulated motifs E2F1-5, NFYA,B,C and MYB are associated with cell cycle-related terms, consistent with the growth arrest observed during PMA-induced differentiation and the specific downregulation of numerous genes required for DNA synthesis and cell cycle progression within 24 h of PMA addition. Notably, MYB targets are also enriched specifically for microtubule-cytoskeletonassociated genes. Conversely, targets of upregulated motifs are associated with the terms immune response, cell adhesion, plasma membrane, vacuole and lysosome, all of which are consistent with differentiation into an adherent monocyte-like cell. The targeting of lysosomal genes by cholesterol-regulated SREBF's (sterol regulatory element-binding transcription factors) is of note, as lipid homeostasis is important in the macrophage in atherosclerosis and lysosomal storage diseases²². We also saw enrichment of signal transduction genes among targets of the early induced motifs EGR1-3 and TBP. Finally, there is a set of motifs whose targets are enriched in TFs. These motifs correspond to the transiently induced/repressed motifs, ATF5_CREB3, FOXO1,3,4 and SRF, and the repressed pair of OCT4 and FOXI1.J2 motifs.

Validation of edge predictions

THP-1 cells, even in an 'undifferentiated' state, are clearly a myeloid cell line. In seeking to validate the transcriptional network, we noted that there was a large set of TF genes expressed constitutively in the cells that were rapidly downregulated in response to PMA, of which MYB is an example, and another set that was expressed but further upregulated during differentiation. It is technically difficult to apply siRNA knockdown to genes that are only expressed later in the differentiation. To validate predicted edges empirically, we therefore chose to carry out siRNA knockdowns in undifferentiated THP-1 cells for genes encoding 28 TFs that are expressed in the undifferentiated state and for which we have associated motifs. To assess whether siRNA knockdown carried out in the undifferentiated state is appropriate to address factors that increase expression during the time course, we carried out the technically more difficult experiment of siRNA knockdown combined with PMA treatment for SPI1 (more commonly known in the literature as PU.1). All knockdowns were carried out in biological triplicate and qRT-PCR was used to confirm RNA-level knockdown, which in most cases was greater than 80% (Supplementary Table 7 online; in addition, protein-level knockdown was confirmed by protein blot for 14 siRNAs, see Supplementary Fig. 13 online). Changes in gene expression caused by TF knockdown were measured by Illumina microarrays. For each knocked-down TF gene, we obtained the list of predicted regulatory targets for the associated motif and divided the microarray probes into predicted targets and nontargets for a range of z-value thresholds. Higherconfidence targets in general show greater expression change knockdown (Fig. 5a shows the example TF genes MPB, SNAI3, EGRI and RUNXI; additional examples are shown in Supplementary Fig. 14 online). For SPI1, even in the absence of PMA treatment siRNA knockdown caused significant downregulation of predicted SPI1 targets, but the effects were much stronger when knockdown was combined with 1 h or 24 h of PMA treatment (Fig. 5b), confirming that PMA causes upregulation of SPI1 activity. A good correlation between target confidence (z-value cut-off) and average log expression ratio was observed for the large majority of experiments (Fig. 5c). For an intermediate cut-off of z = 1.5 we quantified the difference in log expression ratio of predicted targets and nontargets (Fig. 5d) and

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found significant changes (z-value larger than 2) for 23 of 33 cases with SPII knockdown combined with 24 h of PMA treatment and MYB knockdown being the most significant (Supplementary Fig. 15 online shows the entire distribution of log expression ratios of targets and nontargets for eight example TFs). Notably, for the TF genes LMO2, MXI and SPI, the knockdown led to a significant upregulation of their targets, suggesting that the three encoded TFs act primarily as repressors in undifferentiated THP-1 cells (Fig. 5d, also see Supplementary Fig. 14a). Together these results provide compelling experimental validation of our predicted regulatory edges.

Single TF knockdowns affect multiple motif activities

Besides validating predicted targets, the siRNA knockdowns can also be used to assess the effects of the knockdown of one TF gene on the motif activities of other TFs. In addition to the 28 TFs perturbed above, we included a further 24 TFs that lacked motifs but were naturally repressed during PMA differentiation, or had been reported to have a role in myeloid differentiation or leukemia (Supplementary Table 8 online).

The motif activity inference method was used to determine the changes in activities of all motifs upon knockdown of each TF gene. To assess the role of each TF in differentiation, we defined the differentiative overlap between a TF gene knockdown and the PMA time course as the fraction of all motifs that significantly changed their activity in the same direction upon TF gene knockdown as in the PMA differentiation (Methods). By far the largest differentiative overlap (69%) was observed for the MYB knockdown, which not only affected MYB motif activity, but also the activity of most motifs in the core network, with the most significant activity changes all in the same direction as in the PMA time course (Fig. 6a). Knockdown of 13 other TF genes generated an overlap greater than the negative control (Supplementary Table 9 online), and Figure 6 shows three further examples (*E2F1*, *HOXA9* and *CEBPG*).

As for MYB, E2F1 knockdown reproduced some of the downregulation of MYB and E2F activity observed upon PMA stimulation, but it failed to reproduce the upregulation of SREBF1,2, PU1, NFATC1-3 and FOS,B,L1_JUNB,D activity (Fig. 6b). Similarly, the activity changes that HOXA9 knockdown induced were mostly in the same direction as in the PMA differentiation; however, the SNA11-3

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Figure 4 Predicted core regulatory network of the 30 core motifs. An edge X—Y is drawn whenever the promoter of at least one of the TFs associated with motif Y has a predicted regulatory edge for motif X (z value ≥ 1.5) and the edge has independent experimental support. The color of each node reflects its cluster membership and the size of the node reflects the significance of the motif. Edges confirmed in the literature, by ChIP or by siRNA are shown in red, blue and green, respectively. In cases where there are multiple lines of support only one evidence type is shown. Supplementary Table 5 shows all predicted edges and their experimental support. G0 terms significantly enriched among target genes are shown as white nodes with black edges. FOS/JUN (FOS, B,L1_JUNB,D), CREB (ATF5_CREB3), GABPA (ELK1,4_GABPA,B2).

and IRF1,2 motif activities failed to be induced and the GATA4 and TBX4,5 motif activities failed to be downregulated (Fig. 6c). Notably, knockdown of *CEBPG*, encoding

one of the PMA-downregulated factors, for which we do not have motif, also generated activity changes that significantly overlapped those observed in response to PMA (Fig. 6d). Finally, instead of comparing the motif activity changes that different knockdowns induced, we can also directly compare the expression changes of all genes with the expression changes observed in the PMA time course. We found that MYB, HOXA9, CEBPG, GFI1, CEBPA, FLI1 and MLLT3 knockdowns all generated changes in gene expression that reiterated ne of those observed with PMA treatment (Supplementary Table 8). MYB knockdown was exceptional, as it induced 35% (340/967) and repressed 19% (172/916) of the genes upregulated and wnregulated with PMA, respectively. In addition the cells became adherent (Supplementary Fig. 16 online) and began to express the monocytic markers CD11B (ITGAM), CD54 (ICAMI), CD14, APOE and CSFIR (Supplementary Fig. 2), three of which we confirmed by flow cytometry (Supplementary Table 10 online). This development of adherence could be linked to the GO enrichment for cytoskeletonassociated genes among MYB targets noted above. Given these observations one might wonder whether MYB is a master regulator of the differentiation process and whether stronger and longer knockdown would have reproduced the complete differentiation observed under PMA treatment. Several observations argue strongly against this. First, the gene sets perturbed by MYB and by the other prodifferentiative TFs overlap only partially (Supplementary Table 11 online). Second, of the six other pro-differentiative TF genes only two (CEBPG and GFI1) are affected by MYB knockdown. Both these facts indicate that the other pro-differentiative TF genes are not simply downstream of MYB. Third, MYB downregulation does not occur until after the second hour of the PMA time course (Fig. 3b), which is at odds with the idea of MYB sitting at the top of the regulatory hierarchy. It is also worth noting that THP-1 cells harbor a leukernogenic fusion²³ between MLL (mixed-lineage leukemia) and MLLT3 (MLL translocation partner 3) and that the MLLT3 siRNA targets this leukemogenic fusion (note that full-length *MLLT3* does not seem to be expressed in THP-1 as there is no CAGE 5' signal for this gene). Our data indicate that this fusion interferes with differentiation and that neither PMA treatment nor MYB knockdown affects MLL-MLLT3 levels, suggesting these stimuli can bypass the differentiative block. Conversely, MLLT3 knockdown had no effect on MYB levels. These

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Figure 5 Validation of predicted target promoter sets using siRNA knockdowns. (a) Difference in the average log expression ratio upon knockdown between predicted target promoters and predicted nontargets (vertical axis) as a function of the z value cut-off on target prediction (horizontal axis, more stringent cut-offs are on the right) for knockdown of the VF genes MVB (red), SNAI3 (orange), RUNX1 (green) and *EGR1* (light blue), (b) As in a but now for knockdown of stringent (red), All straight lines are linear regression fits. (c) Pearson correlation coefficients between the average log expression ratio difference of targets and nontargets and the cut-off on target predictions (horizontal axis), Red bars indicate correlation coefficients between the average log expression ratio difference of targets and nontargets to cut-off on target predictions (horizontal axis), Red bars, indicate correlation coefficients between the average log expression ratio between bars, absolute values between 0.5 and 0.75; and blue bars, less than 0.5. (d) Significance (z value) of the difference in log expression ratio between prevent on the rate of the reason targets and nontargets cut-off z - 1.5 (for all 28 TF as associated with a motif, messured as a z value (number of standard errors). Red bars correspond to significant changes, that is, greater than two standard errors; green bars, changes between 1 and 2 standard errors; and blue bars, changes less than 1 standard errors siRNA knockdowns were carried out in biological triplicate and knockdown was assessed by qRT-PCR (Supplementary Table 7).

results agree with previous RNAi studies that conclude that downregulation of MLL leukemogenic fusion proteins can promote growth arrest but is not required for terminal differentiation^{24,25}. Thus, individual TF gene knockdowns affect the activities of multiple motifs and elicit different, but overlapping, subscts of the regulatory changes observed in the PMA time course. Taken together, the data indicate that the independent perturbation of expression of multiple TFs in response to PMA is both necessary and sufficient to initiate partial differentiation.

Many TFs are involved in the differentiation process

The network predictions and the siRNA results above suggest that upregulation and downregulation of the activities of multiple cooperating TFs is required for differentiation. Of a curated list²⁶ of 1,322 human TFs, 610 were detected by both CAGE and microarray in at least one time point (Supplementary Table 12 online); however, only 155 of these are covered by weight matrices, suggesting that other factors may well be important in these cells. Of the 610 expressed TFs 64 were most highly expressed in the undifferentiated and 34 in the differentiated state. In addition, 101 TFs were transiently induced or repressed during differentiation. To elucidate the connection of these TFs to the inferred network, we compared the predicted regulatory inputs of co-regulated subsets of TFs with the predicted regulatory inputs of the set of all 610 expressed TFs.

Whereas no motifs are overrepresented among inputs of statically expressed TFs, inputs of dynamically expressed TFs showed enrichment for a subset of motifs. TFs downregulated from 0 to 96 h PMA were most enriched for three downregulated motifs of the core network: OCT4 (3.4×), GATA4 (3.3×) and NFYA,BC (2.2×) (Supplementary Table 13a online). Similarly, TFs upregulated from 0 to 96 h were most enriched for core network motifs that increase activity during differentiation: SNA11-3 (4.6×) and TBP (5.2×) (Supplementary Table 13b). Finally, transiently regulated TFs were enriched for the SRF (3.5×) and NHLH1,2 (3×) motifs (Supplementary Table 13c).

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Notably, TFs that are predicted targets of SRF are mostly induced in the first hour of PMA-induced differentiation. During this first hour 55 of the 57 genes whose expression was perturbed are induced and 30% encode TFs (Supplementary Fig. 17a online). The regulatory inputs of these early-induced TFs are enriched for the motifs SRF, TBP and FOSL2 (Supplementary Table 13d), which all correspond to known PMA-responsive TPs²⁷⁻³⁰. Among the early-induced TFs, five correspond to upregulated core network motifs themselves (FOSB, EGRI-3 and SNA11) and two (MAFB and EGR1) are known to induce pro-differentiative changes^{31,32}. It is also worth noting that significant downregulation did not occur until the second hour, and this may 1.3

require both early induction of transcriptional repressors and the RNA degradation proteins BTG2 and ZFP36 (tristetraprolin)^{33,34} (Supplementary Fig. 17b). Together, these results suggest that induction of SRF target genes in the first hour is critical to establishing the differentiative program and is required before factors maintaining the undifferentiated state are downregulated (Supplementary Fig. 17b,c).

Web interface to data and analysis results

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To facilitate the use of the data and analysis of results amassed here, we provide an online tool, EdgeExpressDB, as part of the FANTOM4 web resource, which allows users to explore our annotations of the structure, expression and regulation of promoters genome-wide. It also integrates published TF-promoter interactions, the siRNA perturbations and genome-wide chromatin immunoprecipitation experiments. Our complete set of regulatory-interaction predictions provides a large collection of hypotheses that can be targeted for validation, for example, through chromatin immunoprecipitation, gel shift assays or reporter assays. The value of this resource is illustrated

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by detailed examination of individual loci. For example, the osteopontin gene (SPP1) is massively induced from 12 h of differentiation (Supplementary Note). Our predictions confirm RUNX and PU.1 as regulators and support a previous analysis in mouse implicating the TGIF1 factor. In addition our analysis identifies NFAT, STAT, NKX6.2 and LIM domain and homeobox proteins as candidates for

further testing. Finally, our set of human promoters, TF motifs, genome-wide annotation of TF-binding sites and their predicted effects on the expression of the target promoters are available through the Swiss-Regulon website. A web interface, allowing researchers to automatically perform Motif Activity Response Analysis (MARA) of their own expression data in terms of our genome-wide predictions of TFBSs, is also available at SwissRegulon.

Y of the DISCUSSION

We have devised a new integrated approach that combines genome-wide identification of TSSs and their time-dependent expression with computational modeling to reconstruct the transcriptional regulatory dynamics of a differentiating human cell line. The CAGE tag sequencing used here is tenfold deeper than in previous studies11, and this is the first study to our knowledge to quantitatively monitor dynamic expression changes of individual TSSs genome-wide. Using this data we developed a new computational method in which promoter expression profiles were modeled directly in terms of the TFBSs occurring in their proximal promoter regions. This method allowed us to infer which regulatory motifs are most predictive of expression changes and the time-dependent activities of the corresponding TFs ab initio. We identified more than two dozen different regulatory motifs that significantly change their activity during PMA-induced

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Appendix VIIb: Clusters of genes from Illumina microarray expression experiment with early, mid and late response characteristics

Data selection

For each time-point, the Rank Invariant normalization values, as well as the Flag Detection scores for each probe, were extracted from the files supplied by the Consortium. The Flag Detection scores are determined as follows:

- for each probe, the bead standard deviation (defined as the 'average standard deviation associated with bead-to-bead variability for the sample in the group' Illumina BeadStudio User Guide) was divided by the intensity value to determine the variance of the measurements, yielding the flag detection score
- for flag detection scores equal to 1, the probe is flagged as 'present' (P)
- for flag detection scores between 0.99 and 1.00, the probe is flagged as 'marginal' (M)
- for flag detection scores less than 0.99, the probe is flagged as 'absent' (A)

We excluded from consideration all probes that were flagged as 'absent' at any time-point. This resulted in a total of 9 187 probes. The probe identifiers were converted to EntrezGene identifiers. Many of the probe identifiers did not have a corresponding gene identifier and were excluded from further analysis. This filtering step finally yielded 7 932 genes associated with the probes.

Data transformation

The 7 932 genes selected were subjected to the following transformation steps:

- add a value of 50 to all data-points to eliminate negative values
- perform a log2 transformation on the dataset
- normalize the data of the 0hr by making zero mean and standard deviation of 1

- transform all other time point values using the mean and standard deviation determined for 0 hr.
- to determine the change x in the expression over time for each probe relative to the expression level at point 0 hr, subtract the 0 hr value from all the other time-point values for each probe
- to calculate the fold-change in expression for each time-point relative to 0 hr, calculate 2^x for each time-point value x.

The result of the data transformation is a fold-change value varying from 0 to infinity. A fold-change value between 0 and 0.5 indicates that the expression of the probe is half or less of what it was originally (at 0 hr), and therefore the respective gene is considered significantly down-regulated. A fold-change value of 2 or more indicates that the expression of the probe is 2 or more fold greater than it was originally (at 0 hr) and we considered it to represent a significant up-

regulation of the gene.



Clustering

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The transformed data was binned into the following categories for clustering:

- Down-regulated: all values in the range 0 <= X <= 0.5
 - \circ clustering value = -1
- No regulation: all values in the range 0.6 < X < 2
 - \circ clustering value = 0
- Up-regulated: all values >= 2
 - \circ clustering value = +1

The tool used to perform clustering was TIGR MultiExperiment Viewer (version 3.1), which is freely available from http://www.tm4.org. For clustering we applied a Hierarchical Clustering algorithm using the Euclidean distance metric and average linkage clustering.

Selection of clusters

Of the transformed 7 932 genes, 1 807 genes were not regulated throughout the time-points, 710 genes were down-regulated at the 24h time-point only, and 5 220 genes were up-regulated at the 24h time-point only. These three clusters of genes were not selected.

The remaining clusters were visually inspected and divided into 10 categories based on their regulation over time as presented in Table 1 (see Figure 2 for graphical representation). In Table 1 we used the following classification of the time intervals in the gene response:

- early regulation refers to the first four time-points (0.5h, 1h, 2h, 3h)
- middle regulation refers to the next three time-points (4h, 8h, 10h)
- late regulation refers to the last three time-points (12h, 18h, 24h)

The heat-map of the selected clusters is depicted in Figure 1.

<u>Table 1</u>: Clustering categories for Illumina data based on the time of the response of genes to LPS stimulation.

	WES	TERN CAL	PE	
	Early Regulation	Middle regulation	Late regulation	GeneCount
Category 1	Up	Up	Up	4
Category 2	Up	None	Up	40
Category 3	Up	None	Down	5
Category 4	None	None	Up	38
Category 5	None	Down	Up	36
Category 6	None	Down	None	15
Category 7	None	Down	Down	15
Category 8	Down	None	Up	31
Category 9	Down	None	Down	7
Category 10	Down	Down	Down	2

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Clustering image from TMeV. Clusters were selected based on the visual inspection of expression profiles. Each cluster was classified into an expression category based on their expression over time.



Figure 2

Average expression profiles for the expression categories. The average expression profile for each category was plotted along the time-points. Values in the graph range from -1 (down-regulated) through 0 (no regulation) to 1 (up-regulation).

Appendix VIII Expression profile of transcription factors showing tissue restriction

The expression profile of the 145 transcription factors expressed in 25% of tissues. (FRS – female reproductive system; MRS – male reproductive system).

GeneID	Gene Symbol	adrenal gland	blood	blood vessel	bone	bone marrow	FRS	heart	kidney	liver	Jung	lymph	MRS	mucosa	pancreas	pineal gland	pituitary gland	spleen	stem cell	thymus	thyroid	other
326	AIRE	0	0	0	0	0	0	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0
430	ASCL2	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	1	0	0	1
579	BAPX1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0
668	FOXL2	0	0	0	0	0	1UI	N ₁ 1 V	0	0	0	0	0	0	0	0	0	0	0	0	0	0
1032	CDKN2D	0	0	0	0	0	1	0	0	0	1	1	1	0	0	0	0	0	0	0	0	1
1053	CEBPE	0	0	0	1	0	0	0	μĽ	0	0	0	0	0	0	0	0	0	0	0	0	0
1745	DLX1	0	0	1	1	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	1
1746	DLX2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0
1748	DLX4	0	0	0	0	0	1	0	0	0	0	1	0	0	0	0	0	0	1	0	0	0
1761	DMRT1	0	0	0	0	0	1	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0
1961	EGR4	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0
1993	ELAVL2	0	0	0	0	0	1	0	0	0	0	0	1	0	0	0	0	0	1	0	0	1
2016	EMX1	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
2020	EN2	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2103	ESRRB	0	0	0	0	0	1	0	1	1	0	0	0	0	0	0	0	0	0	0	0	1
2118	ETV4	0	0	0	0	0	1	0	0	0	1	0	1	0	0	0	0	0	1	0	0	1

GeneID	Gene Symbol	adrenal gland	blood	blood vessel	bone	bone marrow	FRS	heart	kidney	liver	Sun	lymph	MRS	mucosa	pancreas	pineal gland	pituitary gland	spleen	stem cell	thymus	thyroid	other
2294	FOXF1	0	0	0	0	0	1	0	1	0	1	0	1	0	0	0	0	0	0	0	0	0
2295	FOXF2	0	0	0	0	0	0	0	1	0	0	0	1	0	0	0	0	0	0	0	0	1
2297	FOXD1	0	0	0	0	0	0	1	1	0	0	0	1	0	0	1	0	0	0	0	0	1
2302	FOXJ1	0	0	0	0	0	0	0	1	0	1	0	0	0	0	0	0	0	1	0	0	0
2304	FOXE1	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0	1
2306	FOXD2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
2623	GATA1	0	0	0	0	1	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0
2672	GFI1	0	1	0	0	0	0	0	0	0	0	1	0	0	0	0	0	1	0	0	0	1
3007	HIST1H1D	0	1	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0	1
3008	HIST1H1E	0	0	0	0	0	0	0	1	0	0	1	0	0	0	0	0	0	0	0	0	0
3009	HIST1H1B	0	0	0	0	0	D1	0-	0	0	0	of	the	0	0	0	1	0	0	0	0	1
3110	HLXB9	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
3198	HOXA1	0	0	0	1	0	0	0	I E	0	0	0	15	0	0	0	0	0	1	0	0	0
3205	HOXA9	0	0	0	0	0	1	0	1	0	0	0	1	0	0	0	0	0	0	0	0	1
3207	HOXA11	0	0	0	1	0	1	0	0	0	0	Ő	1	0	0	0	0	0	0	0	0	1
3209	HOXA13	0	0	0	0	0	1	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0
3231	HOXD1	0	0	0	0	0	0	1	1	0	0	0	0	0	0	0	0	1	0	0	0	1
3234	HOXD8	0	0	0	0	0	1	1	1	0	0	0	1	0	0	0	0	0	0	0	0	1
3642	INSM1	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	1	0	1	0	0	0
3975	LHX1	0	0	0	0	0	1	0	1	0	0	0	1	0	0	0	0	0	1	0	0	1
4210	MEFV	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
4656	MYOG	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
4796	NFKBIL2	0	1	0	0	0	0	0	0	0	0	1	1	0	0	0	0	0	1	0	0	1

eID	le Symbol	enal gland	p	d vessel	Э	e marrow	S	E	ıey		5	ph	S	05a	creas	al gland	itary gland	en	ı cell	snu	oid	ir
Gen	<u>G</u>	adr	bloc	bloc	pon	pon	FR	hea	kidı	live	lung	lym	MR	onw	pan	pine	pitu	sple	sten	thy	thy	othe
4821	NKX2-2	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0
4861	NPAS1	0	0	0	0	0	1	0	1	0	0	1	0	0	0	0	0	0	1	0	0	1
4901	NRL	0	1	0	0	0	0	0	0	1	1	0	0	0	0	0	0	0	1	0	0	1
5013	OTX1	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	1	0	0	1
5076	PAX2	0	0	0	0	0	0	0	1	0	1	0	0	0	0	0	0	0	0	0	0	_1
5077	PAX3	0	0	0	0	0	0	1	0	0	0	0	1	0	0	0	0	0	1	0	0	1
5079	PAX5	0	0	0	0	0	1	0	0	0	0	1	0	0	0	0	0	1	0	0	0	1
5081	PAX7	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0
5453	POU3F1	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0	1	0	0	1
5454	POU3F2	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	1
5455	POU3F3	0	0	0	0	0	0 1	0-		0	0	0	<i>i</i> ne	0	0	0	0	0	1	0	0	0
5462	POU5F1P1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
5992	RFX4	0	0	0	0	0	0	0	0	0	10	0	P.	0	0	0	0	0	1	0	1	1
6474	SHOX2	0	0	0	0	0	1	1	0	0	0	0	1	0	0	0	0	0	0	0	0	1
6493	SIM2	0	0	0	0	0	0	0	1	0	0	0	1	0	0	0	0	0	0	0	0	1
6496	SIX3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
6664	SOX11	0	0	1	0	0	1	0	0	0	0	0	1	0	0	0	0	0	1	0	0	1
6689	SPIB	0	1	0	0	0	0	1	0	0	0	1	0	0	0	0	0	1	0	0	0	1
6877	TAF5	0	0	0	0	0	0	0	0	1	0	1	1	0	0	0	0	0	1	0	0	1
6899	TBX1	0	0	0	0	0	1	0	0	0	0	0	1	0	0	0	0	0	1	0	0	1
6913	TBX15	0	0	0	1	0	0	1	0	0	0	0	1	0	0	0	0	0	0	0	0	1
7023	TFAP4	0	0	0	0	0	1	0	0	0	1	0	0	0	0	0	0	0	1	0	1	$\boxed{1}$
7161	TP73	0	0	0	0	0	1	0	0	0	0	1	1	0	0	0	0	0	1	0	0	1

έD	e Symbol	nal gland	G	d vessel		e marrow		t	ey			h		osa	reas	al gland	tary gland	u.	cell	IUS	oid	-
Gen	Ge	adre	bloo	bloo	pone	pone	FRS	hear	kidn	liver	lung	lyml	MR	mu	panc	pine	pitui	splee	stem	thyn	thyr	othe
7291	TWISTI	0	0	0	1	0	1	0	0	0	1	0	0	0	0	0	0	0	1	0	0	
7310	U2AFILI	0		0	0	0	0	0	0	0	0	0		0	0	0	0	0	1	0	0	1
7546	ZIC2	0		0	0	0	0	0	0	0	0	0		0	0	0	0	0	1	0	0	
7621	ZNF70	0	0	0	0	0		0	0	0	0	0		0	0	0	0	0	0	0	0	0
7673	ZNF222	0	1	0	0	0	1		1	0	0	0	0	0	0	0	0	0	0	0	0	1
7675	ZNF121	0	1	0	0	0	1	1	0	0	0	0	0	0	0	0	1	0	1	0	0	_0
7710	ZNF154	0	0	0	0	0	1	1	0	0	0	1	0	0	0	0	0	0	1	0	0	1
7768	ZNF225	0	0	0	0	0	0	0	1	0	1	1	0	0	0	0	0	0	1	0	0	1
8092	CART1	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	1
8193	DPF1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
8320	EOMES	0	0	0	0	0	0_1	0	0	0	11	0J	ne	0	0	0	0	0	1	0	0	1
8345	HIST1H2BH	0	0	0	0	0	0	1	0	1	0	1	0	0	0	0	0	0	1	0	0	0
8820	HESX1	0	0	0	0	0	11	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
8970	HIST1H2BJ	0	0	0	0	0	1	0	1	1	0	0	0	0	0	0	0	0	0	0	0	1
9970	NR1I3	0	0	0	0	0	0	0	1	1	0	0	0	0	0	0	0	0	0	0	0	1
10215	OLIG2	0	0	0	0	0	1	0	0	0	1	0	0	0	0	1	0	0	0	0	0	0
10655	DMRT2	0	0	0	0	0	1	1	1	0	0	0	0	0	0	0	0	0	0	0	0	1
<u>10</u> 794	ZNF272	0	0	0	0	0	1	0	0	1	0	0	1	0	0	0	0	0	1	0	1	0
11077	HSF2BP	0	0	0	0	0	0	0	0	1	1	0	1	0	0	0	0	0	1	0	0	1
11281	POU6F2	0	0	0	0	0	1	0	0	0	0	0	1	0	0	0	0	0	1	0	1	1
25806	VAX2	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	1
26038	CHD5	0	0	0	0	0	1	0	0	0	0	0	1	0	0	0	0	0	1	0	0	1
26108	PYGO1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	1

leID	ie Symbol	enal gland	þ	od vessel	e	e marrow	S	Ľ	ney	-	50	hh	S	cosa	creas	eal gland	itary gland	en.	n cell	mus	roid	er
Ger	e	adr	bloc	bloe	pon	pon	FR	hea	kidı	live	Iuni	lym	MR	'nm	pan	pin	pitu	sple	sten	thy	thy	oth
26468	LHX6	0	0	0	0	0		1	0	0	0	0	1	0	0	0	0	0	1	0	0	1
27023	FOXB1	0	0	0	0	0	1	0	0	0	0	0		0	0	0	0	1	1	0	0	
27164	SALL3	0	1	0	0	0	0	0	1	0	0	0	1	0	0	0	0	0	1	0	0	
27288	HNRNPG-T	0	0	0	0	0	0	0	0	0	1	0	1	0	0	0	0	0	0	0	0	0
27439	CECR6	0	0	0	0	0	0	0	0	1	0	0	1	0	0	0	0	0	1	0	0	1
30009	TBX21	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	1	0	0	0	0
30012	TLX3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
50805	IRX4	0	0	0	0	0	0	0	1	0	0	0	1	0	0	0	0	0	0	1	0	1
51022	GLRX2	0	0	0	0	0	0	0	1	0	0	0	1	0	0	0	0	0	1	0	0	_1
51402	LW-1	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0	1
51450	PRRX2	0	0	0	1	0	UI	0	0	0	0	0	ne	0	0	0	0	0	0	0	0	1
54626	HES2	0	0	0	0	0	1	0	0	0	0	0	1	0	0	0	0	0	1	0	0	_1
55552	HSZFP36	0	0	0	0	0	14	42	0	0	10	0	E	0	0	0	0	0	1	0	0	0
55659	ZNF416	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	1	0	1	1
56938	ARNTL2	0	0	0	0	0	1	0	0	0	1	0	1	0	0	0	0	0	0	0	0	1
56978	PRDM8	0	1	0	0	0	1	1	0	0	0	0	1	0	0	0	0	0	0	0	0	1
57116	ZNF695	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	1
57332	CBX8	0	0	0	0	0	1	0	1	0	0	1	0	Ō	0	0	0	0	1	0	0	1
57343	ZNF304	0	0	0	0	0	0	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0
57801	HES4	0	0	0	0	0	1	0	0	0	1	0	0	0	0	0	0	0	1	0	0	0
58495	OVOL2	0	0	0	0	0	1	0	0	0	1	0	1	0	0	0	0	0	1	0	0	1
60529	ALX4	0	0	0	0	0	1	0	0	1	0	0	1	0	0	0	0	0	1	0	0	1
63978	PRDM14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	1

leID	ae Symbol	enal gland	po	od vessel	le	le marrow	S	rt	ney	r	50	hh	SI	cosa	ıcreas	eal gland	uitary gland	een	m cell	snu	roid	er
ů	B	adr	plo	plo	bor	por	E	hea	kid	live	lun	lyn	MF	nu	pai	pin	pit	spl	ste	thy	thy	oth
79192	IRXI	0	0	0	0	0	0	1	1	0	1	0	0	0	0	0	0	0		0	0	
79722	FLJ11795	0	0	0	0	0	0	0	0	0	0	1		0		0	0		0	0	0	
79816	TLE6		0	0	0	0	1	0	1	0	0	0		0	0	0	0	0	0	0	0	
79862	ZNF669	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1	0	0	0
80032	ZNF556	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
84127	RUNDC2A	0	0	0	0	0	1	1	0	1	0	0	1	0	0	0	0	0	0	0	0	1
84911	ZNF382	0	0	0	0	0	0	1	0	1	0	0	1	0	0	0	0	0	1	0	0	1
85409	NKD2	0	0	0	0	0	0	0	0	1	1	0	0	0	0	0	0	1	1	0	0	1
85446	ZFHX2	1	0	0	0	0	1	1	0	0	1	0	1	0	0	0	0	0	0	0	0	0
89870	TRIM15	0	0	0	0	0	0	0	7177 1	0	0	0	0	0	0	0	0	0	0	0	0	1
90649	ZNF486	0	0	0	0	0	PI	0	1L1	0	0	0	ne	0	0	0	0	0	1	0	0	1
94039	ZNF101	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	1	1	1	0	1
94234	FOXQ1	0	0	0	0	0	0	0	4 E	0	10	0	12	0	0	0	0	0	0	0	0	1
116448	OLIG1	0	0	0	0	0	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1
126295	LOC126295	0	0	0	0	0	1	0	0	0	0	1	1	0	0	0	0	0	1	0	0	0
129025	SUHW1	0	0	0	0	0	0	1	0	1	0	0	1	0	0	0	0	0	0	0	0	1
136051	DKFZp762I137	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	1
138474	TAF1L	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
140883	SUHW2	0	0	0	0	0	0	0	0	0	0	1	1	0	Ó	0	0	0	1	0	0	1
142689	ASB12	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	1
146434	ZNF597	0	0	0	0	0	1	0	0	0	0	1	1	0	0	0	0	0	1	0	0	1
148268	ZNF570	0	0	0	0	1	0	0	0	0	1	0	1	0	0	0	0	0	0	0	0	0
148979	GLIS1	0	0	0	0	0	0	0	1	0	1	0	1	0	0	0	0	0	1	0	0	1

GeneID	Gene Symbol	adrenal gland	blood	blood vessel	bone	bone marrow	FRS	heart	kidney	liver	lung	lymph	MRS	mucosa	pancreas	pincal gland	pituitary gland	spleen	stem cell	thymus	thyroid	other
161253	FLJ38964	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1	0	0	0	0
162979	ZNF342	0	0	0	0	0	1	0	0	0	0	1	1	0	0	0	0	0	0	0	0	1
163059	ZNF433	0	0	0	0	0	0	0	0	0	1	0	1	0	0	0	0	0	1	0	0	0
163071	ZNF114	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	1	0	0	1
170302	ARX	0	0	1	0	0	0	0	0	0	1	0	1	0	0	0	0	0	0	0	0	0
171392	ZNF675	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	1	0	0	1
221527	ZBTB12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0
245806	VGLL2	0	0	0	0	0	1	0	0	1	0	0	0	0	0	0	0	0	0	0	0	1
253738	EBF3	0	1	0	0	0	1	1	1	0	0	0	0	0	0	0	0	0	0	0	0	1
283078	MKX	0	0	0	0	0	1	0	0	1	1	0	1	0	0	0	0	0	0	0	0	1
285676	ZNF454	0	0	0	0	0	P1	0	0	0	0	0	ne	0	0	0	0	0	0	0	0	1
339416	ANKRD45	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0
339488	TFAP2E	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
341405	ANKRD33	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1

Appendix IX Genome-wide analysis of cancer/testis gene expression. *Proc Natl Acad Sci U S A*.

Genome-wide analysis of cancer/testis gene expression

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Contributed by Lloyd J. Old, October 28, 2008 (sent for review June 6, 2008)

Cancer/Testis (CT) genes, normally expressed in germ line cells but also activated in a wide range of cancer types, often encode antigens that are immunogenck in cancer patients, and present potential for use as biomarkers and targets for immunotherapy. Using multiple in silko gene expression analysis technologies, including twice the number of expressed sequence tags used in previous studies, we have performed a comprehensive genomewide survey of expression for a set of 153 previously described CT genes in normal and cancer expression libraries. We find that although they are generally highly expressed in testis, these genes exhibit heterogeneous gene expression profiles, allowing their dassification into testis-restricted (39), testis/brain-restricted (14), and a testis-selective (85) group of genes that show additional expression in somatic tissues. The chromosomal distribution of these genes confirmed the previously observed dominance of X chromosome location, with CT-X genes being significantly more testis-restricted than non-X CT. Applying this core dassification in a genome-wide survey we identified >30 CT candidate genes: 3 of them, PEPP-2, OTOA, and AKAP4, were confirmed as testisrestricted or testis-selective using RT-PCR, with variable expression frequencies observed in a panel of cancer cell lines. Our classification provides an objective ranking for potential CT genes, which is useful in guiding further identification and characterization of these potentially important diagnostic and therapeutic targets.

gene index | prediction

Cancer/Testis (C/T) genes are a heterogeneous group that are normally expressed predominantly in germ cells and in trophoblasts, and yet are aberrantly activated in up to 40% of various types of cancer types (1). A subset of the CT genes has been shown to encode antigens that are immunogenic and elicit humoral and cellular immune responses in cancer patients (2). Because of their restricted expression profile in normal tissues and because the testis is an immunoprivileged site, the CT antigens are emerging as strong candidates for therapeutic cancer vaccines, as revealed by early-phase clinical trials (3–10). Biologically, the CT genes provide a model to better understand complex gene regulation and aberrant gene activation during cancer.

Any gene that exhibits an mRNA expression profile restricted to the testis and neoplastic cells can be termed a CT gene. Existing definitions of CT genes vary in the literature, from genes expressed exclusively in adult testis germ cells and malignant tumors (1, 11) to dominant testicular expression (12), possible additional presence in placenta and ovary and epigenetic regulation (13), or membership of a gene family and localization on the X chromosome (14). Reflecting this lack of a consensus definition, an increasing number of heterogeneous CT candidates have appeared in the literature, with available

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expression profile information frequently limited to the original defining articles. In some cases, e.g., ACRBP, the original CT-restricted expression in normal tissues could not be confirmed by subsequent experiments (1). Partially due to this lack of a clear and broadly applicable definition, or "type specimen," for a CT gene, it has become increasingly challenging to identify the CT genes that are most suitable for cancer vaccine development. Moreover, this incoherent classification increases the risk of pursuing unsuitable clinical targets. However, with more expression data becoming available, CT gene transcripts of genes originally thought to have the CT expression profile are being detected in additional tissues (1), resulting in the more stringent "testis-restricted" description being altered to one of "testis-preference." Based on a compilation from the published literature, the CT database now lists >130 RefSeq nucleotide identifies as CT genes that belong to 83 gene families (www. eta.Ince.br). An analysis of the human X chromosome has also suggested that as many as 10% of the genes on this chromosome may be CT genes (15). Given this increasing number of CT and CT-like genes, their comprehensive classification based on expression profiles is essential for our understanding of their biological role and regulation of expression.

In an attempt to resolve this and to identify new CT antigens, we have taken an in silico approach to produce a comprehensive survey of CT gene expression profiles by combining expression information from an existing corpus of >8,000 cDNA libraries (16) together with the depth and resolution provided by massively parallel signature sequencing (MPSS) expression libraries (17), cap-analysis of Gene Expression (CAGE) libraries (18), and a survey using semiquantitative reverse-transcription PCR (RT-PCR) on a panel of 22 normal tissues. As a result, we have created a coherent classification of CT genes, and new CT genes have been identified using well-informed, structured prediction and confirmation criteria.

Results and Discussion

CT classification. CT genes were classified into 3 groups, testisrestricted, testis/brain-restricted and testis-selective, based on

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The authors declare no conflict of interest.

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Fig. 1. Merged expression profiles of CT-X (left array) and non-X CT genes (*Right*) based on expression data from RT-PCR and cDNA, MPSS and CAGE libraries from tissues sources annotated as normal and "adult" (*Lower*) or "cancer." Expression in normal testis, placenta, and selected tissues is marked. Color reflects the support for the expression of a CT genes in a given anatomical site (blue for low combined expression evidence ≥1, red for strong support from at least 3 sources (for the normal tissue panel) with a total score =33 or 2 sources (the cancer panel lacking JH-PCR data), respectively. The most abundant expression (red) is seen in testis for most genes, particularly in the non-X CT group. Expression values were normalized on a per-gene basis relative to the combined normal testis/placenta expression confidence (*Lower*) or the source of the highest cancer expression confidence (*Lower*) are highlighted. See Datavet 33 for the full list of CT classifications.

their expression profiles obtained from a manually curated corpus of cDNA, MPSS, CAGE expression libraries and RT-PCR (see Dataset S1 for MPSS and CAGE library annotation and http://evocontology.org for the cDNA annotation). By merging expression information using different technology platforms, we were able to leverage their individual strengths—the breadth of tissue coverage associated with the cDNA/EST expression libraries, the high sensitivity of CAGE/MPSS and the ability to custom-tailor PCR primers. Of 153 genes, 39 with transcripts present only in adult testis and no other normal adult tissue except for placenta were classified as testis-restricted; 14 CT genes with additional expression in other adult immunorestricted sites (all regions of the brain) were classified as testis/brain-restricted, and 85 genes, designated as testisselective, were ranked by the ratio of testis/placenta expression relative to other expression in normal adult tissues (see Fig. 1 for

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Fig. 2. RT-PCR analysis of selected CT genes in the testis-restricted category (MAGEA1, GAGE, SSX2, NY-ESO-1, MAGEC1, and SPANX). Expression profile are shown for a range of 22 normal tissues (Left) and 31 cancer cell lines (Right).

the expression array, Fig. 2 for the PCR panel of selected testis-restricted CT genes, and Fig. S1 and Dataset S2 for arrays from individual expression sources).

Iron individual expression sources). An uneven chromosomal distribution of the CT genes was observed, with 83 of 153 genes (54%) being on the X chromosome, and 70 on non-X chromosomes (1ig, S_2). Furthermore, 35 CT-X genes were classified as testis-restricted, whereas only 4 non-X CT genes belong to this group. An additional 12 CT-X genes were found to be testis/brain-restricted, compared with 2 non-X testis/ brain-restricted CT genes. CT-X gene family members thus appear to be under more stringent transcriptional restriction in somatic tissues, whereas non-X CT genes are more broadly expressed. This validates the CT gene classification into CT-X and CT non-X groups, with the CT-X group being of particular interest for therapeutic approaches.

therapeutic approaches. Twenty-six CT-X and 59 non-X CT genes belong to the testis-selective category, and 36 of these genes (5 CT-X and 31 non-X CT) had >50% of the expression evidence derived from non-testis or placental libraries, indicating that these might not qualify as CT genes.

quality as CT genes were not identified in any library at all (2 CT-X and 5 non-X CT). An additional 8 CT-X genes (SPANX-N1, PAGE1, CSAG1, SSX5/67/9, and CT45-2) were not present in any testis-annotated library. Of these, SSX5 and SSX7 have been shown to be expressed in testis by RT-PCR (19), suggesting a likely discrepancy in mapping short sequence tags to their genomic counterparts, an expected phenomenon for large and highly homologous gene families like SSX. In contrast, the absence of testicular expression of SSX6 and SSX9 was confirmed in that study, indicating that some of the currently recognized CT genes could either be silent or expressed at extremely low levels in testis. The full list with classification and raw expression scores across the merged expression array can be found in Dataset S3.

expression array can be round in Trained 55. Associations between different CT gene properties and their assigned classification were analyzed using the APRIORI algorithm. Besides being more likely testis-restricted, CT-X genes were found to be more often members of multigene families than non-X CTs. In addition, Gene Ontology terms showed CT-X genes to be more often in the "molecular function unknown" and "biological process unknown" categories, whereas the non-X CTs are associated with known functions such as meiosis, sexual

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reproduction, and gametogenesis (see Dataset S4 for all attributes and annotations). While the description of CT-X genes such as NY-ESO-1 (20),

While the description of CT-X genes such as NY-ESO-1 (20), SSX2 (21), and MAGE-A1 (22) match our classification—all are in the testis-restricted category—not all CT genes were found to be as testis-restricted as described in the literature. BAGE, SPO11, LIPI, LDHC, and BRDT, considered to be testisrestricted based on a tissue panel of 13 non-gametogenic normal tissues (1), fall into the testis-selective category in our screen, most likely due to a larger amount of expression sources sampled. Despite the broader coverage we could not confirm an expression of MAGE-A1, MAGE-C1, and NY-ESO-1 at low levels in the pancreas reported in the same study. In agreement with the study in ref. I, we found IL13RA1, ACRBP, and SPA17 to be expressed in a wide variety of tissues, falling into the lower end of the testis-selective category. In the present study, we have ranked the testis-selective genes based upon the ratios of their expression evidence in testis and placenta relative to other somatic tissues, rather than using fixed theorebalt end the restine for the senter to the restine the form

In the present study, we have ranked the testis-selective genes based upon the ratios of their expression evidence in testis and placenta relative to other somatic tissues, rather than using fixed thresholds and the number of somatic tissues in which a CT candidate is allowed as the distinguishing criteria for CT versus non-CT genes (2). Genes without any somatic expression have unique potential for cancer vaccines and other therapeutic approaches to cancer. From past work involving screening of larger sets of genes (23), a cutoff was introduced that defined CT candidate genes as genes with 2-fold higher expression evidence in testis and placenta relative to all other somatic normal tissues. This approach was complementary to our current one and will not require updated thresholds as the number of sampled tissue sources increases.

Sources increases. Intriguingly, a number of CT genes were found to be expressed in no somatic tissues except for brain, suggesting the presence of a distinctive transcriptional control mechanism that functions with tissue specificity in germ cells and in brain. There have been relatively few studies of CT gene expression in different anatomical regions of normal brain and similarly not many in brain tumors (24, 25), except for NXF2, which was shown to be expressed in normal brain (26). Our in silico study has discovered a broader subset of CT genes with brain expression, among them members of the otherwise fully testis-restricted GAGE and MAGE families, found to be expressed in the hippocampus and cerebral cortex. A previous study has similarly identified a group

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of cancer/testis/brain (CTB) antigens (27). However, despite the bioinformatic evidence, we have not been able to confirm the expression of selected CT genes (MAGEA9, MAGEC2, PASD1, and GAGE) in tissue samples from total brain, cerebellum, caudate nucleus, thalamus, frontal cortex, occipital cortex, pons, or amygdala by RT-PCR (data not shown), and whether these genes are expressed in brain remains to be proven.

Distribution of CI Genes in Cancer Tissues. Our ranking by the number of different cancer types and anatomical sites of CT "rich" and CT-"poor" tumors based on the in silico analysis obtained from cDNA, CAGE, and MPSS libraries (Fig. 1 and Dataset SS). The broadest distribution of CT genes was found in germ cell tumors, melanomas and lung carcinomas, adenocarcinomas and chondrosarcomas. Breadth of cancer expression was uncorrelated with tissue restriction in normal tissues (r = 0.18 for CT-X genes, r = 0.02 for non-X CT genes using Spearman rank correlation); for instance, the fully testing restricted CT genes, such as MAGEA2/A2B and CTAG2, were found to be present in a variety of different tumor tissues.

Melanoma, non-small-cell lung cancer, hepatocelluar carcinoma and bladder cancer have been identified as high CT gene expressors, with breast and prostate cancer being moderate and leukemia/lymphoma, renal and colon cancer low expressors (1). Our in silico analysis confirms this distinction, in particular for tumor tissues well represented by the available libraries, showing a broad distribution of CT genes expressed in cancers of skin including melanoma (43% of CT genes with cancer expression were found in at least one melanoma library), lung (37%), and liver (34%). Strong presence of CT expression found in the present study but not by previous RT-PCR studies includes tumors from germ cells (39%), stomach (28%), and cartilage (chondrosarcomas, 26%). One reason for this discrepancy could be the lack of RT-PCR data for certain tumors, e.g., gastric cancer is much rarer than other carcinomas in the Western world, and mesenchymal tumors are also not well represented in many of the RT-PCR studies to date. Our in silico information may thus serve as a guide for future experimental investigations, especially useful for recently described CT genes not yet analyzed often not distinguished from primary tumor samples. A third reason for this observed discrepancy could be the bias that resulted from differences in library numbers studied for each num of the observed discrepancy could be the bias that resulted from differences in library numbers studied for each number of available ovarian cDNA libraries. However, colon cancer, a CT-poor tumor, was correctly shown to have low frequency of CT genes despite the large number of colon libraries in the databases, and this would argue that the difference in library numbers may not have been a significant factor. Last, the in silico finding of high CT expression in germ cell tumor represents a special situation that can be explained by two reasons. One is that a subset of CT genes, particularly the non-X CTs, encode proteins with known specific functions in germ cell tumors f

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CT Candidate Prediction. Prediction of CT candidates based on their expression profiles in cDNA, MPSS, and CAGE libraries resulted in 28 genes supported by 2 expression platforms in the testis- or testis/brain-restricted category, including 10 known CT genes and 18 novel CT candidates (Fig. S3 and Dataset S6). An additional, less stringent screen for CT-X genes identified 47 genes in the same categories, including 34 known CT genes and 13 novel candidates. After manual curation, the list of novel candidates was extended to include the highest scoring testisselective CT-X candidates fXTL1 and NXF3, the latter being a known CT gene, a member of the NXF2 CT family (28). Of 33 novel CT candidate genes, 12 most promising genes were manually selected for experimental validation by RT-PCR based on an gravitation of available area expression data in hymen

Of 33 novel CT candidate genes, 12 most promising genes were manually selected for experimental validation by RT-PCR based on an evaluation of available gene expression data in human cancer. Of the 5 X- and 7 non-X-chromosomal candidates, 11 transcripts could be amplified, whereas transcripts from VCX2 were not detected in any of the 23 normal tissue RNA samples. Three of the amplified gene transcripts exhibited testis-restricted (AKAP4) or testis-selective (PEPP-2, OTOA) expression (data not shown). RT-PCR products of these genes were also detected in samples from a panel of 30 cancer cell lines. PEPP-2, an X-linked human homeobox gene, encodes a transcriptional factor with similar cancer/testis restricted exprestion extreme is both human and more (20); it is calca or archive

PEPP-2, an X-linked human homeobox gene, encodes a transcriptional factor with similar cancer/testis restricted expression patterns in both human and mouse (29); it is also a member of a top 50 list of genes under strong positive selection between human and chimpanzee (30). Otoancorin (OTOA) was reported to be specific to sensory epithelia of the inner ear (31), but has also been associated with ovarian and pancreatic cancer due to its homology with mesothelin, a cancer immunotherapy target (32). AKAP4 (CT-X), identified in the 2-platform screen, exhibits weak expression in different cancer cell lines and encodes a kinase anchor protein (33) involved in the cAMP-regulation of motility (34) and was recently suggested as a CT gene in an independent study (35).

All 3 confirmed genes are candidates for immunotherapy based on their restricted expression, and further investigation of their mRNA and protein expression in various tumors is warranted and ongoing. Given the comprehensive nature of our study and the limited number of confirmed novel CT candidates, it seems that the number of true CT genes matching the criterion of stringent testis-restricted expression profile has reached a plateau.

Although it is clear that the CT designation has been inappropriately given to a large number of genes with wide normal tissue expression, it is less evident how precisely the term CT should be applied. There is no difficulty with CT genes whose expression profile have a classic CT pattern; we estimate ~39 genes presently in this category and ~90% of them reside on the X chromosome. The challenge for the remaining CT genes, most of which are non-X coded, is that they are expressed in testis and cancer, but are also expressed in a limited number of normal tissues. Should these be designated CT? Perhaps the best solution at this point would be to assemble further information about CT genes and their products, including function, binding partners, evolutionary selection (36), control of gene expression, identification of expression normal somatic cells, aberrant non-lineage expression in cancer, and immunogenicity, before establishing a uniform classification of CT genes.

Methods

Selection of CT Genes. A total of 153 CT genes (200 unique RefSeq transcript identifiers) were selected from the CT Antigen DB (http://www.cta.lncc.br) and by manual curation of the literature. Genes were annotated with their most current gene identifiers and merged based on shared National Center for Biotechnology Information RefSeq nucleotide identifiers (Dataset 57). Additional gene identifiers were obtained from RefSeq release 11 (37), IPI version 3.29 (38); genomic coordinates were taken from the University of California, Santa Cruz Genome Browser bg18 human genome build (39). Of these 153

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genes, 83 that encode 107 RefSeq transcripts were mapped to the X chromo genes, 83 that encode 107 Refseq transcripts were mapped to the X chromo-som (CT-X genes) whereas 70 genes were on autosomes (non-X CT genes). Subcellular localization was based on predictions in the human version of the LOCATE system (40), SEREX information was obtained from the Carcer im-munome Database website (http://ludwigs.unst.unit.ch/CancerImmu-nomeDB). Ambiguities were resolved by manual curation.

Source of Expression Information. Gene expression profiles were determined based on 4 different sources: 99 CAGE libraries from the RIKEN FANTOM3 project (18),47 MPSS libraries (17, 23, 41), a collection of 8401 CDNA expression libraries from the eVCG system (16), and semiquantitative RF-PCR arcoss 22 normal tissue samples. Source materials were annotated with regards to the anatomical site and patholog-ical status of their source tissues. In cases where the anatomical source was unclassi-fiable, cell type information was used. Bone marrow/blood/libraries were designated bone marrow, and all combinations with mucosa (colon, stomach) were merged into "mucosa." Libraries not explicitly annotated as "normal" were considered as unclas-sified. Libraries from pooled tissue sources were ignored, and pooled samples were kept as long as the pathological and anatomical status was identical for all donors free Datanet's libra annotatel tharained. (see Dataset 51 for annotated libraries)

oarrays. Expression information was organized into "pseudoarrays" on expression information obtained from CAGE-, MPSS-, and cDNAbased on expression information twas organized into Tpseudoarays, based on expression information obtained from CAGE, MPSS, and cDNA-libraries in the case of cancer expression and merged with RT-PCR results in the case of normal tissue expression. Columns reflect the class of fibrary in which a CT transcript was identified and rows represent individual RefSeq tran-scripts. Annotation was based on the general library class description (normal, cancer or unclassified; combined with pathological state and anatomical site. To evaluate the relative levels of CT expression we converted expression signals from the 4 sources into "expression evidence": For CAGE- and MPSS-based expression data, expression evidence was based on detected tags per million (TPM), with matches <3 TPM (~1 transcript per cell) filtered out. Normalized and subtracted EST libraries prevent quantitaton of expression strength based on EST counts, therefore expression evidence is represented by the number of cDNA libraries in which a given transcript was identified. RT-PCR results were manually binned into 5 groups of expression, ranging from 0 (not expressed) to 4 (strongly expressed). For each expression source, evidence values were normalized on a per-transcript basis by setting the highest expression evidence in normal tissues to a value of 1, reflecting relative hased on e highest expression evidence in normal tissues to a value of 1, reflecting relative highest expression evidence in normal tissues to avalue of 1, reflecting relative changes in expression levels across tissues and pathological states. Pseudoar-rays from the 4 expression sources were merged by summing the individual expression evidence scores for a given transcript from each platform. Expres-sion profiles for multiple transcripts associated with the same gene were merged into a single representation, keeping the highest expression score for overlapping annotations. In arrays where annotation was "merged" into single columns based on their dass (e.g., all cancer expression information), the highest expression score across all annotated libraries was kept for each gene.

Visualization and Ranking. Genes were divided into CT-X and non-X CT panels, then individually ranked by their expression properties in normal tissues and classified into the following 3 categories: () expression in testis and placenta only (testis-restricted); (ii) expression in testis, placenta and brain-restricted) (testis/brain-restricted), and (iii) all other genes (testis-selective). Final ranking within each category was obtained by sorting based on decreasing level of normal tissue specificity as measured by the combined testis and placenta expression evidence divided by all normal expression evidence. All arrays were witailized using MV4 (A) forume the original visualized using MeV 4.0 (www.tm4.org).

tion were investigated by recording their assigned class; presence or absence

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in placenta, brain, testis, and developing ovary; their testis/placenta tissue specificity; their X vs. non-X chromosomal status; membership in a gene family; subcellular localization; and evolutionary status (36) followed by an analysis with the APRIORI algorithm (42), which identifies association rules matching a predefined threshold of support (30%) and confidence (\approx 0.8)

Search Criteria for CT Candidates. CT candidates were identified using the same in silico expression sources, but with no filters for minimum TPM value and satisfying the following criteria: (i) exhibit expression in testis and at least one cancer-associated tissue at 10 TPM (CAGE, MFS3) or presence in at least one Calcel associated used at to the (Code, which) is presented in at react one EST/CDNA library with testis and cancer annotation; (ii) not be present above those levels in any other tissue except for placenta, ovary, and brain; and (iii) be supported independently by 2 platforms. Identified candidates were ranked supported independently by 2 pations, identical candidates were railed using the same approach used to classify known CT genes. To increase coverage of CT-X genes, a second genome-wide search was conducted requiring support from only a single platform. Candidates were selected for RT-PCR validation by manual curation, removing hypothetical proteins, predicted genes and candi-dates with multiple publications indicating expression in somatic tissues.

RT-PCR. RNA preparations were purchased from the normal tissue pane Clontech and Ambion or prepared from cancer cell lines using the RNAeasy kit (Qiagen) and were used to prepare cDNA for RT-PCR. A total of 1.0 µg of RNA was (Qiagen) and were used to prepare CDNA for RI-PCR. A total of 1.0µg of RNA was reverse transcribed into CDNA in a total volume of 20 µL using the Omniscript RT kit (Qiagen) according to the manufacturer's protocol using oligo(dT)₈ primers (Invitrogen). The CDNA was diluted 5 times and 3 µL was used in the PCR with primers specific to each analyzed gene in a final volume of 25 µL. Primers used for PCR amplification were designed to have an annealing temperature--60 °C using Primer3 software (www.genome.wi.mit.edu/gi.bin/primer/primers?www.cgi) and ware chocen to accompany introd to the man aron sequences to avaid ampliand were chosen to encompass introns between exon sequences to avoid ampli-fication of genomic DNA. DNase treatment was undertaken before ONA synheadon of genomic binas of earliers was interacting to the set of sizes are provided in Datase

JumpStart REDTaq ReadyMix (Sigma Aldrich) was used for amplification JumpStart REDTaq ReadyMix (Sigma Aldrich) was used for amplification according to the manufacturer's instructions. Samples were amplified with a precycling hold at 95° Cfor 3 min, followed by 35 specific cycles of denatur-ation at 95 °C for 15 seconds, annealing for 30 seconds (10 cycles at 60° C, 10 cycles at 58° C and 15 cycles at 56° C) and extension at 72° Cfor 30 seconds followed by a final extension step at 72° Cfor 7 min. *P* actin was amplified as control. PCR products were separated on 1.5% agarose gels stained with ethidium bromide. For semiguantitative PCR analysis, RT-PCR products were classified into 0 (negative) to 4 (strongest signal) based on the intensity of the product on ethidium bromide-stained gels.

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VINIUNOLOGY

Appendix X: Manual curation steps applied in filtering the expression array generated for the investigation of 63 potential mouse cancer/testis genes

Remove column if annotation is:

- Unclassifiable pathology
- Pooled from different tissues
- Non-cancer pathology
- Whole body, head, neck, trunk, anatomical site, maxillary process, anterior limb or diaphragm

Remove developmental stage information from annotation

Remove cell type information from annotation unless there is no anatomical system information

• Exception: keep cell type and discard anatomical system for 'fibroblast|synovium'

Remove 'unclassifiable_AS' from annotation (unclassifiable anatomical system)

Remove column if annotation is now only 'normal'

Merge:

- Carcinoma = adenocarcinoma, teratocarcinoma
- Bone = bone marrow
- Brain = cerebellum, cerebral cortex, corpus striatum, diencephalon, hippocampus, hypothalamus, lateral ventricle, medulla oblongata, midbrain, olfactory lobe
- Intestine = cecum, colon, small intestine
- Visual apparatus = choroid, retina
- Auditory apparatus = internal ear, spiral organ of Corti
- Blood = B-lymphocyte, erythroblast
- Lymphoreticular system = lymph node

For all annotations that are identical, merge them into one column and sum the values in each column for every gene.



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Appendix XI Expression profile of mouse orthologs of human cancer/testis genes



The gene expression profile of 63 mouse orthologs for which expression evidence is available. The red squares within the array indicate a gene is expressed in a particular tissue, whereas black squares indicate there is no evidence of expression in that tissue.